

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	KY-2021-018-01
Temporary Application Number	1003-2021-7
Application Name	KY Section 5311 ARPA OPERATING AND INTERCITY OPERATING APPORTIONMENT AND INTERCITY BUS FORMULA
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	5/12/2021	
Original Period of Performance End Date	3/30/2024	
Current Period of Performance End Date	3/30/2024	Revision #: 0

Part 1: Recipient Information

Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL-700 CAPITOL AVE	FRANKFORT	KY	406013410
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union
Address 2	

City	No Union
State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Application Information

Title: KY Section 5311 ARPA OPERATING AND INTERCITY OPERATING APPORTIONMENT AND INTERCITY BUS FORMULA

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2021-018-01	Final Concurrence / Reservation	Grant	11/16/2021	11/16/2021	No

Application Executive Summary

November 2021

Amendment#1

KYTC Section 5311Amendment#1 is seeking the remaining ARPA Apportionment RTAP 100% Federal \$151,132 with zero balance to be programmed.

The FFY21 ARPA included two Intercity Bus Amounts. One that was published \$2,690,950 and the Formula that required 15% Intercity Bus.

Under the Original grant all INTERCITY BUS REQUIREMENTS HAVE BEEN MADE.

The ARPA 21 Apportionment was broken into: \$9,821,908 FORMULA and \$2,690,950 INTERCITY BUS and \$151,132 RTAP.

The 15% of the FORMULA Apportionment \$9,821,908 was programmed and included Intercity Bus formula 15% requirement \$1,733,279.

and the \$2,690,950 was programmed Intercity bus. Total Intercity Bus that has been programmed and awarded is the \$2,690,950 and \$1,733,279.

PURPOSE:

The Purpose of the RTAP Apportionment is for statewide rural transit training. Due to COVID, some of the training will be virtual. It is imperative that drivers and transit employees receive training to offer the best and safest transportation possible.

ACTIVITIES TO BE PERFORMED:

Various training such as vehicle maintenance, defensive driver training, safety, ADA, Civil Rights, Drug and Alcohol, transportation grant requirements.

Project Benefits

EXPECTED OUTCOMES

Kentucky statewide transit rural drivers shall obtain knowledge in various areas such as vehicle maintenance, safety, vehicle inspections etc. The improvement should prolong the transit vehicle, operate safer services. The gained knowledge of Federal Transit grant programs should enhance the subrecipients transportation operations.

INTENDED BENEFICIARIES

Statewide RURAL transit operators such as 5310/5311 agencies.

SUBRECIPIENT ACTIVITIES

KYTC shall request that the subrecipients attend the various training and provide ongoing training for their employees.

The Kentucky Transportation Cabinet/Office of Transportation Delivery is applying for the 100% Federal, full Apportionment ARPA with exception of RTAP 5311: \$11, 555,187 which includes (\$1,733,279 required 15% intercity bus formula) Intercity Bus: \$2,690,950

30.09.08 Operating Federal: \$9,821,908 Section 5311 Formula
30.09.08 Intercity Bus Operating Federal FORMULA \$1,733,279
30.09.08 Intercity Bus Operating Federal: \$2,690,950

Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.

End date June 30, 2023

The total available amount for a program is based on the American Rescue Plan Act of 2021 (Pub. L. 117-2, March 11, 2021).

Expenses may be incurred from Jan 20, 2020 to date.

Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs.

It will also include rent space to social distance, and to provide space distance for driver and/or transit areas during training, meetings etc.

May include plex-glass sheets and replacements to hang between work areas. or other disposal type items needed to protect from coronavirus.

This is an FFY 2021 Section 5311 ARPA application in the total Federal amount of \$14,246,137, representing 100% federal share of the total eligible amount. This application utilizes ARPA funding to prevent, prepare for, and respond to coronavirus. Apportionment is (OPERATING) \$9,821,908 AND FORMULA INTERCITY \$1,733,279 Section 5311; (OPERATING) Intercity bus \$2,690,950 and applying for the entire Federal Apportionment (with the exception of RTAP). Expenses that occurred on or after January 20, 2020.

Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company. Per the ARPA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. The Operational Expenses requested in this application were determined by KYTC. The KYTC understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next State Management Review.

Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company. Per the ARPA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. The Operational Expenses requested in this application were determined by KYTC. The KYTC understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next State Management Review.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Vickie	Bourne	Executive Director	vickie.bourne@ky.gov	5025647433

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$11,706,319
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$2,690,950
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,397,269

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-018-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$9,821,908.00	\$0.00	\$9,821,908.00	0
KY-2021-018-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$9,821,908.00	\$0.00	\$9,821,908.00	0
KY-2021-018-02-01	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$2,690,950.00	\$0.00	\$2,690,950.00	0
KY-2021-018-02-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,690,950.00	\$0.00	\$2,690,950.00	0
KY-2021-018-03-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$1,733,279.00	\$0.00	\$1,733,279.00	0
KY-2021-018-03-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,733,279.00	\$0.00	\$1,733,279.00	0
KY-2021-018-04-01	635-00 (635-) RURAL TRANSIT ASSISTANCE PROGRAM	\$151,132.00	\$0.00	\$151,132.00	0

	A4)					
KY-2021-018-04-01		43.50.01 TRAINING	\$151,132.00	\$0.00	\$151,132.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: KY Section 5311 Operating Formula

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-018-01-01	1003-2021-7-P1	11/16/2021	6/30/2021	7/1/2021

Project Description

The Kentucky Transportation Cabinet/Office of Transportation Delivery is applying for the 100% Federal, full Apportionment ARPA with exception of RTAP 5311: \$9,821,908 (intercity bus under separate Project)

30.09.08 Operating Federal: \$9,821,908 (Section 5311)

Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.
End date June 30, 2023

Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.
End date June 30, 2023

Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs.

It will also include rent space to social/work distance, and to provide space distance for driver and/or transit areas during training, meetings etc.

May include plex-glass sheets and replacements to hang between work areas. or other disposal type items needed to protect from coronavirus.

Training will assist in how to transport during COVID and adhere to Safety & Health e.g. Mask requirements.

Intercity Bus Operating will follow Intercity Bus Regulations.

No employee furloughs

Project Benefits

Allow transit agencies to pay salaries, fuel for additional vehicles needed to social distance passengers.'

Additional Information

None provided.

Location Description

statewide rural areas

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$9,821,908
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,821,908

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-018-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$9,821,908.00	\$0.00	\$9,821,908.00	0
KY-2021-018-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$9,821,908.00	\$0.00	\$9,821,908.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

The Kentucky Transportation Cabinet/Office of Transportation Delivery is applying for the 100% Federal, full Apportionment ARPA for OPERATING FORMULA

5311: \$9,821,908

30.09.08 Operating Federal: \$11,555,187 (Section 5311)
ARPA funds for Rural Transit Operating

Federal Share: \$11,555,187
Total line item: \$11,555,187

30.09.08 Operating Federal: \$11,555,187 (Section 5311)
Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.

End date June 30, 2023

Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs.

It will also include rent space to social distance, and to provide space distance for driver and/or transit areas during training, meetings etc.

May include plex-glass sheets and replacements to hang between work areas. or other disposal type items needed to protect from coronavirus.

after January 20, 2020 to projected end date. The application scope of work includes • Focus is on payroll

and rural transit operations • 100% FEDERAL OPERATING •Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.
 Per the ARPA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. The Operational Expenses requested in this application were determined by KYTC. The KYTC understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next State Management Review.

Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.
 Expenses may be incurred from Jan 20, 2020 to date.
 Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs.
 It will also include rent space to social distance, and to provide space distance for driver and/or transit areas during training, meetings etc.
 May include plex-glass sheets and replacements to hang between work areas.
 or other disposal type items needed to protect from coronavirus.

Will 3rd Party contractors be used to fulfill this activity line item?
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$9,821,908
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,821,908

Milestone Name	Est. Completion Date	Description
ARPA Section 5311 Operating End Date	6/30/2021	KYTC/OTD plans to complete the ARPA projects by 06/30/2023
ARPA Section 5311 Operating Start Date	7/1/2021	KYTC/OTD plans to reimburse Section 5311 agencies as early as 07/01/2021

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$9,821,908.00	\$9,821,908.00

Project Title: ARPA OPERATING INTERCITY BUS APPORTIONMENT \$2,690,950

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-018-02-01	1003-2021-7-P2	11/16/2021	10/1/2021	6/30/2023

Project Description

The Kentucky Transportation Cabinet/Office of Transportation Delivery is applying for the 100% Federal, full Apportionment ARPA INTERCITY BUS

Intercity Bus: \$2,690,950 APPORTIONMENT

30.09.08

Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.

End date June 30, 2023

Expenses may be incurred from Jan 20, 2020 to date.

Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs.

Focus is on payroll and rural transit operations • 100% FEDERAL OPERATING •Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under

this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company. Per the ARPA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. The Operational Expenses requested in this application were determined by KYTC. The KYTC understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next State Management Review.

Project Benefits

Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs and assist with COVID 19.

Additional Information

Enable operators to make meaningful connections while abiding by restrictions such as mask and social distancing.

Location Description

statewide intercity bus projects

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A
Description: N/A

UPWP

Date: N/A
Description: N/A

Long Range Plan

Date: N/A
Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$2,690,950
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,690,950

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-018-02-01	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$2,690,950.00	\$0.00	\$2,690,950.00	0
KY-2021-018-02-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,690,950.00	\$0.00	\$2,690,950.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Intercity Bus Transportation Apportionment
 100% Federal Full Intercity Bus Apportionment \$2,690,950
 Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.
 End date June 30, 2023

BGCAP provides three rural public intercity feeder routes to make meaningful connections to distant points. Danville Connection is available from Danville, KY to Lexington, KY. Frankfort Connection is available from Frankfort, KY to Lexington, KY. JessLex is available from Nicholasville, KY to Lexington, KY.

CASK will operate two intercity routes to make meaningful connections to distant points (such as Greyhound) in Bowling Green, KY. One route will begin in rural Barren County and go through rural Allen County before ending in Bowling Green, KY. The second route will begin in rural Butler County and will end in Warren County.

CKCAC provides intercity services to and from the Greyhound Bus Stations in Louisville, Lexington, and Sonora, KY as well as to the Lexington and Louisville airports so that passengers can make meaningful connections to distant points. Pickups occur in CKCAC's rural operating area (Lebanon, Bardstown, Hodgenville, Hardinsburg, and Leitchfield, KY).

FCTA provides intercity transportation to and from the Amtrak train station in Fulton, KY so that passengers can make meaningful connections to distant points. There are two trains that stop at the station each morning: one connecting to Chicago, IL and another to New Orleans, LA. FCTA will go to any residence in its operating area (Carlisle County, Fulton County, Graves County, and Hickman County, Kentucky) and pick up the general public and transport them to and from the station.

LWT provides intercity bus transportation from Bullitt County, Kentucky into the urbanized area of Louisville, KY to provide meaningful connections to distant points by connecting with the Greyhound Bus Station, the International Airport, and Transit Authority of River City (TARC).

PTA provides intercity bus service to and from the Greyhound Bus Terminal, Barkley Regional Airport, and Miller Trailways so that passengers can make meaningful connections to distant points. PTA's service area includes Ballard, Marshall, and McCracken Counties in rural Kentucky.

SVTS provides intercity services to and from Lexington and Ashland, KY to provide meaningful connections to distant points via the larger transportation network such as Greyhound and airports. SVTS provides this service for residents of Floyd, Johnson, Magoffin, Martin, and Pike Counties.

Intercity Bus will include the subrecipients intercity bus services and follow intercity bus regulations

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$2,690,950
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$2,690,950

Milestone Name	Est. Completion Date	Description
ARPA Intercity Bus Start Date	10/1/2021	to begin ARPA Intercity bus services by October 2021
ARPA Intercity Bus End Date	6/30/2023	ARPA Intercity Bus scheduled to be completed by June 2023

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$2,690,950.00	\$2,690,950.00

Project Title: Section 5311 Formula 15% Intercity Bus \$1,733,279

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-018-03-01	1003-2021-7-P3	11/16/2021	10/1/2021	6/30/2023

Project Description

The Kentucky Transportation Cabinet/Office of Transportation Delivery is applying for the 100% Federal, full Apportionment 5311 5311: \$11, 555,187 which includes 15% Intercity Bus(\$1,733,279) This PROJECT IS \$1,733,279 INTERCITY BUS FORMULA 15% AMOUNT

Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.

End date June 30, 2023

Expenses may be incurred from Jan 20, 2020 to date.

Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs.

Project Benefits

To continue to make meaningful connections while abiding by the COVID restrictions of social distancing and mask requirements.

Additional Information

The general public will benefit

Location Description

statewide projects

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,733,279
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,733,279

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-018-03-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$1,733,279.00	\$0.00	\$1,733,279.00	0
KY-2021-018-03-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,733,279.00	\$0.00	\$1,733,279.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

30.09.08 Intercity Bus Formula \$1,733,279 (Section 5311)
 Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.
 End date June 30, 2023

The total available amount for a program is based on the American Rescue Plan Act of 2021 (Pub. L. 117-2, March 11, 2021).

OPERATING 100% FEDERAL

This Project is the 15% required FORMULA Section 5311
 FEDERAL \$1,733,279 (FROM THE FORMULA SECTION 5311 (\$11,555,187)
 Expenses may be incurred from Jan 20, 2020 to date.
 Operating will include transit payroll/salaries/fuel/insurance
 purchase of mask/PPE/cleaning disinfecting items along with
 other traditional operating costs.

BGCAP provides three rural public intercity feeder routes to make meaningful connections to distant points. Danville Connection is available from Danville, KY to Lexington, KY. Frankfort Connection is available from Frankfort, KY to Lexington, KY. Jess/Lex is available from Nicholasville, KY to Lexington, KY.

CASK will operate two intercity routes to make meaningful connections to distant points (such as Greyhound) in Bowling Green, KY. One route will begin in rural Barren County and go through rural Allen County before ending in Bowling Green, KY. The second route will begin in rural Butler County and will end in Warren County.

CKCAC provides intercity services to and from the Greyhound Bus Stations in Louisville, Lexington, and Sonora, KY as well as to the Lexington and Louisville airports so that passengers can make meaningful connections to distant points. Pickups occur in CKCAC's rural operating area (Lebanon, Bardstown, Hodgenville, Hardinsburg, and Leitchfield, KY).

FCTA provides intercity transportation to and from the Amtrak train station in Fulton, KY so that passengers can make meaningful connections to distant points. There are two trains that stop at the station each morning: one connecting to Chicago, IL and another to New Orleans, LA. FCTA will go to any residence in its operating area (Carlisle County, Fulton County, Graves County, and Hickman County, Kentucky) and pick up the general public and transport them to and from the station.

LWT provides intercity bus transportation from Bullitt County, Kentucky into the urbanized area of Louisville, KY to provide meaningful connections to distant points by connecting with the Greyhound Bus Station, the International Airport, and Transit Authority of River City (TARC).

PTA provides intercity bus service to and from the Greyhound Bus Terminal, Barkley Regional Airport, and Miller Trailways so that passengers can make meaningful connections to distant points. PTA's service area includes Ballard, Marshall, and McCracken Counties in rural Kentucky.

SVTS provides intercity services to and from Lexington and Ashland, KY to provide meaningful connections to distant points via the larger transportation network such as Greyhound and airports. SVTS provides this service for residents of Floyd, Johnson, Magoffin, Martin, and Pike Counties.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,733,279
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,733,279

Milestone Name	Est. Completion Date	Description
iINTERCITY BUS FORMULA 15% Start Date	10/1/2021	SCHEDULED TO BEGIN BY OCTOBER 1, 2021
INTERCITY BUS FORMULA 15% REQUIRED End Date	6/30/2023	INTERCITY BUS REQUIRED FORMULA 15% IS SCHEDULED TO BE COMPLETED 6/30/2023

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$1,733,279.00	\$1,733,279.00

Project Title: RTAP ARPA Training

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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KY-2021-018-04-01

KY-2021-018-01-P4

11/18/2021

7/1/2020

3/1/2024

Project Description

Amendment #1

KYTC Section 5311 Remaining ARPA Apportionment RTAP 100% Federal \$151,132 zero balance to be programmed.

PURPOSE:

The Purpose of the RTAP Apportionment is for statewide rural transit training. Due to COVID, some of the training will be virtual. It is imperative that drivers and transit employees receive training to offer the best and safest transportation possible.

ACTIVITIES TO BE PERFORMED:

Various training such as vehicle maintenance, defensive driver training, safety, ADA, Civil Rights, Drug and Alcohol, transportation grant requirements.

Project Benefits

EXPECTED OUTCOMES

Kentucky statewide transit rural drivers shall obtain knowledge in various areas such as vehicle maintenance, safety, vehicle inspections etc. The improvement should prolong the transit vehicle, operate safer services. The gained knowledge of Federal Transit grant programs should enhance the subrecipients transportation operations.

INTENDED BENEFICIARIES

Statewide RURAL transit operators such as 5310/5311 agencies.

SUBRECIPIENT ACTIVITIES

KYTC shall request that the subrecipients attend the various training and provide ongoing training for their employees.

Additional Information

None provided.

Location Description

Rural Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
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Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$151,132
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$151,132

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-018-04-01	635-00 (635-A4) RURAL TRANSIT ASSISTANCE PROGRAM	\$151,132.00	\$0.00	\$151,132.00	0
KY-2021-018-04-	43.50.01 TRAINING	\$151,132.00	\$0.00	\$151,132.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Amendment #1

KYTC Section 5311 Remaining ARPA Apportionment RTAP 100% Federal \$151,132 zero balance to be programmed.

PURPOSE:

The Purpose of the RTAP Apportionment is for statewide rural transit training. Due to COVID, some of the training will be virtual. It is imperative that drivers and transit employees receive training to offer the best and safest transportation possible.

ACTIVITIES TO BE PERFORMED:

Various training such as vehicle maintenance, defensive driver training, safety, ADA, Civil Rights, Drug and Alcohol, transportation grant requirements.

Project Benefits

EXPECTED OUTCOMES

Kentucky statewide transit rural drivers shall obtain knowledge in various areas such as vehicle maintenance, safety, vehicle inspections etc. The improvement should prolong the transit vehicle, operate safer services. The gained knowledge of Federal Transit grant programs should enhance the subrecipients transportation operations.

INTENDED BENEFICIARIES

Statewide RURAL transit operators such as 5310/5311 agencies.

SUBRECIPIENT ACTIVITIES

KYTC shall request that the subrecipients attend the various training and provide ongoing training for their employees.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$151,132

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$151,132

Milestone Name	Est. Completion Date	Description
ARPA RTAP Start Date	7/1/2020	Training Statewide for rural transit agencies for defensive driving, safety, D & A training, federal transit related courses, vehicle maintenance. Statewide public transit conference.
ARPA RTAP End Date	3/1/2024	Ending date estimated 03/01/2024 RTAP completed training and conferences.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$151,132.00	\$151,132.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Sherman

Comment Type DOL Review for Information

Date 12/8/2021

Comment This is a 5311 capital and operating application, per FTA A to Z, is being shared with DOL for information only. Sent to DOL on 12/8/2021.

Application Review Comments

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 12/2/2021

Comment Please include the 15% intercity portion in the amendment section of the Executive Summary narrative. Indicate whether it has or has not been accounted for and include the dollar amount.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID: 1003

DUNS No: 927328732

Application Information

Federal Award Identification Number: KY-2021-018-01

Application Name: KY Section 5311 ARPA OPERATING AND INTERCITY OPERATING APPORTIONMENT AND INTERCITY BUS FORMULA

Application Start Date: 5/12/2021

Original Award End Date: 3/30/2024

Current Award End Date: 3/30/2024

Application Executive Summary: November 2021 Amendment#1

KYTC Section 5311Amendment#1 is seeking the remaining ARPA Apportionment RTAP 100% Federal \$151,132 with zero balance to be programmed.

The FFY21 ARPA included two Intercity Bus Amounts. One that was published \$2,690,950 and the Formula that required 15% Intercity Bus.

Under the Original grant all INTERCITY BUS REQUIREMENTS HAVE BEEN MADE.

The ARPA 21 Apportionment was broken into: \$9,821,908 FORMULA and \$2,690,950 INTERCITY BUS and \$151,132 RTAP.

The 15% of the FORMULA Apportionment \$9,821,908 was programmed and included Intercity Bus formula 15% requirement \$1,733,279.

and the \$2,690,950 was programmed Intercity bus. Total Intercity Bus that has been programmed and awarded is the \$2,690,950 and \$1,733,279.

PURPOSE:

The Purpose of the RTAP Apportionment is for statewide rural transit training. Due to COVID, some of the training will be virtual. It is imperative that drivers and transit employees receive training to offer the best and safest transportation possible.

ACTIVITIES TO BE PERFORMED:

Various training such as vehicle maintenance, defensive driver training, safety, ADA, Civil Rights, Drug and Alcohol, transportation grant requirements.

Project Benefits

EXPECTED OUTCOMES

Kentucky statewide transit rural drivers shall obtain knowledge in various areas such as vehicle maintenance, safety, vehicle inspections etc. The improvement should prolong the transit vehicle, operate safer services. The gained knowledge of Federal Transit grant programs should enhance the subrecipients transportation operations.

INTENDED BENEFICIARIES

Statewide RURAL transit operators such as 5310/5311 agencies.

SUBRECIPIENT ACTIVITIES

KYTC shall request that the subrecipients attend the various training and provide ongoing training for their employees.

The Kentucky Transportation Cabinet/Office of Transportation Delivery is applying for the 100% Federal, full Apportionment ARPA with exception of RTAP 5311: \$11, 555,187 which includes (\$1,733,279 required 15% intercity bus formula) Intercity Bus: \$2,690,950

30.09.08 Operating Federal: \$9,821,908 Section 5311 Formula
30.09.08 Intercity Bus Operating Federal FORMULA \$1,733,279
30.09.08 Intercity Bus Operating Federal: \$2,690,950

Subrecipient grants can start July 1, 2021 and includes operating expenses incurred during COVID 19.

End date June 30, 2023

The total available amount for a program is based on the American Rescue Plan Act of 2021 (Pub. L. 117-2, March 11, 2021).

Expenses may be incurred from Jan 20, 2020 to date.

Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs.

It will also include rent space to social distance, and to provide space distance for driver and/or transit areas during training, meetings etc.

May include plex-glass sheets and replacements to hang between work areas. or other disposal type items needed to protect from coronavirus.

This is an FFY 2021 Section 5311 ARPA application in the total Federal amount of \$14,246,137, representing 100% federal share of the total eligible amount. This application utilizes ARPA funding to prevent, prepare for, and respond to coronavirus. Apportionment is (OPERATING) \$9,821,908 AND FORMULA INTERCITY \$1,733,279 Section 5311; (OPERATING) Intercity bus \$2,690,950 and applying for the entire Federal Apportionment (with the exception of RTAP). Expenses that occurred on or after January 20, 2020.

Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity

approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company. Per the ARPA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. The Operational Expenses requested in this application were determined by KYTC. The KYTC understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next State Management Review. Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company. Per the ARPA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. The Operational Expenses requested in this application were determined by KYTC. The KYTC understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next State Management Review.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$14,397,269.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$151,132.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$14,397,269.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$11,706,319
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$2,690,950
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$14,397,269

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 5/4/2021

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION CABINET, KENTUCKY