

Application

Federal Award Identification Number (FAIN)	AZ-2020-021-00
Temporary Application Number	1635-2020-2
Application Name	5311 CARES Act Funding AZ Rural Public Transit
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Arizona Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1635	State Agency	ARIZONA DEPARTMENT OF TRANSPORTATION	098416667

Location Type	Address	City	State	Zip
Headquarters	206 S 17TH AVE	PHOENIX	AZ	85007
Physical Address	206 S 17TH AVE, RM 133	PHOENIX	AZ	85007
Mailing Address	206 S 17TH AVE, RM 133	PHOENIX	AZ	85007

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 CARES Act Funding AZ Rural Public Transit

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2020-021-00	Final Concurrence / Reservation	Grant	4/13/2020	4/13/2020	No

Application Executive Summary

\$41,730,201 CARES ACT Formula Apportionment

State Admin \$4,173,020
Intercity \$6,259,531
Operating Technical Assistance \$2,696,118
Operating \$19,878,889
Mobility Management \$1,258,688
Capital \$1,942,080
To be obligated: \$36,208,326

Tribal Allocation Set aside for direct recipients
Operating \$5,521,875

TOTAL Obligation + Tribal Allocation \$41,730,201
10% of this total is for State Administration

The FY 2020 CARES ACT Section 5311 Rural Public Transit funds will be used to sustain the expenses of continuing transit service during the public health crisis of COVID-19. These funds will be allocated to expenses which began as early as January 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award includes research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The indirect cost rate is 2.34% or \$847,275.

Requires E.O. 12372 Review

Yes, this application requires E.O. 12372 Review

State Application ID: DTA20006

Date Received by State: 4/13/2020 8:00 PM EDT

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ted	Matley	Supervisory Transportation Program Specialist	Ted.Matley@dot.gov	(415) 734-9468
Susan	Ko	General Engineer	susan.ko@dot.gov	(415) 734-9462
Sara	Allred	Transit Program Manager	sallred@azdot.gov	602-712-4498

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$36,208,326
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$36,208,326

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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AZ-2020-021-01-00	111-00 (111-A1)	BUS - ROLLING STOCK		\$1,942,080.00	\$0.00	\$1,942,080.00	16
AZ-2020-021-01-00		11.12.03 BUY REPLACEMENT 30-FT BUS		\$710,000.00	\$0.00	\$710,000.00	4
AZ-2020-021-01-00		11.12.04 BUY REPLACEMENT		\$867,379.00	\$0.00	\$867,379.00	6
AZ-2020-021-01-00		11.12.15 BUY REPLACEMENT VAN		\$279,995.00	\$0.00	\$279,995.00	5
AZ-2020-021-01-00		11.13.04 BUY		\$84,706.00	\$0.00	\$84,706.00	1
AZ-2020-021-01-00	117-00 (117-A2)	OTHER CAPITAL ITEMS (BUS)		\$1,258,688.00	\$0.00	\$1,258,688.00	8
AZ-2020-021-01-00		11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))		\$1,258,688.00	\$0.00	\$1,258,688.00	8
AZ-2020-021-01-00	300-00 (300-A3)	OPERATING ASSISTANCE		\$22,575,007.00	\$0.00	\$22,575,007.00	22
AZ-2020-021-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share		\$19,878,889.00	\$0.00	\$19,878,889.00	21
AZ-2020-021-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share		\$2,696,118.00	\$0.00	\$2,696,118.00	1
AZ-2020-021-01-00	610-00 (610-A5)	STATE / PROGRAMS ADMINISTRATION		\$4,173,020.00	\$0.00	\$4,173,020.00	1
AZ-2020-021-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION		\$4,173,020.00	\$0.00	\$4,173,020.00	1
AZ-2020-021-01-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION		\$6,259,531.00	\$0.00	\$6,259,531.00	7
AZ-2020-021-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share		\$6,259,531.00	\$0.00	\$6,259,531.00	7

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 CARES Act Rural Public Transit and 5311f Intercity funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2020-021-01-00	1635-2020-2-P1	4/14/2020	4/1/2015	9/30/2024

Project Description

All awards are 100% federal. This Project is funding:

State Administration \$4,173,020-10% of apportionment based upon obligation amount plus transfers to tribes.

Remaining Federal \$32,035,306

Total Obligation \$36,208,326

29 Subrecipients will receive the following amounts:

Intercity \$6,259,531

Operating Technical Assistance \$2,696,118

Operating \$19,878,889

Mobility Management \$1,258,688

Capital \$1,942,080

Capital Items include:

5 Replacement : Vans

6 Replacement : Bus < 30 FT

4 Replacement : Bus 30 FT

1 Expansion : Bus < 30 FT

The State of AZ vehicles contract will end within the next year and half. The milestones listed are for the existing contract while the vehicles may be purchased off the existing or the next State contract.

AZ will transfer \$5,521,875 to five tribal communities.

Project Benefits

The Covid-19 emergency shut-down has significantly impacted ridership, local match, and the ability of transit to operate. This funding will keep these programs operating in the face of this unprecedented situation.

Additional Information

None provided.

Location Description

This rural public transit program grant will impact communities statewide. 29 rural, MPO / COG mobility managers, and intercity bus agencies will receive funding from this grant.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	3	Raul M Grijalva
Arizona	4	Paul A Gosar
Arizona	6	David Schweikert
Arizona	1	Tom O'Halleran
Arizona	5	Andrew S Biggs
Arizona	2	Ann Kirkpatrick

Program Plan Information

STIP/TIP

Date: 4/12/2020

Description: A STIP administrative amendment was completed 4/13/20 to include the expansion bus. The CARES Act funding is on the modified STIP. The remainder of the projects are not required to be included in the TIP or STIP per this funding. THIS is the attached table showing the changes.

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$36,208,326
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$36,208,326

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2020-021-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,942,080.00	\$0.00	\$1,942,080.00	16
AZ-2020-021-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$710,000.00	\$0.00	\$710,000.00	4
AZ-2020-021-01-00	11.12.04 BUY REPLACEMENT	\$867,379.00	\$0.00	\$867,379.00	6
AZ-2020-021-01-00	11.12.15 BUY REPLACEMENT VAN	\$279,995.00	\$0.00	\$279,995.00	5
AZ-2020-021-01-00	11.13.04 BUY	\$84,706.00	\$0.00	\$84,706.00	1
AZ-2020-021-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$1,258,688.00	\$0.00	\$1,258,688.00	8
AZ-2020-021-01-00	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$1,258,688.00	\$0.00	\$1,258,688.00	8
AZ-2020-021-01-00	300-00 (300-A3) OPERATING ASSISTANCE	\$22,575,007.00	\$0.00	\$22,575,007.00	22
AZ-2020-021-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$19,878,889.00	\$0.00	\$19,878,889.00	21
AZ-2020-021-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,696,118.00	\$0.00	\$2,696,118.00	1
AZ-2020-021-01-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$4,173,020.00	\$0.00	\$4,173,020.00	1
AZ-2020-021-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$4,173,020.00	\$0.00	\$4,173,020.00	1

AZ-2020-021-01-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$6,259,531.00	\$0.00	\$6,259,531.00	7
AZ-2020-021-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,259,531.00	\$0.00	\$6,259,531.00	7

Project Budget Activity Line Items

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	8

Extended Budget Description

The following Agencies will be providing Mobility Management Services for the regions they oversee:

Central Arizona Governments \$136,570

Central Yavapai Metropolitan Planning Organization \$87,670

Northern Arizona Council of Governments \$255,445

Northern Arizona Intergovernmental Public Transportation Authority \$152,025

Pima Association of Governments \$111,848

South Eastern Arizona Governments Organization \$258,231

Yuma Metropolitan Planning Organization \$80,355

Western Arizona Council of Governments \$176,544

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,258,688
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,258,688

Milestone Name	Est. Completion Date	Description
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Start Date	1/20/2020
End Date	9/30/2024

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	21

Extended Budget Description

The following agencies will receive the following federal amounts for operating assistance:

- Bisbee, City of \$427,533
- City of Benson \$367,672
- City of Bullhead City \$1,378,449
- City of Coolidge \$1,163,522
- City of Cottonwood \$2,558,405
- City of Douglas \$897,673
- City of Kingman \$1,268,399
- City of Maricopa \$571,617
- City of Show Low \$1,017,724
- City of Winslow \$422,845
- Gila River Indian Community \$876,853
- Helping Hands Agency \$1,550,431
- Northern Arizona Intergovernmental Public Transportation Authority \$41,250
- Payson Senior Center, Inc. \$460,065
- Regional Public Transportation Authority \$374,162
- Regional Transportation Authority of Pima County \$2,150,689
- Salt River Pima-Maricopa Indian Community \$645,426
- Town of Miami \$589,655
- Town of Quartzsite \$420,720
- Yavapai Regional Transit, Inc \$817,242
- Yuma County Intergovernmental Public Transportation Authority \$1,878,557

5 Tribal communities will receive operating assistance directly from FTA, not obligated in this grant Hopi, Hualapai Indian Tribe, Navajo Transit, San Carlos Apache, and White Mountain Apache for a total of \$5,521,875

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$19,878,889
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$19,878,889

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	9/30/2024	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	7

Extended Budget Description

7 subrecipients will receive the following federal amounts for intercity operating (5311f):

City of Coolidge \$143,806

City of Douglas \$253,190

City of Show Low \$254,431

Greyhound Lines, Inc. \$3,341,167

Regional Public Transportation Authority \$962,131

Regional Transportation Authority of Pima County \$293,276

Yuma County Intergovernmental Public Transportation Authority \$1,011,530

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,259,531
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,259,531

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	9/30/2024	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

Funds will be used for eligible state program administration activities.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,173,020
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,173,020

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	9/30/2024	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

The State of AZ will be contracting for operating technical assistance for each of the 27 rural transit subrecipients to recover from this situation. The assistance will include:

- On-going assistance to agencies to determine the best methods to protect drivers, other transit staff and riders from spreading contaminants. i.e. feasibility and process to install operator barriers, increase disinfecting transit buses and facilities and how to deploy these strategies. Provision to deploy these strategies from a statewide level.
- Analysis of transit operating routes during the response to COVID-19 and after. Subrecipients have changed routes and guidance should be provided to verify that they are following all FTA guidelines for the changes. We anticipate requests from agencies regarding modifications needed to operating

routes when the crisis is over due to changes in their local community regarding facilities/business and anticipated changes in riders desire to use transit.

-Assistance with modifying and updating emergency management procedures in their Transit Operating guidelines. The existing documents typically focus on natural disasters and the policies and procedures should be updated to provide written documentation on the steps needed for a public health emergency and how to actually implement those procedures.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,696,118
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,696,118

Milestone Name	Est. Completion Date	Description
Start Date	6/30/2020	
End Date	9/30/2024	

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	5

Extended Budget Description

The following agencies will each buy a replacement van:

City of Benson \$57,741 VIN 7887

City of Bullhead City \$51,078 VIN 9683

City of Cottonwood 2 Vans \$110,000 VINs 2163 & 1793

Town of Miami \$61,176 VIN 2556

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	N/A

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$279,995
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$279,995

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/1/2015	
Contract Award Date	8/15/2015	
Initial Delivery Date	12/4/2015	
Contract Completion Date	4/30/2021	
Final Delivery Date	12/30/2022	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	6

Extended Budget Description

The following agencies will purchase Replacement : Bus < 30 FT:

Town of Miami 2 buses \$285,294 VINs 6199 & 3909

City of Maricopa 2 buses \$316,976 VINs 5092 & 0584

City of Bullhead City 1 bus \$131,776 VIN 3449

Helping Hands Agency 1 bus \$133,333 VIN 0549

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	< 30 FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$867,379
Local			\$0
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$867,379

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/1/2015	
Contract Award Date	8/15/2015	
Initial Delivery Date	12/4/2015	
Contract Completion Date	4/30/2021	
Final Delivery Date	12/30/2022	

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	4

Extended Budget Description

City of Cottonwood will buy 3 replacement buses \$525,000 replacing VINs 5046, 6030 & 6031
Coolidge will buy 1 replacement bus \$185,000 replacing VIN 7071.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	30 Ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$710,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$710,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/1/2015	
Contract Award Date	8/15/2015	
Initial Delivery Date	12/4/2015	
Contract Completion Date	4/30/2021	
Final Delivery Date	11/1/2022	

Budget Activity Line Item: 11.13.04 - BUY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

City of Winslow will purchase 1 Expansion : Bus < 30 FT \$84,706.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	< 30 FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$84,706
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$84,706

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/1/2015	
Contract Award Date	8/15/2015	
Initial Delivery Date	12/4/2015	
Contract Completion Date	4/30/2021	

Final Delivery Date	12/30/2022
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	5	\$279,995.00	\$279,995.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	6	\$867,379.00	\$867,379.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	4	\$710,000.00	\$710,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	1	\$84,706.00	\$84,706.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	8	\$1,258,688.00	\$1,258,688.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	21	\$19,878,889.00	\$19,878,889.00
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Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	7	\$6,259,531.00	\$6,259,531.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$4,173,020.00	\$4,173,020.00
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Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$2,696,118.00	\$2,696,118.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ted Matley

Comment Type	DOL Review for Information
Date	4/29/2020
Comment	5311 Program grant for AZ DOT

Application Review Comments

Comment By Ted Matley

Comment Type	FTA Post Review Comments for Grantee
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Date 4/22/2020

1. Insert this text into the Executive Summary:

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Comment

2. The application states that a STIP amendment has been filed with FTA to include the expansion bus. When was this approved, or is it in process?

3. There are inconsistencies between the text and the budgets on the number of bus purchases. Please review and reconcile.

4. Provide more details as to the technical assistance to be provided by ADOT to the subrecipients. What need is this addressing? If this operating or technical assistance similar to RTAP?

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Arizona Department Of

Recipient ID: 1635

DUNS No: 098416667

Application Information

Federal Award Identification Number: AZ-2020-021-00

Application Name: 5311 CARES Act Funding AZ Rural Public Transit

Application Start Date: N/A

Original Award End Date: 12/31/2025

Current Award End Date: N/A

Application Executive Summary: \$41,730,201 CARES ACT Formula Apportionment

State Admin \$4,173,020

Intercity \$6,259,531

Operating Technical Assistance \$2,696,118

Operating \$19,878,889

Mobility Management \$1,258,688

Capital \$1,942,080

To be obligated: \$36,208,326

Tribal Allocation Set aside for direct recipients

Operating \$5,521,875

TOTAL Obligation + Tribal Allocation \$41,730,201

10% of this total is for State Administration

The FY 2020 CARES ACT Section 5311 Rural Public Transit funds will be used to sustain the expenses of continuing transit service during the public health crisis of COVID-19. These funds will be allocated to expenses which began as early as January 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award includes research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$36,208,326.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$36,208,326.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$36,208,326.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$36,208,326
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$36,208,326

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Arizona Department Of