

U.S. Department of Labor

Office of Labor-Management Standards
Ft. Lauderdale Resident Investigator Office
299 E Broward Blvd., Suite 406
Ft. Lauderdale, FL 33301
(954) 356-6850 Fax: (954) 356-6852



June 9, 2022

Ms. Carol Hazan, President
Treasury Employees Union Ind
Chapter 93
7850 SW 6 Ct
Plantation, FL 33324

Case Number: 410-6023748()
LM Number: 503820

Dear Ms. Hazan:

This office has recently completed an audit of Treasury Employees Union Ind Chapter 93 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Civil Service Reform Act of 1978 (CSRA), 5 U.S.C. 7120, and the Department's regulations, 29 CFR 458. As discussed during the exit interview with you and Treasurer Donna McGowan on June 8, 2022, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violations

Pursuant to 29 C.F.R., Section 458.3, the reporting requirement under 29 C.F.R. Section 403.2 (see Section 201(b) of the Labor-Management Reporting and Disclosure Act (LMRDA)) is made applicable to labor organizations subject to the requirements of the CSRA. This provision requires labor organizations to file annual financial reports that accurately disclose their financial condition and operations. The audit disclosed a violation of this requirement. The Labor Organization Annual Report (Form LM-3) filed by Chapter 93 for the fiscal year ended September 30, 2021 was deficient in the following areas:

1. Disbursements to Employees

Chapter 93 did not include reimbursements to employees totaling at least \$326.05 in Item 46 (Cash Disbursements to Employees). It appears the union erroneously reported these disbursements in Item 54 Other Disbursements.

The union must report in Item 46 all direct and indirect disbursements to individuals other than officers who receive reimbursement of expenses they incurred while conducting union business. These disbursements must be reported even if your organization does not consider them to be employees or does not make any other direct or indirect disbursements to them.

I am not requiring that Chapter 93 file an amended LM report for 2021 to correct the deficient items, but Chapter 93 has agreed to properly report the deficient items on all future reports it files with OLMS.

Ms. Carol Hazan, President

June 9, 2022

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I want to extend my personal appreciation to NTEU CH 93 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

A solid black rectangular redaction box covering the signature of the investigator.

Investigator

cc: Ms. Donna McGowan, Treasurer