

<b>EMPLOYMENT AND TRAINING ADMINISTRATION</b> <b>ADVISORY SYSTEM</b> <b>U.S. DEPARTMENT OF LABOR</b> <b>Washington, D.C. 20210</b>	<b>CLASSIFICATION</b> Unemployment Insurance
	<b>CORRESPONDENCE SYMBOL</b> OUI/DPM
	<b>DATE</b> May 3, 2024

**ADVISORY: UNEMPLOYMENT INSURANCE PROGRAM LETTER NO. 11-24**

**TO:** STATE WORKFORCE AGENCIES

**FROM:** JOSÉ JAVIER RODRÍGUEZ  
Assistant Secretary



**SUBJECT:** Procedures for the Completion and Publication of Unemployment Insurance (UI) Benefit Accuracy Measurement (BAM) Data for Payment Integrity Information Act (PIIA) Reporting Year 2024

1. **Purpose.** To provide State Workforce Agencies (SWAs) guidelines and key dates for the completion of the 2024 UI BAM paid and denied claims sample cases and the publication of 2024 BAM data.

2. **Action Requested.** The Employment and Training Administration (ETA) requests that SWA Administrators:

- a. Provide copies of these guidelines to the appropriate staff;
- b. Send any requests for a waiver of BAM timeliness requirements to the appropriate ETA Regional Office by close of business (COB) October 04, 2024; and
- c. Ensure that ETA and the appropriate ETA Regional Office are:
  - 1) Notified, by November 22, 2024, of any issues that require reconciliation between the SWA's and ETA's BAM data; and
  - 2) Provided, by January 10, 2025, the name, address, telephone number, and email address of the point of contact(s) for the SWA's published BAM data.

3. **Summary and Background.**

- a. Summary – This Unemployment Insurance Program Letter (UIPL) provides guidelines and key dates for the completion of the 2024 representative sample cases of UI payments and denials and the publication of 2024 BAM data. ETA issues these annual guidelines as set out in Employment and Training (ET) Handbook No. 395, 5<sup>th</sup> Edition (Office of Management and Budget (OMB) approval number 1205-0245). The BAM program is designed to determine the accuracy of paid and denied claims in three major UI programs: regular State UI, Unemployment Compensation for Federal Employees, and Unemployment Compensation for Ex-Servicemembers. SWAs select weekly random

<b>RESCISSIONS</b> None	<b>EXPIRATION DATE</b> March 31, 2025
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samples of paid and denied claims. Independent state BAM investigators audit these paid and denied claims to determine whether the claimant was properly paid or properly denied benefits.

- b. Background – Each year, ETA publishes BAM program reporting year results (BAM Annual Report) on its website under “Current Data” located at (<https://oui.doleta.gov/unemploy/bqc.asp>). U. S. Department of Labor (Department) also publishes BAM data on its website (<https://www.dol.gov/agencies/eta/unemployment-insurance-payment-accuracy/data>) each quarter. This quarterly data includes the most recent 12 months of data.

Historically, the BAM program reporting year publication has included an analytical report or a detailed analysis of these results. As part of this process, ETA announces the publication cycle with the issuance of a UIPL, which provides dates for case completion, verification of data contained in the national database, and an explanation of data footnotes. The present UIPL continues this practice. Additionally, this UIPL incorporates the annual financial reporting requirement found in the PIIA, that Federal programs report an annual improper payment rate. The PIIA repeals the Improper Payments Information Act of 2002 as amended by the Improper Payments Elimination and Recovery Act of 2012.

#### **4. Guidelines and Key Dates.**

- a. **Completion of PIIA 2024 BAM Cases.** Pursuant to the requirements of the PIIA, ETA provides information on improper payments in the UI program, including the improper payment estimate that it provides in its response to OMB's annual Payment Integrity Data Call. To meet the PIIA requirements, ETA establishes the PIIA reporting year for the BAM program, which includes payment accuracy estimates for the period generally beginning in July and continuing through June of the following year (BAM batch range YYYY27 through (YYYY+1)26); however, dates can differ slightly from year to year. ETA has established standards that states complete a minimum of 95 percent of sampled cases within 90 days of the week ending date of the batch and complete a minimum of 98 percent of sampled cases within 120 days of the ending date of the above annual reporting period. This July-to-June reporting period provides the most recent BAM data available to meet the PIIA reporting schedule requirements for the fiscal year.

PIIA 2024 will include batch range 202327 through 202426 (July 2, 2023 to June 29, 2024). Therefore, all published BAM data will be based on PIIA 2024 BAM paid and denied claims cases that the BAM supervisor has signed off by the date of record. The BAM supervisor must close and submit cases by COB 120 days after the end of the reporting year which is COB October 28, 2024.

Changes to a SWA's database after the date of record can result in inconsistencies with the ETA database. A SWA **must not** close or reopen any PIIA 2024 BAM cases after

October 28, 2024, until the SWA has reviewed the BAM data transmitted to it by ETA and has confirmed that the data agrees with the BAM paid claims accuracy and denied claims accuracy data produced by the SWA's databases.

- b. **Transmittal of Data and Comment Period.** ETA will produce and transmit to each SWA, by November 8, 2024, paid and denied claims accuracy data for that SWA based on the PIIA 2024 BAM cases that were submitted by the SWA as of COB October 28, 2024. SWAs are required to run the BAM Paid Claims Annual Report, Denied Claims Error Rates Report, and Improper Denials Rates Report software and to compare the results with the rates and report data.

By November 22, 2024, SWAs should report any discrepancies or submit questions concerning the BAM paid and denied claims data to ETA. Please send comments electronically to [UI-BAM@dol.gov](mailto:UI-BAM@dol.gov) with a copy to the appropriate ETA Regional Office. Samples of these reports are available in Attachments I and II.

ETA staff will address and reconcile issues raised by the SWAs by December 6, 2024.

- c. **Federal Publication of BAM Data.** ETA will publish the PIIA 2024 BAM analytical report on the ETA website: <https://oui.doleta.gov/unemploy/bqc.asp>.

If a SWA fails to follow the BAM methodology for sample selection and completion requirements, ETA may not publish a state's data or portion thereof if the state failed to follow the BAM methodology as outlined in ET Handbook 395, 5<sup>th</sup> Edition (for example, methodologies regarding minimum sample sizes, population variances, case completion percentages, and quality of the BAM audits).

- d. **Key Dates.** The following key dates are the latest dates for completing the task or action:

- |            |   |
|------------|---|
| 6/29/2024  | This date represents the end of the PIIA reporting year. By the end of batch week June 23, 2024, through June 29, 2024, SWAs must select the minimum number of valid cases to meet annual sample allocation requirements (i.e., 480 or 360 paid claim cases and 150 of each of the three types of denied claims). SWAs must complete at least 98 percent of the valid cases sampled in PIIA 2024 by October 28, 2024. |
| 10/04/2024 | SWAs submit requests for waivers of BAM paid and denied claims timeliness requirements, along with supporting documentation, to the appropriate ETA Regional Office. Generally, waivers are granted only for catastrophic events (i.e., occurrence by natural causes that could not have been prevented by the exercise of foresight or caution).   |

- 10/28/2024 Each SWA checks its UI database to ensure: 1) the BAM supervisor has signed off on all BAM paid and denied claims cases that investigators have completed; and 2) reopened cases have been updated and data errors corrected by this date. Cases closed by supervisors after COB on October 28, 2024 will not be included in the Paid Claims Accuracy PIIA Report or the Denied Claim Accuracy Error Rates and Improper Denials Rates Reports. SWAs must not reopen any PIIA 2024 cases after October 28, 2024, until they review the BAM data transmitted by ETA and verify the data against their SWA database or reconciled any differences with ETA. For BAM Paid data, BAM should compare the highlighted BAM sample and dollars paid section in Attachment I.
- 11/04/2024 Regional offices respond to SWAs on the disposition of SWA requests for waivers of BAM timeliness requirements.
- 11/08/2024 ETA transmits the PIIA 2024 BAM data to SWA's BAM supervisors.
- 11/22/2024 SWAs review BAM paid and denied claims accuracy data and report any discrepancies or submit questions about the BAM data electronically to [UI-BAM@dol.gov](mailto:UI-BAM@dol.gov) with a copy to the appropriate ETA Regional Office.
- 12/06/2024 ETA staff address issues raised by the SWAs and reconcile any differences between SWA and ETA BAM data.
- 1/10/2025 SWAs provide the name, address, telephone number, and email address of the person(s) responsible for public inquiries about BAM paid and denied claims estimation results (Note, this may be different than the BAM supervisor). SWAs should send this information electronically to [UI-BAM@dol.gov](mailto:UI-BAM@dol.gov), with a copy to the appropriate ETA Regional Office.

5. **Inquiries.** Please direct inquiries to the appropriate ETA regional office.

6. **References.**

- Payment Integrity Information Act of 2019 (PIIA), Pub. L. 116-117, enacted March 2, 2020, <https://congress.gov/116/plaws/publ117/PLAW-116publ117.pdf>;
- Office of Management and Budget (OMB) Circular No. A-123, Appendix C, *Requirements for Payment Integrity Improvement* (March 5, 2021). <https://www.whitehouse.gov/wp-content/uploads/2021/03/M-21-19.pdf>
- 31 U.S.C. § 3515 [Financial statements of agencies];
- 20 C.F.R. Part 602, Quality Control in the Federal-State Unemployment Insurance System;
- UIPL No. 09-13, Change 1, *Integrity Performance Measure for Unemployment*, issued on

January 27, 2015, <https://www.dol.gov/agencies/eta/advisories/unemployment-insurance-program-letter-no-09-13-change-1>;

- UIPL No. 09-23, *Additional Planning Guidance for the Fiscal Year (FY) 2024 Unemployment Insurance (UI) State Quality Service Plan (SQSP)*, issued on June 30, 2023, <https://www.dol.gov/agencies/eta/advisories/UIPL0923>;
- UIPL No. 12-23, *Procedures for the Completion and Publication of Unemployment Insurance Benefit Accuracy Measurement Data for Payment Integrity Information Act Reporting Year 2023*, issued on August 16, 2023, <https://www.dol.gov/agencies/eta/advisories/uipl-12-23>; and
- Employment and Training (ET) Handbook No. 395, 5<sup>th</sup> Edition, *Benefit Accuracy Measurement State Operations Handbook* (November 2009) <https://www.dol.gov/agencies/eta/advisories/handbooks/et-handbook-no-395>.

## **7. Attachments.**

- Attachment I: Benefit Accuracy Measurement (BAM) Paid Claims Accuracy Annual Report, Report Definitions, and Report Footnotes
- Attachment II: Benefit Accuracy Measurement (BAM) Denied Claims Accuracy Error Rates Report and Improper Denials Rates Report, Report Definitions, and Report Footnotes

Benefit Accuracy Measurement (BAM) Paid Claims Accuracy Annual Report,  
Report Definitions, and Report Footnotes

**BENEFIT ACCURACY MEASUREMENT  
PAID CLAIMS ACCURACY  
ANNUAL REPORT (*Sample*)**

**STATE: ZZ**

**Batch Range: 202327 - 202426**

<b>Total Dollars Paid in Population</b>	\$221,830,728	
<b>Sample Size</b>	383	
	<b>Percentage Of Dollars</b>	<b>95% Confidence Interval (+ / -)</b>
<b>Proper Payments</b>	88.1%	3.5%
<b>Overpayments</b>	11.6%	3.5%
<b>Total</b>	100.0%	
<b>Underpayments</b>	0.3%	0.2%

Percentages apply to less than a complete year of UI payments; the State did not pull a sample for 3 week(s).

The State completed 83.7% of the cases within 90 days.

The Quality Control (QC) program standard is 95% completed within 90 days.

15.9% of the sample cases were not completed when this report was prepared. This exceeded the QC program requirement that no more than 2% of the cases for the year remain incomplete.

**BENEFIT ACCURACY MEASUREMENT  
PAID CLAIMS ACCURACY  
ANNUAL REPORT (Supplemental Data) (Sample)  
STATE: ZZ**

**Batch Range: 202327 - 202426**

Responsibility for Overpayments (Percent of Dollars Overpaid)	
Claimant Only	51.6
Agency Only	15.6
Claimant + Agency	10.6
Claimant + Employer	10.5
Employer Only	8.6
Claimant + Employer + Agency	2.1
Claimant + Other	1.7
Employer + Agency	1.0
Other Only	0.0
Employer + Other	0.0
Agency + Other	0.0
Claimant + Employer + Other	0.0
Claimant + Agency + Other	0.0
Employer + Agency + Other	0.0
Claimant + Employer + Agency + Other	0.0
Responsibility Not Specified	0.0

Cause for Overpayments (Percent of Dollars Overpaid)	
Benefit Year Earnings Issues	39.1
Eligibility Issues Excluding Work Search	25.7
Separation Issues	20.5
Base Period Wage Issues	7.4
Other Issues	5.9
Work Search Issues	2.9

NOTE

The percentages for the responsibilities or cause do not sum to 100 percent. You should check field (h5) to verify that all cases have been coded correctly within your specified batch range.

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If cause and responsibility percentages do not add up to 100 percent, states should check for cases in which the key week amount overpaid coded in data element h5 in the b\_master table of the UI database does not equal the sum of dollars overpaid coded in data element e1 for key week actions 10, 11, 12, 13, and 15 in the b\_errisu table. The amount overpaid cannot exceed the amount paid coded in data element f13 in the b\_master table.



## **BAM Annual Report Definitions**

### **Total Dollars Paid in Population**

Total UI benefits paid to the population of UI claimants who constitute the sampling frames for all weeks in PIIA 2024 from which the State pulled a BAM sample, adjusted to exclude UI payments that do not meet the definition of the BAM population (for example, supplemental payments or payments made for Emergency Unemployment Compensation (EUC) claims). These excluded cases are coded “8” (EUC) or “9” (all other excluded records) in the program code data element (c1) in the b\_master table of the UI database.

### **Sample Size**

Total number of UI payments selected during PIIA 2024 (BAM batches 202327 through 202426) and completed (supervisor sign-off) by COB October 28, 2024, excluding cases that do not meet the BAM population definition. This is the number of BAM sample cases from which the payment accuracy rates and confidence intervals are estimated.

### **Proper Payments**

The weighted ratio estimate of total dollars properly paid to total dollars paid expressed as a percentage.

### **Overpayments**

The weighted ratio estimate of total dollars overpaid to total dollars paid expressed as a percentage.

### **Underpayments**

The weighted ratio estimate of total dollars underpaid to total dollars paid expressed as a percentage.

### **Weighting Procedures**

UI benefits properly paid, overpaid, and underpaid for each batch with at least two completed paid claims cases are weighted by the batch population, adjusted to exclude cases that do not meet the BAM definition (program code “8” or “9”). The accuracy rates are the ratios of these weighted benefits summed for all batches. Batches with only one completed case are merged to ensure that each batch has a minimum of two completed cases.

### **95 Percent Confidence Interval**

A confidence interval, expressed as  $\pm \underline{x}$  percentage points, is constructed for each of the three estimated rates. The actual rate is expected to lie within 95 percent of the intervals constructed from repeated samples of the same size and selected in the same manner as the BAM sample.

## BAM Paid Claims Accuracy Footnotes

### Footnote 1

Claimants failing to conduct required work search were given formal warnings and no overpayment was established. The proper payment rate would be lower and the overpayment rate would be higher if these cases were counted as erroneous payments.

Condition: Any PIIA 2024 BAM case with key week action code '14' in field ei2 of the b\_errisu table of the UI database.

### Footnote 2

Percentages apply to less than a complete year of UI payments due to the State not pulling a sample for x weeks.

Condition: There is no record in the b\_comparison table of the UI database for one or more BAM batches 202327 through 202426.

Note: If the State requested and received permission from ETA to suspend BAM sampling temporarily due to a catastrophic event or another approved reason, the footnote will reflect that ETA concurred with the suspension.

### Footnote 3

The State selected samples that were below the minimum prescribed levels for x weeks.

Condition: State selected one or more BAM weekly samples below the minimum level prescribed in Benefit Accuracy Measurement State Operations Handbook, ET Handbook No. 395, 5<sup>th</sup> Edition chapter VI, p. 11. Sampled cases that fail to meet the BAM population definition are counted toward meeting the minimum weekly sample.

The minimum weekly and quarterly samples, based on current annual sample allocations, are:

<b>Sample</b>	<b>Annual Allocation</b>	<b>Normal Weekly</b>	<b>Minimum Weekly</b>	<b>Normal Quarterly</b>	<b>Minimum Quarterly</b>
Paid Claims	360*	7	5	90	81
Paid Claims	480	9	6	120	108
Denials	150/450**	3	2	37-38	32

\* Allocation for the ten smallest states in terms of UI workload.

\*\* 150 cases each of monetary, separation, and non-separation denials will be selected each year, for a total of 450 Denied Claims Accuracy cases.

Note: If a state requested and received permission from ETA to reduce BAM sample sizes temporarily due to workload contingencies, the footnote will reflect that ETA concurred with the reduction.

#### Footnote 4

Percentages based on data collection procedures that were not completely in accordance with the program methodology prescribed in ET Handbook No. 395, 5<sup>th</sup> Edition.

Condition: ETA staff continuously monitors state performance with respect to the BAM administrative requirements established in ET Handbook 395, 5<sup>th</sup> Edition (for example, minimum sample sizes, population variances, case completion percentages and timeliness, and quality of the BAM audits). According to UIPL No. 09-23, p. 19, states must address BAM program performance deficiencies in a Corrective Action Plan as a part of the State Quality Service Plan.

#### Footnote 5

The state completed x percent of the cases within 90 days. The program standard is 95 percent completed within 90 days.

Condition: State failed to meet case completion objectives established in ET Handbook No. 395, 5<sup>th</sup> Edition, Benefit Accuracy Measurement State Operations Handbook, chapter VI, p. 11. Cases not meeting the BAM population definition are not counted in calculating state time lapse rates.

#### Footnote 6

X percent of the sample cases were not completed when this report was prepared. This exceeded the program requirement that no more than two (2) percent of the cases for the year remain incomplete.

Condition: The percentage is based on the number of BAM cases that were not completed (no supervisor sign-off) by COB October 28, 2024, divided by the number of valid cases selected for BAM weekly samples during PIIA 2024 (BAM batches 202327 through 202426). Cases that do not meet the BAM population definition are not counted in calculating state case completion rates.

#### Footnote 7

The annual sample for [state] is x cases below the allocated annual sample for the state. The precision of the data might be reduced due to the failure to sample at the prescribed level.

Condition: The state's annual sample selection is below its allocated annual sample. Cases that do not meet the BAM population definition are not counted toward meeting the annual sample allocation.

Note: If a state requested and received permission from ETA to reduce BAM sample sizes temporarily due to workload contingencies, the footnote will reflect that ETA concurred with the reduction.

Footnote 8

The population from which the BAM sample was selected did not include all of the UI benefits paid. This limits the degree to which inferences about the population can be made from BAM data.

Condition: The dollars paid in the BAM population for PIIA 2024 vary from the dollars paid reported in the state's ETA 5159 Claims and Payment Activities reports by more than the statistical control limit.

**Benefit Accuracy Measurement (BAM) Denied Claims Accuracy Error Rates Report and  
Improper Denials Rates Report, Report Definitions, and Report Footnotes**

**BENEFIT ACCURACY MEASUREMENT (BAM)  
DENIED CLAIMS ACCURACY (DCA)  
ERROR RATES REPORT**

*State: ZZ*

*Report Date: 11/20/2024*

*Batch Range: 202327 ~ 202426*

Denial Type	Population	Cases Completed*
Monetary	97,029	143
Separation	77,374	140
Nonseparation	24,229	134

Denial Type	Total Errors	Improper Denial	Adjusted Improper Denial**	Overpayment	Proper Denial***
Monetary	16.52%	14.85%	8.05%	0.00%	1.67%
Separation	28.59%	16.12%	15.87%	0.00%	12.47%
Nonseparation	40.74%	14.02%	14.02%	0.00%	26.71%

\* Excludes cases not meeting DCA definition for inclusion in population, withdrawn claims, and claims for which monetary eligibility was established upon receipt of combined wage claim (CWC), unemployment compensation for federal employees (UCFE), and/or unemployment compensation for ex-servicemembers (UCX) wage credits.

\*\* Adjusted rate excludes erroneous denials that were corrected by agency or reversed on appeal prior to DCA case completion.

\*\*\* Properly denied, but for wrong reason or section of the law.

The state completed 76.35 percent of the Monetary denials sample cases within 90 days. The program standard is 85 percent completed within 90 days.

The state completed 78.43 percent of the Separation denials sample cases within 90 days. The program standard is 85 percent completed within 90 days.

The state completed 79.87 percent of the Nonseparation denials sample cases within 90 days. The program standard is 85 percent completed within 90 days.

Estimated rates apply to less than the total population of Monetary denials due to the state not pulling a sample for 1 week(s).

Estimated rates apply to less than the total population of Separation denials due to the state not pulling a sample for 1 week(s).

Estimated rates apply to less than the total population of Nonseparation denials due to the state not pulling a sample for 1 week(s).

**BENEFIT ACCURACY MEASUREMENT  
DENIED CLAIMS ACCURACY  
IMPROPER DENIALS RATES REPORT**

*State: ZZ*

*Report Date: 11/20/2024*

*Batch Range: 202327 ~ 202426*

Denial Type	Population	Cases Completed*
Monetary	97,029	143
Separation	77,374	140
Nonseparation	24,229	134

Denial Type	Improper Denial	95% C.I. (+/-)	Adjusted Improper Denial**	95% C.I. (+/-)
Monetary	14.85%	5.77%	8.05%	4.51%
Separation	16.12%	6.45%	15.87%	6.43%
Nonseparation	14.02%	6.13%	14.02%	6.13%

\* Excludes cases not meeting DCA definition for inclusion in population, withdrawn claims, and claims for which monetary eligibility was established upon receipt of CWC, UCFE, and/or UCX wage credits.

\*\* Adjusted rate excludes erroneous denials that were corrected by a agency or reversed on appeal prior to DCA case completion.

**Note:** 95% C.I. is the 95 percent confidence interval for the estimated rate. The interval is the range between the rate minus the value in the 95% C.I. column and the rate plus the value in the 95% C.I. column. For example, the interval for 10.0% +/- 2.5 is 7.5% to 12.5%. The true rate is expected to lie within 95 percent of the intervals constructed from repeated samples of the same size and selected in the same manner as the BAM DCA sample.

The state completed 76.35 percent of the Monetary denials sample cases within 90 days. The program standard is 85 percent completed within 90 days.

The state completed 78.43 percent of the Separation denials sample cases within 90 days. The program standard is 85 percent completed within 90 days.

The state completed 79.87 percent of the Nonseparation denials sample cases within 90 days. The program standard is 85 percent completed within 90 days.

Estimated rates apply to less than the total population of Monetary denials due to the state not pulling a sample for 1 week(s).

Estimated rates apply to less than the total population of Separation denials due to the state not pulling a sample for 1 week(s).

Estimated rates apply to less than the total population of Nonseparation denials due to the state not pulling a sample for 1 week(s).

## **BAM Denied Claims Accuracy Rates Report Definitions**

### **Population**

The number of denial determinations that constitute the sampling frames for all weeks in PIIA 2024 for which the state pulled a BAM DCA sample, adjusted to exclude agency actions that do not meet the definition of the BAM DCA population (for example, monetary redeterminations, nonmonetary determinations that are not reportable on the ETA 207 and 9052 reports, and denied emergency unemployment compensation (EUC) claims). These excluded cases are coded “8” (EUC) or “9” (all other excluded records) in the Program code data element in the b\_dca\_master table of the UI database. The software also adjusts the DCA populations to exclude two types of cases for which there is no denial issue to investigate: 1) cases in which monetary eligibility was established upon receipt of Combined Wage Claims, Alternative Base Period Claims, Unemployment Compensation for Federal Employees, and/or Unemployment Compensation for Ex-service members wage credits within the two-week sampling lag (Action Code Flag “0”); and 2) claims withdrawn by the claimant (Action Code Flag “8”).

### **Sample Size**

Total DCA cases selected during PIIA 2024 (BAM batches 202327 through 202426) and completed (supervisor sign-off) by COB October 28, 2024, excluding cases that do not meet the BAM DCA population definition. This is the number of BAM DCA sample cases from which the accuracy rates are estimated.

### **Improper Denial Rate**

The weighted proportion of denied claims for which the DCA investigation concluded that the claimant should have been eligible for UI benefits or was underpaid UI benefits (Error Issue Action Code = “20”, “21”, “22”, or “23”), expressed as a percentage.

### **Adjusted Improper Denial Rate**

Improper Denial Rate excluding cases in which erroneous denials were corrected by the agency (Prior Agency Action = “20” to “29” or eligibility was established through appeal (Results of Appeal of Initial Determination = “1” or “3”) prior to DCA case completion.

### **Overpayment Rate**

The weighted proportion of denied claims for which the DCA investigation concluded that the claimant should have been ineligible for UI, or should have received a lower benefit amount, for weeks that had been paid (Error Issue Action Code = “10”, “11”, “12”, “13”, “14”, “15”, or “16”), expressed as a percentage.

### Proper Denial Rate

The weighted proportion of denied claims for which the DCA investigation concluded that the claimant was properly denied but for the wrong or different reason/section of the law (Error Issue Action Code = “30”), expressed as a percentage.

### Weighting Procedures

Accuracy rates are computed separately for each batch with at least two completed DCA cases and weighted by the batch population, adjusted to exclude cases that do not meet the BAM DCA definition (Program code “8” or “9”) or cases with Action Codes “0” (monetary eligibility established upon receipt of CWC, UCFE, and/or UCX wage credits) or “8” (withdrawn claims). The accuracy rate equals the sum of the products of the batch rates and weights. Batches with only one completed case are merged to ensure that each batch has a minimum of two completed cases.

### 95 Percent Confidence Interval

A confidence interval, expressed as  $\pm \underline{x}$  percentage points, is constructed for each of the estimated accuracy rates. The actual rate is expected to lie within 95 percent of the intervals constructed from repeated samples of the same size and selected in the same manner as the BAM sample.



## BAM Denied Claims Accuracy Footnotes

### Footnote 1

Estimated rates apply to less than the total population of [sample type] denials due to the state not pulling a sample for x weeks.

Condition: There is no record in the b\_dca\_comparison table of the UI database for one or more batches specified in the batch range for sample type y, where b\_dca\_comparison samptype = 2 (monetary), 3 (separation), or 4 (nonseparation).

### Footnote 2

Estimated rates for [sample type] denials are based on data collection procedures that were not completely in accordance with the program methodology prescribed in ET Handbook No. 395, 5<sup>th</sup> edition.

Condition: Based on program monitoring conducted by ETA's national and regional offices, this footnote will be generated from a footnote look-up table for each sample type: 2 (monetary), 3 (separation), or 4 (nonseparation).

### Footnote 3

The state completed x percent of the [sample type] denials sample cases within 90 days. The program standard is 85 percent completed within 90 days.

Condition: The percentage for sample type y, where b\_dca\_master.samptype = 2 (monetary), 3 (separation), or 4 (nonseparation), will be calculated using the same method used in the BAM DCA Case Completion and Time Lapse report. Cases not meeting the BAM DCA population definition (b\_dca\_master.program = 8 or 9) or withdrawn claims (b\_dca\_master.actflag = 8) are not counted in calculating state time lapse rates.

### Footnote 4

x percent of the [sample type] denials sample cases were not completed when this report was prepared. This exceeds the program requirement that no more than 2 percent of the cases for the year are incomplete 120 days after the end of the PIIA reporting year.

Condition: The percentage is based on the number of BAM DCA cases that were not completed (b\_dca\_master.supcomp is NULL) by COB October 28 YYYY+1, divided by the number of valid cases selected for BAM DCA weekly samples during PIIA YYYY (BAM batches YYYY27 through (YYYY+1)26). Cases that do not meet the BAM DCA population definition (b\_dca\_master.program = 8 or 9) or withdrawn claims (b\_dca\_master.actflag = 8) are not counted in calculating state case completion rates. A percentage will be calculated for each sample type y, where b\_dca\_master.samptype = 2 (monetary), 3 (separation), or 4 (nonseparation).

This footnote will be generated only if the user specifies an annual report when the user specifies the batch range (YYYY27 through (YYYY+1)26).

#### Footnote 5

The annual sample of [sample type] denials is x cases below the allocated annual sample of 150 BAM DCA cases per year. The precision of the data might be reduced due to the failure to sample at the prescribed level.

Condition: The state's annual sample selection is 8 or more cases below its allocated annual sample of 150 cases. Cases that do not meet the BAM population definition (b\_dca\_master.program = 8 or 9) are not counted toward meeting the annual sample allocation. This footnote will be generated from a footnote look-up table for each sample type: 2 (monetary), 3 (separation), or 4 (nonseparation).

This footnote will be generated only if the user specifies an annual report when the user specifies the batch range (YYYY27 through (YYYY+1)26).

#### Footnote 6

The sampling frames from which the [sample type] denials samples were selected may not include all of the determinations that meet the definition for inclusion in the DCA population or may include records that do not meet the DCA population definition. This limits the degree to which inferences about the population can be made from BAM DCA data.

Condition: The size of the DCA population for PIIA 2024 varies by more than the statistical control limit from the benchmark population. The benchmark populations are:

- Monetary: Percent of determinations denied in ETA 218 report times number of new initial claims (intrastate and interstate liable) plus transitional claims in ETA 5159 report.
- Separation: Percent of determinations denied in ETA 207 report times number of separations in ETA 9052 report.
- Nonseparation: Percent of determinations denied in ETA 207 report times number of nonseparations in ETA 9052 report.

This footnote will be generated from a footnote look-up table for each sample type: 2 (monetary), 3 (separation), or 4 (nonseparation).

This footnote will be generated only if the user specifies an annual report when the user specifies the batch range (YYYY27 through (YYYY+1)26).