PUBLIC SUBMISSION

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Definition of an Investment Advice Fiduciary

Comment On: EBSA-2023-0014-0003

Hearings, Meetings, Proceedings etc.: Retirement Security Rule; Definition of an Investment Advice Fiduciary and Associated Prohibited Transaction Exemption Amendments

Document: 1210-AC02 request 0021 American Bankers Association Keehan

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Submitter Information

Organization: American Bankers Association

General Comment

The American Bankers Association hereby requests to testify at the Department of Labor public hearing on the Retirement Security Rule Proposal to be held on Tuesday, December 12, 2023.

(1) Person Testifying: Timothy E. Keehan Title: Senior Vice President & Senior Counsel Organization: American Bankers Association

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- (2) The views of the ABA on the DOL proposal will be presented.
- (3) Date of comment letter to be submitted: January 2, 2024