



November 29, 2023

The Honorable Lisa M. Gomez
Assistant Secretary of Labor
Employee Benefits Security Administration
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Attention: Docket ID Number EBSA-2023-014 Regarding RIN 1210-AC02 and ZRINs 1210-ZA32, 1210-ZA33, and 1210-ZA34

Submitted Electronically via Federal eRulemaking Portal: www.regulations.gov

Re: Request to Testify at the December 12-13, 2023 Public Hearing Regarding the Proposed Amendment to the Definition of Fiduciary Investment Advice and Proposed Amendments to Associated Prohibited Transaction Exemptions

Assistant Secretary Gomez:

I am writing to request to testify at the December 12-13, 2023 hearing, Docket ID Number EBSA-2023-014. As required by the hearing notice published in the Federal Register on November 20th, I am providing the following information:

1. "The name, title, organization, address, email address, and telephone number of the individual who would testify;"
Mr. Marc Cadin
Chief Executive Officer
Finseca
600 13th Street, N.W.
Washington, D.C. 20005
marccadin@finseca.org
(202) 772-2492
2. "...if applicable, the name of the organization(s) whose views would be represented;"



I will be testifying on behalf of Finseca, an organization representing more than 8700 financial security professionals who help Americans achieve financial security through holistic financial plans that include investments, retirement (including annuities), and life insurance. Independent research by Ernst and Young confirms such planning produces better outcomes for all consumers who use it.

3. "...the date of the requestor's written comment on the proposed rule or exemption proposals..."

While Finseca is preparing and intends to submit a written comment letter, the hearing date precedes the end of the comment period.

Thank you for the opportunity to request to testify at this important hearing.

Sincerely,

A handwritten signature in black ink, appearing to read 'MC', with a long horizontal flourish extending to the right.

Marc Cadin