

## Application

<b>Federal Award Identification Number (FAIN)</b>	AL-2019-016-01
<b>Temporary Application Number</b>	1000-2019-1
<b>Application Name</b>	Section 5311 Non-Urbanized Transportation
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	8/6/2019
<b>Original Period of Performance End Date</b>	3/30/2022
<b>Current Period of Performance End Date</b>	3/30/2022      Revision #: 0

### Part 1: Recipient Information

**Name: Transportation, Alabama Dept Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1000	State Agency	ALABAMA DEPARTMENT OF TRANSPORTATION	837892371

Location Type	Address	City	State	Zip
Headquarters	600 Dexter Ave Ste S105	Montgomery	AL	36104
Physical Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36110
Mailing Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36130

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Section 5311 Non-Urbanized Transportation**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AL-2019-016-01	Final Concurrence / Reservation	Grant	12/12/2019	12/12/2019	No

**Application Executive Summary**

Amendment No. 1:

This amendment is to add lapsing funds from the FY2018 apportionment of the Section 5311(Non-Urban Formula), \$16,409,874 funds, and FY2018 apportionment Section 5311 (RTAP) funds \$275,032 to the award. The total amount of federal funds to be added to the grant is \$16,684,906 and Local Match of \$10,876,468, totaling \$27,561,374. It is programmed in the Alabama 2019-2023 STIP.

1. Capital:

Scope-646-00 – (\$112,827) Federal Job Access & Reverse Commute  
 ALI- 11.7L.00 – Cost \$112,827 Federal (Mobility Management)

Scope – 114-00 (\$129,120) Federal Support Facilities and Equipment (Purchase support equipment)  
 ALI-11.42.08 - Cost \$129,120 Federal (Purchase ADP Software)

2. Operating:

Scope-300-00 – (\$6,123,614) Federal (Operating Assistance- Sec. 5311)  
 ALI-30.09.01 - \$6,123,614 Federal (Operating Assistance)

Scope- 634-00 – (\$2,461,481) Federal (Operating Assistance- Intercity Bus)  
 ALI-30.09.01 - \$2,461,481 Federal (Operating Assistance Intercity Bus)

Scope-646 – (\$993,900) Federal (Operating Assistance- Job Access Reverse Commute)  
 ALI-30.90.05 - \$993,900 Federal (Operating Assistance Job Access Reverse Commute)

3. Administration:

Scope-610-00 – (\$1,640,987) Federal (State/Programs Administration-Sec. 5311)  
 ALI- 11.80.00 – Cost \$1,640,987 Federal (State Administration - Sec. 5311)

Scope-620-00 – (\$4,947,945) Federal (Project Administration- Sec. 5311)  
 ALI- 11.79.00 – Cost \$4,947,945 Federal (Project Administration -Sec. 5311)

4. Other:

Scope- 635-00 – (\$275,032) Federal Rural Transit Assistance Program (RTAP)  
 ALI- 43.50.01 – Cost \$275,032 Federal (RTAP Training)

\*The Alabama Department of Transportation is the Governor of Alabama’s designated recipient of Section 5311 Non-Urbanized Transportation allocation. \*No, the Alabama Department of Transportation does not charge Indirect Cost. \*The Start Date is the award date of this application and the End Date is 03/30/2023. \*No funds are request for Research and Development. \*No Union involvement for the State of Alabama. \*Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP) and Fleet Replacement List.

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Lapsing Funds, this is an application for a new award to move lapsing funds from the FY2017 apportionment of the Section 5311(Non-Urban Formula), \$15,847,087 funds, and FY2017 apportionment Section 5311 (RTAP) funds \$268,693 to a new award. The total amount of federal funds to be added to the grant is \$16,115,780 and Local Match of \$9,780,903, totaling \$25,896,683. It is programmed in the Alabama 2016-2019 STIP.

1. Capital:

Scope-646-00 – (\$60,000) Federal Job Access & Reverse Commute  
ALI- 11.7L.00 – Cost \$60,000 Federal (Mobility Management)  
Scope – 114-00 (\$278,800) Federal Support Facilities and Equipment (Purchase support equipment)  
ALI-11.42.06 - Cost \$218,720 Federal (Purchase Shop Equipment)  
ALI-11.42.07 - Cost \$1,200 Federal (Purchase ADP Hardware)  
ALI-11.42.08 – Cost \$50,400 Federal (Purchase Surveillance/Security)  
ALI-11.42.20 - Cost \$8,480 Federal (Purchase Miscellaneous Equipment)  
Scope - 117-00 (\$80,000) Federal (Mobility Management)  
ALI-11.7L.00 Cost \$80,000 Federal (Mobility Management)

2. Operating:

Scope-300-00 – (\$5,945,000) Federal (Operating Assistance- Sec. 5311)  
ALI-30.09.01 - \$5,945,000 Federal (Operating Assistance)  
Scope- 634-00 – (\$2,417,367) Federal (Operating Assistance- Intercity Bus)  
ALI-30.09.01 - \$2,417,367 Federal (Operating Assistance Intercity Bus)  
Scope-646 – (\$950,201) Federal (Operating Assistance- Job Access Reverse Commute)  
ALI-30.90.05 - \$950,201 Federal (Operating Assistance Job Access Reverse Commute)

3. Administration:

Scope-610-00 – (\$1,584,709) Federal (State/Programs Administration-Sec. 5311)  
ALI- 11.80.00 – Cost \$1,584,709 Federal (State Administration - Sec. 5311)  
Scope-620-00 – (\$4,531,010) Federal (Project Administration- Sec. 5311)  
ALI- 11.79.00 – Cost \$4,531,010 Federal (Project Administration -Sec. 5311)

4. Other:

Scope- 635-00 – (\$268,693) Federal Rural Transit Assistance Program (RTAP)  
ALI- 43.50.01 – Cost \$268,693 Federal (RTAP Training)

\*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

\*No, the Alabama Department of Transportation does not charge Indirect Cost.

\*The Start Date is the award date of this application and the End Date is 03/30/2022.

\*No funds are request for Research and Development.

\*No Union involvement for the State of Alabama.

\*Attached documents are as follows: STIP (10/1/2014 thru 9/30/2019), Program of Projects (POP) and Fleet Replacement List.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Catrina	Perry	Engineering Assistant II	perryca@dot.state.al.us	(334) 353-6405
Courtney	Roberts	Transportation Program Specialist	courtney.roberts@dot.gov	404-865-5478

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$32,800,686
Local			\$21,394,208
Local/In-Kind			\$32,280
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$54,227,174</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2019-016-01-01	114-00 (114-A6) BUS: SUPPORT EQUIP AND FACILITIES	\$407,920.00	\$101,980.00	\$509,900.00	0
AL-2019-016-01-01	11.42.06 ACQUIRE - SHOP EQUIPMENT	\$218,720.00	\$54,680.00	\$273,400.00	0
AL-2019-016-01-01	11.42.07 ACQUIRE - ADP HARDWARE	\$1,200.00	\$300.00	\$1,500.00	0

AL-2019-016-01-01		11.42.08	ACQUIRE - ADP SOFTWARE	\$129,120.00	\$32,280.00	\$161,400.00	0
AL-2019-016-01-01		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$50,400.00	\$12,600.00	\$63,000.00	0
AL-2019-016-01-01		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$8,480.00	\$2,120.00	\$10,600.00	0
AL-2019-016-01-01	117-00 (117-A7)		OTHER CAPITAL ITEMS (BUS)	\$80,000.00	\$20,000.00	\$100,000.00	0
AL-2019-016-01-01		11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	\$80,000.00	\$20,000.00	\$100,000.00	0
AL-2019-016-01-01	300-00 (300-A1)		OPERATING ASSISTANCE	\$12,068,614.00	\$12,068,614.00	\$24,137,228.00	0
AL-2019-016-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$12,068,614.00	\$12,068,614.00	\$24,137,228.00	0
AL-2019-016-01-01	610-00 (610-A5)		STATE / PROGRAMS ADMINISTRATION	\$3,225,696.00	\$0.00	\$3,225,696.00	0
AL-2019-016-01-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$3,225,696.00	\$0.00	\$3,225,696.00	0
AL-2019-016-01-01	620-00 (620-A3)		PROJECT ADMINISTRATION	\$9,478,955.00	\$2,369,738.00	\$11,848,693.00	0
AL-2019-016-01-01		11.79.00	PROJECT ADMINISTRATION	\$9,478,955.00	\$2,369,738.00	\$11,848,693.00	0
AL-2019-016-01-01	634-00 (634-A4)		INTERCITY BUS TRANSPORTATION	\$4,878,848.00	\$4,878,848.00	\$9,757,696.00	0
AL-2019-016-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$4,878,848.00	\$4,878,848.00	\$9,757,696.00	0
AL-2019-016-01-01	635-00 (635-A8)		RURAL TRANSIT ASSISTANCE PROGRAM	\$543,725.00	\$0.00	\$543,725.00	0

AL-2019-016-01-01	43.50.01	TRAINING	\$543,725.00	\$0.00	\$543,725.00	0
AL-2019-016-01-01	646-00 (646-A2)	JARC PROJECTS	\$2,116,928.00	\$1,987,308.00	\$4,104,236.00	0
AL-2019-016-01-01	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	\$172,827.00	\$43,207.00	\$216,034.00	0
AL-2019-016-01-01	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	\$1,944,101.00	\$1,944,101.00	\$3,888,202.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Section 5311 Statewide Non-Urbanized Area Formula and RTAP, FY 2017 Lapsing Apportionments

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AL-2019-016-01-01	1000-2019-1-P1	12/12/2019	3/31/2019	3/29/2023

#### Project Description

FY 2018 Lapsing funds apportionment of the Section 5311(Non-Urban Formula) funds-\$16,409,874 and FY 2018 Lapsing funds apportioned Section 5311 Rural Transit Assistance Program (RTAP) funds-\$275,032. Federal funds totaling \$16,684,906. Activities in the project will consist of: Operating Assistance, Program Assistance, Intercity Bus Operating Assistance, Job Access Reverse Commute (JARC), State Administration and RTAP/Training. Provide support during FY 2020 for Section 5311 non-urbanized transportation activities: Capital, Operating and Program Assistance to rural sub-recipients; Operating Assistance to intercity bus and JARC sub-recipients; and other activities funded with State Administration and RTAP funds. See attached POP for details per sub-recipient.

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FY 2017 Lapsing funds apportionment of the Section 5311(Non-Urban Formula) funds-\$15,847,087 and FY 2017 Lapsing funds apportioned Section 5311 Rural Transit Assistance Program (RTAP) funds-\$268,693. Federal funds totaling \$16,115,780. Activities in the project will consist of: Operating Assistance, Program Assistance, Intercity Bus Operating Assistance, Job Access Reverse Commute (JARC), State Administration and RTAP/Training. Provide support during FY 2019 for Section 5311 non-urbanized transportation activities: Capital, Operating and Program Assistance to rural sub-recipients; Operating Assistance to intercity bus and JARC sub-recipients; and other activities funded with State Administration and RTAP funds. See attached POP for details per sub-recipient.

#### Project Benefits

The statewide funding will assist with meeting transportation needs of the rural areas of Alabama. Additional operating and project administration funds will support the maintenance of vehicles, equipment, and facilities related to the operation of the transit programs. The funds will also support the employee's salaries and

provide employee benefits for the members of our sub-recipient's staffs. Statewide federal funding will ensure services can be expanded into various area across the state.

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The statewide funding will assist with meeting transportation needs of the rural areas of Alabama. Additional operating and project administration funds will support the maintenance of vehicles, equipment, and facilities related to the operation of the transit programs. The funds will also support the employees salaries, and provide employee benefits for the members of our sub-recipients' staffs. Statewide federal funding will ensure services can be expanded into various area across the state.

**Additional Information**

None

**Location Description**

See attached POP for details per sub-recipient.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
010000	Alabama

**Congressional District Information**

State	District	Representative
Alabama	1	Bradley Byrne
Alabama	2	Martha Roby
Alabama	3	Mike Rogers
Alabama	4	Robert B Aderholt
Alabama	5	Mo Brooks
Alabama	6	Gary J Palmer
Alabama	7	Terri A Sewell

**Program Plan Information**

**STIP/TIP**

Date: 2/9/2020

Description: State of Alabama Transportation Plan 2019-2023 on file.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$32,800,686
Local			\$21,394,208
Local/In-Kind			\$32,280
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$54,227,174</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2019-016-01-01	114-00 (114-A6) BUS: SUPPORT EQUIP AND FACILITIES	\$407,920.00	\$101,980.00	\$509,900.00	0
AL-2019-016-01-01	11.42.06 ACQUIRE - SHOP EQUIPMENT	\$218,720.00	\$54,680.00	\$273,400.00	0
AL-2019-016-01-01	11.42.07 ACQUIRE - ADP HARDWARE	\$1,200.00	\$300.00	\$1,500.00	0
AL-2019-016-01-01	11.42.08 ACQUIRE - ADP SOFTWARE	\$129,120.00	\$32,280.00	\$161,400.00	0
AL-2019-016-01-01	11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$50,400.00	\$12,600.00	\$63,000.00	0
AL-2019-016-01-01	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$8,480.00	\$2,120.00	\$10,600.00	0
AL-2019-016-01-01	117-00 (117-A7) OTHER CAPITAL ITEMS (BUS)	\$80,000.00	\$20,000.00	\$100,000.00	0



AL-2019-016-01-01		11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	\$80,000.00	\$20,000.00	\$100,000.00	0
AL-2019-016-01-01	300-00 (300-A1)		OPERATING ASSISTANCE	\$12,068,614.00	\$12,068,614.00	\$24,137,228.00	0
AL-2019-016-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$12,068,614.00	\$12,068,614.00	\$24,137,228.00	0
AL-2019-016-01-01	610-00 (610-A5)		STATE / PROGRAMS ADMINISTRATION	\$3,225,696.00	\$0.00	\$3,225,696.00	0
AL-2019-016-01-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$3,225,696.00	\$0.00	\$3,225,696.00	0
AL-2019-016-01-01	620-00 (620-A3)		PROJECT ADMINISTRATION	\$9,478,955.00	\$2,369,738.00	\$11,848,693.00	0
AL-2019-016-01-01		11.79.00	PROJECT ADMINISTRATION	\$9,478,955.00	\$2,369,738.00	\$11,848,693.00	0
AL-2019-016-01-01	634-00 (634-A4)		INTERCITY BUS TRANSPORTATION	\$4,878,848.00	\$4,878,848.00	\$9,757,696.00	0
AL-2019-016-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$4,878,848.00	\$4,878,848.00	\$9,757,696.00	0
AL-2019-016-01-01	635-00 (635-A8)		RURAL TRANSIT ASSISTANCE PROGRAM	\$543,725.00	\$0.00	\$543,725.00	0
AL-2019-016-01-01		43.50.01	TRAINING	\$543,725.00	\$0.00	\$543,725.00	0
AL-2019-016-01-01	646-00 (646-A2)		JARC PROJECTS	\$2,116,928.00	\$1,987,308.00	\$4,104,236.00	0

AL-2019-016-01-01	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	\$172,827.00	\$43,207.00	\$216,034.00	0
AL-2019-016-01-01	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	\$1,944,101.00	\$1,944,101.00	\$3,888,202.00	0

### Project Budget Activity Line Items

**Budget Activity Line Item: 11.42.08 - ACQUIRE - ADP SOFTWARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

**Extended Budget Description**

2/10/2020

Amendment 1 - Apportionment FY2018

Adds \$129,120 in Federal fund to purchased ADP Software

\*Disposal: Equipment disposal occurs after its useful life is reached with prior approval from ALDOT.

\*Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

**Support Equipment Useful Life:**

Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. with federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:

ADP Software: 3 years.

ADP Hardware: 5 years

Shop Equipment: 5 years

Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$129,120
Local			\$0
Local/In-Kind			\$32,280
State			\$0

State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$161,400</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2020	Start
End Date	3/30/2023	End

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

2/10/2020

Amendment 1 - Apportionment FY2018

Adds \$6,123,614 in Federal funds for Section 5311 Operating assistance for sub-recipients.

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Adds an additional \$5,945,000 Section 5311 Federal funds for Operating assistance. For sub-recipients:

- Autauga Co Comm
- Baldwin Co Comm
- City of Eufaula
- Blount Co Comm
- E AL Reg Plan & Dev Comm
- Chilton Co
- NW AL COG
- AL Tombigbee Reg Comm
- Covington Area Transit System
- Cullman Co Comm (CARTS)
- DeKalb Co Comm
- Escambia Co AL Transit System
- Etowah Co Comm
- W AL Health Svcs, Inc.
- SE AL Reg Plan & Dev Comm
- Jackson Co Comm
- Bham Reg Paratrans Cons (CLASTRAN)
- NC AL Reg COG (NARCOG)
- Lee-Russell
- Macon Co. Comm
- Madison Co Comm
- City of Guntersville
- H.E.L.P., Inc.
- City of Troy
- St. Clair Co Comm
- ARISE, Inc.
- Walker Co Comm

Educational Center for Independence

Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,068,614
Local			\$12,068,614
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$24,137,228</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 30.09.05 - JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
JARC PROJECTS (646-00)	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	OPERATING ASSISTANCE	0

**Extended Budget Description**

2/10/2020

Amendment 1 - Apportionment FY2018

Adds an additional \$993,900 Section 5311 Federal funds for JARC operating assistance. See attached POP for details per sub-recipient. The Job Access and Reverse Commute (JARC) funds provided in this award will be used to address the unique transportation challenges faced by welfare recipients and low-income persons seeking to obtain and maintain employment.

Comment regarding 3rd party contractors.

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Adds an additional \$950,201 Section 5311 Federal funds for JARC operating assistance. See attached POP for details per sub-recipient. The Job Access and Reverse Commute (JARC) funds provided in this award will be used to address the unique transportation challenges faced by welfare recipients and low-income persons seeking to obtain and maintain employment.

Comment regarding 3rd party contractors: There are twelve sub-recipients that use 3rd party contractors for their JARC assistance

E AL Reg Plan & Dev Comm  
 Chilton Co  
 NW AL COG  
 AL Tombigbee Reg Comm  
 Cullman Co Comm (CARTS)  
 Etowah Co Comm  
 W AL Health Svcs, Inc.  
 SE AL Reg Plan & Dev Comm  
 Bham Reg Paratrans Cons (CLASTRAN)  
 Lee-Russell  
 Macon Co. Comm  
 H.E.L.P., Inc.

Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,944,101
Local			\$1,944,101
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,888,202</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

2/10/2020

Amendment 1 - Apportionment FY2018

Add \$4,947,945 Federal funds Section 5311 project administration funds.

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Add \$4,531,010 Federal Sec. 5311 project administration funds.

- Autauga Co
- Baldwin Co Comm
- City of Eufaula
- Blount Co Comm
- E AL Reg Plan & Dev Comm
- Chilton Co Transit
- NW AL Council of Local Govs (NACOLG)
- AL Tombigbee Reg Comm
- Covington Area Transit System
- Cullman Co Comm (CARTS)
- DeKalb Co Comm
- Escambia Co AL Transit System (ECATS)
- Etowah Co Comm
- W AL Health Svcs (WAPT)
- SE AL Reg Plan & Dev Comm
- Jackson Co Comm (COA) Comm (COA)
- Bham Reg Paratrans Cons (CLASTRAN)
- NC AL Reg COG (NARCOG)
- Lee-Russell
- Macon Co Comm
- Madison Co Comm
- City of Guntersville
- H.E.L.P., Inc
- City of Troy (PATS)
- St. Clair Co Comm
- ARISE, Inc.
- Walker Co Comm
- EdCtr for Independence (ECI)

Project administrative funds are used to provide assistance to the Alabama Department of Transportation's sub-recipients with their eligible administrative expenses which include general administrative expenses (salaries of the project director, secretary, and bookkeeper) plus marketing expenses; insurance premiums or self-insurance reserve; office supplies, facilities and equipment rental; standard overhead expenses; costs of administrative expenses relating to drug and alcohol testing; and other administration expenses deemed eligible by FTA.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,478,955
Local			\$2,369,738
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$11,848,693</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
JARC PROJECTS (646-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

2/10/2020

Amendment 1 - Apportionment FY2018

Adds \$112,827 in Federal Section 5311 funds for JARC Mobility Management activities.

\*Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

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Adds an additional \$60,000 in Federal Section 5311 funds for JARC Mobility Management activities for Lee/Russell COG.

\*Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$172,827
Local			\$43,207
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$216,034</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

2/10/2020

Amendment 1 - Apportionment FY2018

Adds \$2,461,481 in Federal funds for Intercity Bus assistance: W. Ala. Public Transportation and Greyhound Lines, Inc..

\*\*\*\*\*

Lapsing Funds:

Adds \$2,417,367.00 in Federal funds for Intercity Bus assistance: W. Ala. Public Transportation and Greyhound Lines, Inc..

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$4,878,848
Local			\$4,878,848
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,757,696</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**



Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

2/10/2020

Amendment 1 - Apportionment FY2018

Adds \$1,640,987 in Federal Funds for State Administration assistance.

\*\*\*\*\*

Adds \$1,584,709 in Federal Funds for State Administration assistance.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,225,696
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,225,696</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

**Extended Budget Description**

Buy ADP Hardware for:

City of Guntersville

\*Disposal: Equipment disposal occurs after its useful life is reached with prior approval from ALDOT.

\*Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or

ineligible from participation in Federal assisted procurement.

**Support Equipment Useful Life:**

Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. with federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:

ADP Software: 3 years.

ADP Hardware: 5 years

Shop Equipment: 5 years

Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,200
Local			\$300
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Adds an additional \$80,000 in Federal Section 5311 funds for Mobility Management activities for: Southeast Ala. Plan.& Dev. Commission.

\*Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$80,000
Local			\$20,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$100,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

2/10/2020

Amendment 1 - Apportionment FY2018

Adds \$275,032 in Federal RTAP Training funds to this award.

\*\*\*\*\*

Adds \$268,693 in Federal RTAP Training funds to the grant.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$543,725
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$543,725</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

**Extended Budget Description**

Buy shop equipment for:

Cullman Co Comm (CARTS) - Bus wash equip & fueling station

Etowah Co Comm - Pressure washer

Covington Area Transit System - Puller, Service Body & Drain Pan

NW AL COG - Automotive Lift

\*Disposal: Equipment disposal occurs after its useful life is reached with prior approval from ALDOT.

\*Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

Support Equipment Useful Life:

Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. with federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:

ADP Software: 3 years.

ADP Hardware: 5 years

Shop Equipment: 5 years

Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$218,720
Local			\$54,680
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$273,400</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

**Extended Budget Description**

Buy Surveillance/Security Cameras for:

Lee-Russell - 9 security cameras for buses

\*Disposal: Equipment disposal occurs after its useful life is reached with prior approval from ALDOT.

\*Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

**Support Equipment Useful Life:**

Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. with federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:

ADP Software: 3 years.

ADP Hardware: 5 years

Shop Equipment: 5 years

Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$50,400
Local			\$12,600
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$63,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

**Extended Budget Description**

Buy Miscellaneous Equipment for:

Chilton Co - Phones/Radios  
 AL Tombigbee Reg Comm - Phones/Radios (for drivers)  
 Etowah Co Comm - bluetooth headsets & GPSs

\*Disposal: Equipment disposal occurs after its useful life is reached with prior approval from ALDOT.  
 \*Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

**Support Equipment Useful Life:**

Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. with federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:  
 ADP Software: 3 years.  
 ADP Hardware: 5 years  
 Shop Equipment: 5 years  
 Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,480
Local			\$2,120

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,600</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2019	
End Date	3/30/2022	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$12,068,614.00	\$24,137,228.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
JARC PROJECTS (646-00)	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	0	\$1,944,101.00	\$3,888,202.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$9,478,955.00	\$11,848,693.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.



Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
JARC PROJECTS (646-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$172,827.00	\$216,034.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$4,878,848.00	\$9,757,696.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$3,225,696.00	\$3,225,696.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	0	\$1,200.00	\$1,500.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OTHER CAPITAL ITEMS (BUS) (117- 00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$80,000.00	\$100,000.00
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**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$543,725.00	\$543,725.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	0	\$218,720.00	\$273,400.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	0	\$50,400.00	\$63,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$8,480.00	\$10,600.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and

are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	0	\$129,120.00	\$161,400.00

**Part 4: Fleet Details**

No fleet data exists for this application.

**Part 5: FTA Review Comments**

**FTA Comments for DOL**

**Comment By** Courtney Roberts

Comment Type DOL Review for Information

Date 4/21/2020

Comment This grant application is for Section 5311. Please review this application for information only. The grantee contact is Catrina Perry and can be reached at perryca@dot.state.al.us .

**Application Review Comments**

**Comment By** Courtney Roberts

Comment Type Application Details

Date 3/31/2020

Comment Please have your attorney sign the Certifications and Assurances (C&A).

**Application Review Comments**

**Comment By Courtney Roberts**

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Comment Type FTA Post Review Comments for Grantee

Date 3/31/2020

Comment See Application Details Comment-  
Please have your attorney sign the Certifications and Assurances (C&A).

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Alabama Dept Of

Recipient ID: 1000

DUNS No: 837892371

**Application Information**

Federal Award Identification Number: AL-2019-016-01

Application Name: Section 5311 Non-Urbanized Transportation

Application Start Date: 8/6/2019

Original Award End Date: 3/30/2022

Current Award End Date: 3/30/2022

Application Executive Summary: Amendment No. 1:

This amendment is to add lapsing funds from the FY2018 apportionment of the Section 5311(Non-Urban Formula), \$16,409,874 funds, and FY2018 apportionment Section 5311 (RTAP) funds \$275,032 to the award. The total amount of federal funds to be added to the grant is \$16,684,906 and Local Match of \$10,876,468, totaling \$27,561,374. It is programmed in the Alabama 2019-2023 STIP.

1. Capital:

Scope-646-00 – (\$112,827) Federal Job Access & Reverse Commute

ALI- 11.7L.00 – Cost \$112,827 Federal (Mobility Management)

Scope – 114-00 (\$129,120) Federal Support Facilities and Equipment (Purchase support equipment)

ALI-11.42.08 - Cost \$129,120 Federal (Purchase ADP Software)

2. Operating:

Scope-300-00 – (\$6,123,614) Federal (Operating Assistance- Sec. 5311)

ALI-30.09.01 - \$6,123,614 Federal (Operating Assistance)

Scope- 634-00 – (\$2,461,481) Federal (Operating Assistance- Intercity Bus)

ALI-30.09.01 - \$2,461,481 Federal (Operating Assistance Intercity Bus)

Scope-646 – (\$993,900) Federal (Operating Assistance- Job Access Reverse Commute)

ALI-30.90.05 - \$993,900 Federal (Operating Assistance Job Access Reverse Commute)

3. Administration:

Scope-610-00 – (\$1,640,987) Federal (State/Programs Administration-Sec. 5311)

ALI- 11.80.00 – Cost \$1,640,987 Federal (State Administration - Sec. 5311)

Scope-620-00 – (\$4,947,945) Federal (Project Administration- Sec. 5311)

ALI- 11.79.00 – Cost \$4,947,945 Federal (Project Administration -Sec. 5311)

4. Other:

Scope- 635-00 – (\$275,032) Federal Rural Transit Assistance Program (RTAP)

ALI- 43.50.01 – Cost \$275,032 Federal (RTAP Training)

\*The Alabama Department of Transportation is the Governor of Alabama’s designated recipient of Section 5311 Non-Urbanized Transportation allocation. \*No, the Alabama Department of Transportation does not charge Indirect Cost. \*The Start Date is the award date of this application and the End Date is 03/30/2023. \*No funds are request for Research and Development. \*No Union involvement for the State of Alabama. \*Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP) and Fleet Replacement List.

\*\*\*\*\*

Lapsing Funds, this is an application for a new award to move lapsing funds from the FY2017

apportionment of the Section 5311(Non-Urban Formula), \$15,847,087 funds, and FY2017 apportionment Section 5311 (RTAP) funds \$268,693 to a new award. The total amount of federal funds to be added to the grant is \$16,115,780 and Local Match of \$9,780,903, totaling \$25,896,683. It is programmed in the Alabama 2016-2019 STIP.

1. Capital:

Scope-646-00 – (\$60,000) Federal Job Access & Reverse Commute  
ALI- 11.7L.00 – Cost \$60,000 Federal (Mobility Management)  
Scope – 114-00 (\$278,800) Federal Support Facilities and Equipment (Purchase support equipment)  
ALI-11.42.06 - Cost \$218,720 Federal (Purchase Shop Equipment)  
ALI-11.42.07 - Cost \$1,200 Federal (Purchase ADP Hardware)  
ALI-11.42.08 – Cost \$50,400 Federal (Purchase Surveillance/Security)  
ALI-11.42.20 - Cost \$8,480 Federal (Purchase Miscellaneous Equipment)  
Scope - 117-00 (\$80,000) Federal (Mobility Management)  
ALI-11.7L.00 Cost \$80,000 Federal (Mobility Management)

2. Operating:

Scope-300-00 – (\$5,945,000) Federal (Operating Assistance- Sec. 5311)  
ALI-30.09.01 - \$5,945,000 Federal (Operating Assistance)  
Scope- 634-00 – (\$2,417,367) Federal (Operating Assistance- Intercity Bus)  
ALI-30.09.01 - \$2,417,367 Federal (Operating Assistance Intercity Bus)  
Scope-646 – (\$950,201) Federal (Operating Assistance- Job Access Reverse Commute)  
ALI-30.90.05 - \$950,201 Federal (Operating Assistance Job Access Reverse Commute)

3. Administration:

Scope-610-00 – (\$1,584,709) Federal (State/Programs Administration-Sec. 5311)  
ALI- 11.80.00 – Cost \$1,584,709 Federal (State Administration - Sec. 5311)  
Scope-620-00 – (\$4,531,010) Federal (Project Administration- Sec. 5311)  
ALI- 11.79.00 – Cost \$4,531,010 Federal (Project Administration -Sec. 5311)

4. Other:

Scope- 635-00 – (\$268,693) Federal Rural Transit Assistance Program (RTAP)  
ALI- 43.50.01 – Cost \$268,693 Federal (RTAP Training)

\*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

\*No, the Alabama Department of Transportation does not charge Indirect Cost.

\*The Start Date is the award date of this application and the End Date is 03/30/2022.

\*No funds are request for Research and Development.

\*No Union involvement for the State of Alabama.

\*Attached documents are as follows: STIP (10/1/2014 thru 9/30/2019), Program of Projects (POP) and Fleet Replacement List.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$54,227,174.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$16,684,906.00



Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$10,876,467.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$32,800,686.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$21,426,488.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$32,800,686
Local			\$21,394,208
Local/In-Kind			\$32,280
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$54,227,174</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Alabama Dept Of*