

## Application

<b>Federal Award Identification Number (FAIN)</b>	SD-2022-004-00
<b>Temporary Application Number</b>	1160-2022-1
<b>Application Name</b>	FY2022 Sec 5311 Operating, ICB, RTAP & Admin
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/1/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, SOUTH DAKOTA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1160	State Agency	South Dakota Department of Transportation	809588098

Location Type	Address	City	State	Zip
Headquarters	500 E CAPITOL AVE	PIERRE	SD	575015007
Physical Address	700 E BROADWAY AVE	PIERRE	SD	57501
Mailing Address	700 E BROADWAY AVE	PIERRE	SD	57501

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FY2022 Sec 5311 Operating, ICB, RTAP & Admin**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
SD-2022-004-00	Final Concurrence / Reservation	Grant	11/5/2021	11/5/2021	No

**Application Executive Summary**

Purpose of the Award: SDDOT is coming in for the full FFY2021 5311 Rural and RTAP Apportionments for a total of \$7,612,694 (federal).

Activities to be performed:

\$3,711,649 Operating Assistance for rural providers

\$121,188 RTAP (training)

\$3,239,867 Project Administration for rural providers

\$131,746 Intercity Bus (of \$1,123,725 required ICB 15% minimum to fund feeder services across the State)

\$408,244 State/Program Administration for SDDOT (54% of maximum allowable 10% of full apportionment)

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Total FTA funds in the amount of \$7,612,694

(After conversations with Rosebud Sioux Tribe regarding their funding levels, it was determined that they would not require funding from this grant.)

Expected outcomes:

This grant will assist transit agencies statewide in funding administrative and operating costs during FY2022. SDDOT will award to 5311 eligible subrecipients for projects throughout the state.

Intended beneficiaries: The transit patrons and employees of various transit agencies throughout the state of South Dakota.

Subrecipient Activities: This grant will fund administrative and operating costs, as well as provide training and fund ICB admin and operating for the following subrecipients:

Aberdeen Rideline, Brookings Area Transit Authority, City of Brandon, Community Transit of Watertown/Sisseton, East Dakota Transit, Palace Transit, People's Transit, River Cities Public Transit, Rural Office of Community Service, Standing Rock, Vermillion Public Transit, West River (Prairie Hills Transit) and Yankton Transit.

Other Information:

Required documentation including the Program of Projects (POP) and STIP documentation are attached. Local funds sources for each subrecipient is documented in the POP.

SDDOT uses the sliding scale percentages for Project Administration at 82.82% federal match and Operating at 51.76% federal match per SMP and Circular 9040.1G .

RTAP and State Administration Funds are 100% Federal.

SDDOT has provided FTA with the Governor's letter stating that the intercity bus service needs in the state are being met without using 15% minimum of the 5311 funds. SDDOT will meet their ICB needs utilizing CARES funding awarded earlier in this fiscal year.

SDDOT is requesting pre-award authority from 10/1/2021 in the amount of \$7,612,694 for all ALI's in this grant.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Talbot	Hauffe	Transportation Program Specialist	talbot.hauffe@dot.gov	(303) 362-2402
Monte	Meier	Transportation Specialist 1	monte.meier@state.sd.us	(605) 773-4169
Kristin	Kenyon	Community Planner	kristin.kenyon@dot.gov	(303) 362-2391

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,612,694
Local			\$4,211,547
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$11,824,241</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-004-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$3,239,867.00	\$672,071.00	\$3,911,938.00	1
SD-2022-004-01-00	11.79.00 PROJECT ADMINISTRATION	\$3,239,867.00	\$672,071.00	\$3,911,938.00	1
SD-2022-004-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$3,711,649.00	\$3,459,234.00	\$7,170,883.00	1
SD-2022-004-02-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$3,711,649.00	\$3,459,234.00	\$7,170,883.00	1
SD-2022-004-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$121,188.00	\$0.00	\$121,188.00	1
SD-2022-004-03-00	43.50.01 TRAINING	\$121,188.00	\$0.00	\$121,188.00	1
SD-2022-004-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$131,746.00	\$80,242.00	\$211,988.00	2
SD-2022-004-04-00	11.79.00 PROJECT ADMINISTRATION	\$58,719.00	\$12,181.00	\$70,900.00	1
SD-2022-004-04-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$73,027.00	\$68,061.00	\$141,088.00	1
SD-2022-004-05-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$408,244.00	\$0.00	\$408,244.00	1
SD-2022-004-05-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$408,244.00	\$0.00	\$408,244.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Project Administration</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2022-004-01-00	1160-2022-1-P1	11/5/2021	10/1/2021	12/31/2022

### Project Description

SDDOT is coming in for FFY2020 5311 federal funds in the amount \$3,239,867 for Project Administration.

### Project Benefits

Project Administration funds will assist providers to continue to provide public transportation to the rural communities served.

### Additional Information

*None provided.*

### Location Description

South Dakota - Statewide

## Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

## Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

## Program Plan Information

### STIP/TIP

Date: 9/30/2021

Description: FY2022-2025 SDDOT STIP

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,239,867
Local			\$672,071
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,911,938</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-004-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$3,239,867.00	\$672,071.00	\$3,911,938.00	1
SD-2022-004-01-00	11.79.00 PROJECT ADMINISTRATION	\$3,239,867.00	\$672,071.00	\$3,911,938.00	1

## Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

### Extended Budget Description

Project Administration for rural provider such as salaries, benefits, utilities, marketing and training. Local match consists of Medicaid, contracts, state funds, IIIB, city, health care organizations, churches, nursing homes, other. The timeframe to use the project administration funds is from 10/1/2021 until 12/30/2022. The list of the local match sources are included in the attached POP for each subrecipient. This ALI is using SDDOT sliding scale match rate of 82.82% federal.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,239,867
Local			\$672,071
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,911,938</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Eligible Activity Start Date
End Date	12/31/2022	Date of final draw down.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

### Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2022-004-02-00	1160-2022-1-P2	11/5/2021	10/1/2021	12/31/2022

#### Project Description

SDDOT is coming in for FFY2022 5311 federal funds in the amount of \$3,711,649, this will provide operating funds for 13 rural public transit providers.

**Project Benefits**

Funds will assist providers to continue to provide public transportation to the rural communities served.

**Additional Information**

*None provided.*

**Location Description**

South Dakota - Statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
South Dakota	1	Dusty Johnson

**Program Plan Information**

**STIP/TIP**

Date: 9/30/2021

Description: SDDOT STIP 2022-2025

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,711,649
Local			\$3,459,234
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0



Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$7,170,883</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-004-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$3,711,649.00	\$3,459,234.00	\$7,170,883.00	1
SD-2022-004-02-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$3,711,649.00	\$3,459,234.00	\$7,170,883.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

#### Extended Budget Description

Operating Assistance for rural providers such as fuel, driver wages & benefits, maintenance, garage utilities. Local match consists of Medicaid, contracts, state funds, IIIB, city health care organizations, churches, nursing homes, other. The list of local match sources are included in the attached POP for each subrecipient. The timeframe to use the operating funds is from 10/1/2021 until 12/31/2022. The SDDOT sliding scale federal match rate is 51.76%.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,711,649
Local			\$3,459,234
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$7,170,883</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Beginning date of eligibility.
End Date	12/31/2022	Date of last draw

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

### Project Title: RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2022-004-03-00	1160-2022-1-P4	11/5/2021	10/1/2021	12/31/2022

#### Project Description

SDDOT is coming in for FFY2022 RTAP funds in the amount of \$121,188 to be used for training, technical assistance, research and related services.

#### Project Benefits

The RTAP funds will provide ongoing training and technical support.

#### Additional Information

None provided.

**Location Description**

South Dakota - Statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
South Dakota	1	Dusty Johnson

**Program Plan Information****STIP/TIP**

Date: 9/30/2021

Description: FY2022-2025 SDDOT STIP

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$121,188
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$121,188</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-004-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$121,188.00	\$0.00	\$121,188.00	1
SD-2022-004-03-00	43.50.01 TRAINING	\$121,188.00	\$0.00	\$121,188.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

RTAP funds for training, services, technical assistance. No local match required. The timeframe to use the project administration funds is from 10/1/2021 until 12/31/2022.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$121,188
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$121,188</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Eligibility beginning date.
End Date	12/31/2022	Date of last draw

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

**Project Title: ICB**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2022-004-04-00	1160-2022-1-P5	12/2/2021	10/1/2021	12/31/2022

**Project Description**

SDDOT is coming in for FY2022 5311 funds in the amount of \$131,746, this is approximately 12% of the minimum amount of 15% or 1.75% of the 15% requirement. SDDOT has a letter from the Governor certifying all of their Intercity Bus needs have been met. This letter is attached under application documents.

**Project Benefits**

This project will provide administrative and operating funds for 5 rural transits to provide feeder services to connect to the main ICB route.

**Additional Information**

*None provided.*

**Location Description**

StateWide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

## Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

## Program Plan Information

### STIP/TIP

Date: 9/30/2021

Description: Not Provided

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$131,746
Local			\$80,242
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$211,988</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-004-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$131,746.00	\$80,242.00	\$211,988.00	2
SD-2022-004-04-00	11.79.00 PROJECT ADMINISTRATION	\$58,719.00	\$12,181.00	\$70,900.00	1

SD-2022-004-04-00	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$73,027.00	\$68,061.00	\$141,088.00	1
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### Project Budget Activity Line Items

<b>Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Administrative Costs for ICB feeder services. The timeframe to use the project administration funds is from 10/1/2021 until 12/31/2022.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$58,719
Local			\$12,181
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$70,900</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Beginning date of eligibility.
End Date	12/31/2022	Date of last draw

<b>Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

**Extended Budget Description**

Operating Funds for ICB Feeder services for the following agencies:  
 Aberdeen RideLine  
 People's Transit  
 River Cities Public Transit  
 Vermillion Transit  
 Yankton Transit

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$73,027
Local			\$68,061
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$141,088</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Date of eligibility begins.
End Date	12/31/2022	Date of last draw down.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved



## Project Title: State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2022-004-05-00	1160-2022-1-P6	12/14/2021	10/1/2021	12/31/2022

### Project Description

SDDOT is coming in for FFY2020 5311 federal funds in the amount \$408,244 for State Administration costs.

### Project Benefits

State Administration funds for SDDOT will provide ongoing training, technical support and monitoring of the 5311 Program.

### Additional Information

*None provided.*

### Location Description

Statewide

## Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

## Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

## Program Plan Information

### STIP/TIP

Date: 9/30/2021

Description: SDDOT STIP 2022-2025

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$408,244
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$408,244</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-004-05-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$408,244.00	\$0.00	\$408,244.00	1
SD-2022-004-05-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$408,244.00	\$0.00	\$408,244.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

### Extended Budget Description

State Administration funds for SDDOT will provide ongoing training, technical support and monitoring of the 5311 Program. The timeframe to use the project administration funds is from 10/1/2021 until 12/31/2022.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$408,244
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$408,244</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Date of eligibility
End Date	12/31/2022	Date of last draw.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By Kristin Kenyon**

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Comment Type DOL Review for Information

Date 12/22/2021

Comment This Sec 5311 application is being sent to DOL for informational purposes only (certification is not required).  
For any questions, please contact Monte.Meier@state.sd.us at the South Dakota DOT.  
Thank you

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION, SOUTH DAKOTA DEPARTMENT OF

Recipient ID: 1160

DUNS No: 809588098

## Application Information

Federal Award Identification Number: SD-2022-004-00

Application Name: FY2022 Sec 5311 Operating, ICB, RTAP & Admin

Application Start Date: N/A

Original Award End Date: 3/1/2023

Current Award End Date: N/A

Application Executive Summary: Purpose of the Award: SDDOT is coming in for the full FFY2021 5311 Rural and RTAP Apportionments for a total of \$7,612,694 (federal).

Activities to be performed:

\$3,711,649 Operating Assistance for rural providers

\$121,188 RTAP (training)

\$3,239,867 Project Administration for rural providers

\$131,746 Intercity Bus (of \$1,123,725 required ICB 15% minimum to fund feeder services across the State)

\$408,244 State/Program Administration for SDDOT (54% of maximum allowable 10% of full apportionment)

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Total FTA funds in the amount of \$7,612,694

(After conversations with Rosebud Sioux Tribe regarding their funding levels, it was determined that they would not require funding from this grant.)

Expected outcomes:

This grant will assist transit agencies statewide in funding administrative and operating costs during FY2022. SDDOT will award to 5311 eligible subrecipients for projects throughout the state.

Intended beneficiaries: The transit patrons and employees of various transit agencies throughout the state of South Dakota.

Subrecipient Activities: This grant will fund administrative and operating costs, as well as provide training and fund ICB admin and operating for the following subrecipients:

Aberdeen Rideline, Brookings Area Transit Authority, City of Brandon, Community Transit of Watertown/Sisseton, East Dakota Transit, Palace Transit, People's Transit, River Cities Public Transit, Rural Office of Community Service, Standing Rock, Vermillion Public Transit, West River (Prairie Hills Transit) and Yankton Transit.

Other Information:

Required documentation including the Program of Projects (POP) and STIP documentation are attached. Local funds sources for each subrecipient is documented in the POP.

SDDOT uses the sliding scale percentages for Project Administration at 82.82% federal match and Operating at 51.76% federal match per SMP and Circular 9040.1G .

RTAP and State Administration Funds are 100% Federal.

SDDOT has provided FTA with the Governor's letter stating that the intercity bus service needs in the state are being met without using 15% minimum of the 5311 funds. SDDOT will meet their ICB needs utilizing CARES funding awarded earlier in this fiscal year.

SDDOT is requesting pre-award authority from 10/1/2021 in the amount of \$7,612,694 for all ALL's in this grant.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$11,824,241.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$7,612,694.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$4,211,547.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$7,612,694.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$4,211,547.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$7,612,694
Local			\$4,211,547
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$11,824,241</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, SOUTH DAKOTA DEPARTMENT OF*