

Application

Federal Award Identification Number (FAIN)	WI-2020-009-00
Temporary Application Number	6741-2020-1
Application Name	5311 Tribal Transit Program Fleet Expansion and Facility Enhancement Capital Grant
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Lac Du Flambeau Band Of Lake Superior Chippewa Indians

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6741	Indian Tribe	LAC DU FLAMBEAU, BAND OF LAKE SUPERIOR CHIPPEWA INDIANS	074781584

Location Type	Address	City	State	Zip
Headquarters	418 LITTLE PINES RD	LAC DU FLAMBEAU	WI	545389124
Physical Address	418 LITTLE PINES RD	LAC DU FLAMBEAU	WI	54538
Mailing Address	418 LITTLE PINES ROAD	LAC DU FLAMBEAU	WI	54538

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 Tribal Transit Program Fleet Expansion and Facility Enhancement Capital Grant

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WI-2020-009-00	Final Concurrence / Reservation	Grant	2/28/2020	2/28/2020	No

Application Start Date

The start date will be set to the date of the award

Application End Date

3/29/2023

Application Executive Summary

Lac du Flambeau Transit proposes to upgrade and add to the existing fleet by purchasing three (3) new SUV vehicles. LdF Transit has entered a new phase of operation as it is now under direct supervision and operation of the Lac du Flambeau Tribe. Communications equipment and will be needed as well as lettering for the new vehicles. Additionally, the interior of our administration building, including the passenger waiting, office, break and training areas will be upgraded, including replacing old flooring and out of date office equipment. This grant will utilize the FY19 5311 Tribal Transit Program apportionment of \$152,392. No local match is required. Attachments to this application include the FY19 Apportionment notice.

In executing this grant, Lac Du Flambeau Tribe agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock. As this Grant Agreement includes an FTA-funded transit vehicle purchase, Lac Du Flambeau Tribe agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Lac Du Flambeau Tribe must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Lac Du Flambeau Tribe is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. Lac Du Flambeau Tribe understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Emerson	Coy	Director, Planning & Dev	ecoy@ldftribe.com	715-588-4252
Evan	Gross	Transportation Program Specialist	evan.gross@dot.gov	312-886-1619

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$152,392
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$152,392

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2020-009-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$152,392.00	\$0.00	\$152,392.00	8
WI-2020-009-01-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$120,000.00	\$0.00	\$120,000.00	3
WI-2020-009-01-00	11.42.07 ACQUIRE - ADP HARDWARE	\$17,300.00	\$0.00	\$17,300.00	4

WI-2020-009-01-00	11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	\$15,092.00	\$0.00	\$15,092.00	1
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Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FY19 5311 Tribal Transit Program Fleet Expansion and Facility Enhancement Project

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2020-009-01-00	6741-2020-1-P1	2/28/2020	3/1/2020	12/30/2020

Project Description

Utilize \$152,392 in FY19 5311 Tribal Transit Formula funds to purchase three new SUV vehicles to add to our demand response service fleet. The project will additionally purchase new radios, decals, and extra winter tires to fully equip the new vehicles.
 For our administration facility, the waiting, training & break and office areas will be upgraded with new flooring and minor interior work for a better environment for employees and riders. New office equipment will also be purchased.

Project Benefits

This will add to the safety of the service by newer vehicles as two have extended their useful life. The demand for service has been increasing and the need to expand the fleet is now a top priority.

Additional Information

None provided.

Location Description

Lac du Flambeau Reservation

Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

Congressional District Information

State	District	Representative
Wisconsin	8	shawn duffy
Wisconsin	8	Shawn Duffy

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$152,392
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$152,392

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2020-009-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$152,392.00	\$0.00	\$152,392.00	8
WI-2020-009-01-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$120,000.00	\$0.00	\$120,000.00	3
WI-2020-009-01-00	11.42.07 ACQUIRE - ADP HARDWARE	\$17,300.00	\$0.00	\$17,300.00	4
WI-2020-009-01-00	11.44.01 REHAB/RENOVATE - ADMINISTRATIVE FACILITY	\$15,092.00	\$0.00	\$15,092.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.44.01 - REHAB/RENOVATE - ADMINISTRATIVE FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

Extended Budget Description

Procurement of replacement flooring, furnishings, office equipment for passenger and staff areas of transit administration facility.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$15,092
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$15,092

Milestone Name	Est. Completion Date	Description
Purchase date	7/15/2020	
End Date/All work completed	12/31/2020	
Begin Procurement process - get quotes	5/29/2020	

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	4

Extended Budget Description

Purchase new communication equipment/hardware/radios for vehicles (4 year useful life)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$17,300
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,300

Milestone Name	Est. Completion Date	Description
Purchase Order date	5/30/2020	
Radios and equipment installed in vehicles/procurement completed	6/15/2020	
Begin Procurement process - Get quotes	3/18/2020	

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	3

Extended Budget Description

Procure 3 new Fleet-Expansion SUV/Vans with a useful life of 4 years or 100,000 miles. Procurement to include decals, extra winter tires for the new vehicles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$120,000
Local			\$0
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$120,000

Milestone Name	Est. Completion Date	Description
RFP/Begin Procurement process	3/2/2020	
Purchase date/contract award	4/30/2020	TVM Report
Contract completion/vehicles delivered	5/30/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/6/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.13.15	BUY VAN FOR SVC EXPANSION	3	\$120,000.00	\$120,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/6/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.07	ACQUIRE - ADP HARDWARE	4	\$17,300.00	\$17,300.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	3/6/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	1	\$15,092.00	\$15,092.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Lac Du Flambeau Band Of Lake Superior Chippewa Indians

Recipient ID: 6741

DUNS No: 074781584

Application Information

Federal Award Identification Number: WI-2020-009-00

Application Name: 5311 Tribal Transit Program Fleet Expansion and Facility Enhancement Capital Grant

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/29/2023

Application Executive Summary: Lac du Flambeau Transit proposes to upgrade and add to the existing fleet by purchasing three (3) new SUV vehicles. LdF Transit has entered a new phase of operation as it is now under direct supervision and operation of the Lac du Flambeau Tribe. Communications equipment and will be needed as well as lettering for the new vehicles. Additionally, the interior of our administration building, including the passenger waiting, office, break and training areas will be upgraded, including replacing old flooring and out of date office equipment. This grant will utilize the FY19 5311 Tribal Transit Program apportionment of \$152,392. No local match is required. Attachments to this application include the FY19 Apportionment notice.

In executing this grant, Lac Du Flambeau Tribe agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock. As this Grant Agreement includes an FTA-funded transit vehicle purchase, Lac Du Flambeau Tribe agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Lac Du Flambeau Tribe must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Lac Du Flambeau Tribe is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA- assisted transit vehicle award using the required FTA reporting tool. Lac Du Flambeau Tribe understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$152,392.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$152,392.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$152,392.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$152,392
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$152,392

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Lac Du Flambeau Band Of Lake Superior Chippewa Indians