DEPARTMENT OF LABOR
Office of the Secretary
20 CFR Chs. I, IV, V, VI, VII, and IX
29 CFR Subtitle A and Chs. II, IV, V, XVII, and XXV
30 CFR Ch. I
41 CFR Ch. 60
48 CFR Ch. 29

Semiannual Agenda of Regulations

AGENCY: Office of the Secretary, Labor

ACTION: Semiannual Regulatory Agenda

SUMMARY: The Internet has become the means for disseminating the entirety of the Department of Labor’s semiannual regulatory agenda. However, the Regulatory Flexibility Act requires publication of a regulatory flexibility agenda in the Federal Register. This Federal Register Notice contains the regulatory flexibility agenda.

FOR FURTHER INFORMATION CONTACT: Kathleen Franks, Director, Office of Regulatory Policy, Office of the Assistant Secretary for Policy, U.S. Department of Labor, 200 Constitution Avenue NW., Room S-2312, Washington, DC 20210; (202) 693-5959.

NOTE: Information pertaining to a specific regulation can be obtained from the agency contact listed for that particular regulation.

SUPPLEMENTARY INFORMATION: Executive Order 12866 requires the semiannual publication of an agenda of regulations that contains a listing of all the regulations the Department of Labor expects to have under active consideration for promulgation, proposal, or review during the coming one-year period. The entirety of the Department’s semiannual agenda is available online at www.reginfo.gov.

The Regulatory Flexibility Act (5 U.S.C. 602) requires DOL to publish in the Federal Register a regulatory flexibility agenda. The Department’s Regulatory Flexibility Agenda published with this notice, includes only those rules on its semiannual agenda that are likely to have a significant economic impact.
on a substantial number of small entities; and those rules identified for periodic review in keeping with the requirements of section 610 of the Regulatory Flexibility Act. Thus, the regulatory flexibility agenda is a subset of the Department’s semiannual regulatory agenda. There is only one item on the Department of Labor’s Regulatory Flexibility Agenda:

**Occupational Safety and Health Administration**

Bloodborne Pathogens (RIN 1218-AC34)

In addition, the Department’s Regulatory Plan, also a subset of the Department’s regulatory agenda, is being published in the *Federal Register*. The Regulatory Plan contains a statement of the Department’s regulatory priorities and the regulatory actions the Department wants to highlight as its most important and significant.

All interested members of the public are invited and encouraged to let departmental officials know how our regulatory efforts can be improved, and are invited to participate in and comment on the review or development of the regulations listed on the Department’s agenda.

**NAME:** Thomas E. Perez,

*Secretary of Labor.*
The 95 Regulatory Agendas

**Employment and Training Administration - Proposed Rule**

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Title: Equal Employment Opportunity in Apprenticeship Amendment of Regulations

Abstract: Revisions to the equal opportunity regulatory framework for the National Apprenticeship Act are a critical element in the Department's vision to promote and expand Registered Apprenticeship opportunities in the 21st century while continuing to safeguard the welfare and safety of apprentices. In October 2008, the Agency issued a Final Rule updating regulations for Apprenticeship Programs and Labor Standards for Registration. These regulations, codified at title 29 Code of Federal Regulations (CFR) part 29, had not been updated since 1977. The companion regulations, 29 CFR part 30, Equal Employment Opportunity (EEO) in Apprenticeship and Training, have not been amended since 1978. The Agency now proposes to update 29 CFR part 30 to ensure that the National Registered Apprenticeship System is consistent and in alignment with EEO law, as it has developed since 1978, and recent revisions to 29 CFR part 29. This second phase of regulatory updates will ensure that Registered Apprenticeship is positioned to continue to provide economic opportunity for millions of Americans while keeping pace with these new requirements.

Priority: Other Significant  
Agenda Stage of Rulemaking: Proposed Rule  
Major: No  
Unfunded Mandates: No

CFR Citation: 29 CFR 30 (revision) (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: sec 1, 50 stat 664, as amended (29 USC 50; 40 USC 276c; 5 USC 301); Reorganization Plan No 14 of 1950, 64 stat 1267 (5 USC app p 534)

Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: No  
Government Levels Affected: Federal; State; Tribal

Small Entities Affected: No  
Federalism: Yes

Energy Affected: No

Agency Contact: John V. Ladd  
Office of Apprenticeship  
Department of Labor  
Employment and Training Administration  
Room N-5311, FP Building, 200 Constitution Avenue NW., Washington, DC 20210  
Washington , DC  20210  
Phone: 202 693-2796  
FAX: 202 693-3799  
E-Mail: ladd.john@dol.gov
Title: Federal-State Unemployment Compensation Program; Implementing the Total Unemployment Rate as an Extended Benefits Indicator and Amending for Technical Corrections  

Abstract: Regulations at 20 CFR part 615 apply to the Extended Benefits (EB) program as implemented following passage of the Federal-State Extended Unemployment Compensation Act of 1970 (26 U.S.C. 3304 note). They do not include amendments passed in 1992 (Pub. L. 102-318) which allowed States to implement an optional total unemployment rate (TUR) trigger mechanism. The proposed rule will add the TUR trigger to regulations. Also, until recently, the computation of the TUR trigger paralleled the computation of the insured unemployment rate trigger in the original law and truncated digits after the second decimal place expressed as a percentage. This rulemaking proposes a new methodology using rounding instead of truncation to compute the "on" or "off" TUR indicators to determine when EB periods begin and end in a State.

Priority: Other Significant  

Agenda Stage of Rulemaking: Proposed Rule  

Major: No  

Unfunded Mandates: No

CFR Citation: 20 CFR 615  

To search for a specific CFR, visit the Code of Federal Regulations.

Legal Authority: 26 USC 7805; 42 USC 1302; Secretary's Order No 6-10

Legal Deadline: None

Regulatory Flexibility Analysis Required: No  

Government Levels Affected: Federal; State

Federalism: No

Agency Contact: Ronald Wilus  
Chief, Division of Fiscal and Actuarial Services  
Department of Labor  
Employment and Training Administration  
200 Constitution Avenue NW., FP Building, Room S-4231, Washington, DC 20210  
Washington, DC 20210  
Phone: 202 693-2931  
E-Mail: wilus.ronald@dol.gov

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Title: Middle Class Tax Relief and Job Creation Act of 2012 Provision on Establishing Appropriate Occupations for Drug Testing of Unemployment Compensation Applicants

Abstract: The Employment and Training Administration of the U.S. Department of Labor (Department) proposes to establish in regulations the occupations that regularly conduct drug testing for State Unemployment Compensation (UC) program purposes. Section 2105 of the Middle Class Tax Relief and Job Creation Act of 2012 (Pub. L. 112-96) amended section 303 of the Social Security Act (42 U.S.C. 303) by adding subsection (l) to permit States to enact legislation that would allow State UC programs to conduct drug testing on applicants for whom suitable work (as defined under the State law) is available only in an occupation that regularly conducts drug testing or if the applicant was discharged for unlawful use of drugs. States may deny UC benefits to an applicant who tests positive for drug use under the circumstances just described. The Department is required under 303(l) of the Social Security Act to determine and establish in regulations those occupations that regularly conduct drug testing.

Priority: Other Significant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: 20 CFR 620  

To search for a specific CFR, visit the Code of Federal Regulations.

Legal Authority: PL 112-96; title III, Social Security Act (42 USC 301 et seq); Secretary's Order No 6-10

Legal Deadline: None

Regulatory Flexibility Analysis Required: No  

Government Levels Affected: Federal; State

Federalism: No

Agency Contact: Ronald Wilus  
Chief, Division of Fiscal and Actuarial Services  
Department of Labor  
Employment and Training Administration  
200 Constitution Avenue NW., FP Building, Room S-4231, Washington, DC 20210  
Washington, DC 20210  
Phone: 202 693-2931  
E-Mail: wilus.ronald@dol.gov

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Title: H-2A Wages for Open Range Herding and Livestock Occupations

Abstract: Office of Foreign Labor Certification of the Employment and Training Administration (ETA) has established special procedures for certain occupations, including long-established variances for sheepherding and occupations involving the open range production of livestock. The wage-setting methodology for these occupations has been set in the past by sub-regulatory guidance. ETA is engaging in this regulatory action in anticipation of amending the process by which it sets wages to seek and obtain input from the regulated community.

Priority: Other Significant

Agency Contact: Adele Gagliardi
Administrator, Office of Policy Development and Research
Department of Labor
Employment and Training Administration
200 Constitution Avenue NW., Room N-5641, Washington, DC 20210
Phone: 202 693-3700
E-Mail: gagliardi.adele@dol.gov

Title: Wage Methodology for the Temporary Non-Agricultural Employment H-2B Program

Abstract: The Immigration and Nationality Act (INA) establishes the H-2B visa classification for a non-agricultural temporary worker "having a residence in a foreign country which he has no intention of abandoning who is coming temporarily to the United States to perform . . . temporary [non-agricultural] service or labor if unemployed persons capable of performing such service or labor cannot be found in this country[.]" 8 U.S.C. 1101(a)(15)(H)(ii)(b). The INA also requires an importing employer (H-2B employer) to petition the Department of Homeland Security (DHS) for classification of the prospective temporary worker as an H-2B nonimmigrant, and DHS must approve such petition before the beneficiary can be considered eligible for an H-2B visa or H-2B status. 8 U.S.C. 1184(c)(1). The INA further requires DHS to consult with "appropriate agencies of the Government" before adjudicating an H-2B petition, and DHS has determined that it must consult with the Department of Labor (DOL) to determine whether U.S. workers capable of performing the temporary services or labor are available and that the foreign worker's employment will not adversely affect the wages or working conditions of similarly employed U.S. workers. 8
CFR 214.2(h)(6)(iii)(A). DHS's regulation requires H-2B employers to obtain certification from DOL that these conditions are met prior to submitting a petition to DHS. Id. As part of DOL's certification, DHS requires DOL to determine the prevailing wage applicable to an application for temporary labor certification. 8 CFR 214.2(h)(6)(iii)(D). DOL has established procedures to certify whether a qualified U.S. worker is available to fill the petitioning H-2B employer's job opportunity and whether foreign worker's employment in the job opportunity will adversely affect the wages or working conditions of similarly employed U.S. workers. See 20 CFR part 655, subpart A. As part of DOL's labor certification process and, pursuant to the DHS regulations, 8 CFR 214.2(h)(6)(iii)(D), DOL sets the wage that employers must offer and pay foreign workers entering the country on an H-2B visa. See 20 CFR 655.10. DOL revised the wage methodology used in the H-2B program in 2011, and jointly with the Department of Homeland Security again in 2013. The later action was an interim final rule (IFR) in response to a court order. However, DOL requested and received comments on all aspects of the 2013 revisions to the H-2B wage methodology in the IFR. DOL has determined that further notice and comment is appropriate on the proper methodology for determining the prevailing wage in the H-2B program, and will consider comments submitted in conjunction with the IFR together with comments submitted on this new proposal in order to issue a final rule.

**Priority:** Economically Significant  
**Agenda Stage of Rulemaking:** Proposed Rule  
**Major:** Yes  
**Unfunded Mandates:** No

**CFR Citation:** 20 CFR 655.10 (To search for a specific CFR, visit the Code of Federal Regulations.)

**Legal Authority:** 8 USC 1101(a)(15)(H)(ii)(B); 8 USC 1148(c); 29 USC 49k; 8 CFR 214.2(h)(6)(iii)

**Legal Deadline:** None

**Timetable:**

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**Regulatory Flexibility Analysis Required:** Business  
**Government Levels Affected:** Local; State

**Federalism:** No

**Agency Contact:** Lauren Bernstein  
Acting Manager, Division of Policy  
Department of Labor  
Employment and Training Administration  
Office of Foreign Labor Certification, 200 Constitution Avenue NW., Room C-4312, FP Building, Washington, DC 20210  
Washington, DC 20210  
Phone: 202 693-3010  
E-Mail: bernstein.lauren@dol.gov

---

**Title:** Workforce Innovation and Opportunity Act  
**Abstract:** On July 22, 2014, the President signed the Workforce Innovation Opportunity Act (WIOA) (Pub. L. 113-128). WIOA repeals the Workforce Investment Act of 1998 (WIA). (29 U.S.C. 2801 et seq.) The Department of Labor must develop and issue a Notice of Proposed Rulemaking (NPRM) that proposes to implement the changes WIOA makes to the public workforce system in regulations. Through the NPRM, the Department will propose ways to carry out the purposes of WIOA to provide workforce investment activities, through State and local workforce development systems, that increase employment, retention, and earnings of participants, meet the skill requirements of employers, and enhance the productivity and competitiveness of the Nation.

**Priority:** Other Significant  
**Agenda Stage of Rulemaking:** Proposed Rule  
**Major:** Undetermined  
**Unfunded Mandates:** Undetermined

**CFR Citation:** Not Yet Determined (To search for a specific CFR, visit the Code of Federal Regulations.)

**Legal Authority:** sec 503(f) of the Workforce Innovation and Opportunity Act (PL 113-128)

**Legal Deadline:**

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**Regulatory Plan:**  
**Statement of Need:** On July 22, 2014, the President signed the Workforce Innovation Opportunity Act (WIOA) (Pub. L. 113-128) into law. WIOA repeals the Workforce Investment Act of 1998 (WIA) (29 U.S.C. 2801 et seq.) As a result, the WIA regulations no longer reflect current law and we must change. Therefore, the Department of Labor seeks to develop and issue a Notice of Proposed Rulemaking (NPRM) that proposes to implement the WIOA.
Legal Basis: The Workforce Innovation Opportunity Act (WIOA) (Pub. L. 113-128), signed by the President on July 22, 2014. Section 503(f) of WIOA requires that the Department issue a Notice of Proposed Rulemaking (NPRM) and then Final Rule that implements the changes WIOA makes to the public workforce system in regulations.

Alternatives: Since Congress statutorily directed the Department of Labor to issue a Notice of Proposed Rulemaking (NPRM) and Final Rule that implements the changes WIOA makes to the public workforce system there is no alternative.

Costs and Benefits: Undetermined

Risks: Undetermined

Timetable:

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Regulatory Flexibility Analysis Required: Business; Governmental Jurisdictions; Organizations

Government Levels Affected: Federal; Local; State; Tribal

Federalism: Yes

Energy Affected: No

Agency Contact: Portia Wu
Assistant Secretary for Employment and Training
Department of Labor
Employment and Training Administration
200 Constitution Avenue NW., FP Building, Washington, DC 20210
Washington, DC 20210
Phone: 202 639-2700

Department of Labor (DOL)
Employment and Training Administration (ETA)

RIN: 1205-AB71

Title: Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards--DOL Exceptions

Abstract: To deliver on the promise of a 21st-century government that is more efficient, effective, and transparent, the Office of Management and Budget (OMB) is streamlining the Federal government’s guidance on Administrative Requirements, Cost Principles, and Audit Requirements for Federal awards. These modifications are a key component of a larger Federal effort to more effectively focus Federal resources on improving performance and outcome while ensuring the financial integrity of taxpayer dollars in partnership with non-Federal stakeholders. Therefore, OMB has tasked Federal agencies, including the Department of Labor, with updating their respective regulations on Administrative Requirements, Cost Principles and Audit Requirements for Federal awards by issuing new regulations that conform to the OMB guidance. The regulation will reflect a government-wide framework for grants management which will be complemented by additional efforts to strengthen program outcomes through innovative and effective use of grant-making models, performance metrics, and evaluation. This regulation will reduce administrative burdens for non-Federal awards while reducing the risk of waste, fraud and abuse.

Priority: Substantive, Nonsignificant

Agenda Stage of Rulemaking: Final Rule

Unfunded Mandates: No

CFR Citation: Not Yet Determined (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 5 USC 301

Legal Deadline: None

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Regulatory Flexibility Analysis Required: No

Government Levels Affected: Local; State; Tribal

Small Entities Affected: No

Federalism: No

Energy Affected: No

Agency Contact: Laura P. Watson
Associate Deputy Administrator
Department of Labor
Employment and Training Administration
200 Constitution Avenue NW., FPB, Room N-4716, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-3333
FAX: 202 693-3362

11
Title: Wage Methodology for the Temporary Nonagricultural Employment H-2B Program, Part 2

Abstract: In an interim final rule (IFR) published in April, 2013, the Department of Homeland Security (DHS) and Department of Labor (DOL) amended their regulations governing the methodology by which DOL calculates the prevailing wages to be paid to H-2B workers and U.S. workers recruited in connection with the application for employment certification of nonimmigrant workers in temporary or seasonal non-agricultural employment. That prevailing wage is then used by employers in petitioning the DHS to allow nonimmigrant workers to enter the U.S. in H-2B status. DOL and DHS jointly issued this rule in response to the court's order in Comite de Apoyo a los Trabajadores Agricolas v. Solis, which vacated a provision of DOL's prevailing wage rate regulation, and to ensure that the rule is in effect nationwide in light of other outstanding litigation. The IFR requested comments from the public on a variety of regulatory and policy issues, and the final rule will consider the public's input and make revisions to the regulations as warranted.

Priority: Economically Significant
Major: Yes
Unfunded Mandates: No

CFR Citation: 20 CFR 655; 8 CFR 214 (To search for a specific CFR, visit the Code of Federal Regulations)
Legal Authority: 8 USC 1101(a)(15)(H)(ii)(b); 8 USC 1184(c)
Legal Deadline: 04/22/2013

Regulatory Flexibility Analysis Required: No
Small Entities Affected: Business
Energy Affected: No

Agency Contact: Lauren Bernstein
Acting Manager, Division of Policy
Department of Labor
Employment and Training Administration
Office of Foreign Labor Certification, 200 Constitution Avenue NW., Room C-4312, FP Building, Washington, DC 20210
Phone: 202 693-3010
E-Mail: bernstein.lauren@dol.gov

Title: Standards for Brokerage Windows

Abstract: The Employee Benefits Security Administration (EBSA) will review the use of brokerage windows in participant-directed individual account retirement plans covered by the Employee Retirement Income Security Act of 1974 (ERISA). Instead of offering a limited number of investment options chosen by a plan fiduciary, a brokerage window may give plan participants access to a broad range of diverse investment alternatives available on the market. This rulemaking project will explore whether, and to what extent, regulatory guidance on fiduciary requirements and regulatory safeguards for such arrangements are appropriate for plans that allow participants to direct investments through brokerage windows. EBSA expects to begin this review by issuing a Request for Information.

Priority: Other Significant
Major: Undetermined
Unfunded Mandates: Undetermined
Title: Pension Benefit Statements

Abstract: Section 508 of the Pension Protection Act of 2006 (PPA) amended section 105 of the Employee Retirement Income Security Act (ERISA) to require plans that are subject to ERISA to automatically provide participants and certain beneficiaries with individual pension benefit statements. Generally, defined benefit plans must provide the statement every 3 years, with an annual alternative. Individual account plans that permit participant direction must provide the statement quarterly, and individual account plans that do not permit participant direction must provide the statement annually. As part of this initiative, the Department will explore whether, and how, an individual benefit statement should and could present a participant's accrued benefits in a defined contribution plan (i.e., the individual's account balance) as a lifetime income stream of payments, in addition to presenting the benefits as an account balance.

Priority: Other Significant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: 29 CFR 2520 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 1025; ERISA sec 105; PL 109-280, sec 508, Pension Protection Act of 2006; 29 USC 1135; ERISA sec 505

Legal Deadline:

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: Undetermined

Federalism: No

Agency Contact: Suzanne Adelman
Senior Pension Law Specialist
Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., FP Building, Room N-5655, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-8500
FAX: 202 219-7291
Title: Conflict of Interest Rule-Investment Advice

Abstract: This rulemaking would reduce harmful conflicts of interest by amending the regulatory definition of the term "fiduciary" set forth at 29 CFR 2510.3-21(c) to more broadly define as fiduciaries those persons who render investment advice to plans and IRAs for a fee within the meaning of section 3(21) of the Employee Retirement Income Security Act (ERISA) and section 4975(e)(3) of the Internal Revenue Code. The amendment would take into account current practices of investment advisers, and the expectations of plan officials and participants, and IRA owners who receive investment advice, as well as changes that have occurred in the investment marketplace, and in the ways advisers are compensated that frequently subject advisers to harmful conflicts of interest.

Priority: Economically Significant
Major: Yes

Agenda Stage of Rulemaking: Proposed Rule
Unfunded Mandates: No

CFR Citation: 29 CFR 2510.3-21(c) (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 29 USC 1002; ERISA sec 3(21); 29 USC 1135; ERISA sec 505

Federalism: No

Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: Undetermined

Agency Contact: Jeffrey J. Turner
Deputy Director, Office of Regulations and Interpretations
Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., FP Building, Room N-5655, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-8500

Department of Labor (DOL)
Employee Benefits Security Administration (EBSA)

Title: Electronic Filing of Apprenticeship & Training Notices, and Top Hat Plan Statements

Abstract: Regulation 29 CFR § 2520.104-22 contains an exemption from the reporting and disclosure requirements for apprenticeship and training plans. Regulation 29 CFR § 2520.104-23 contains an alternative method of compliance with the reporting and disclosure requirements for pension plans for certain selected employees. Both regulations contain a filing obligation. This rulemaking would amend those regulations to substitute electronic filing for regular mail or hand delivery.

Priority: Other Significant
Major: No

Agenda Stage of Rulemaking: Proposed Rule
Unfunded Mandates: No

CFR Citation: 29 CFR 2520.104-22; 29 CFR 2520.104-23 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 29 USC 1024; ERISA sec 104; 29 USC 1030; ERISA sec 110; 29 USC 1135; ERISA sec 505

Federalism: No

Energy Affected: No

Agency Contact: Jeffrey J. Turner
Deputy Director, Office of Regulations and Interpretations
Title: Revision of the Form 5500 Series and Implementing Related Regulations Under the Employee Retirement Income Security Act of 1974 (ERISA)

Abstract: This regulatory action is part of long term strategic project with the Internal Revenue Service and the Pension Benefit Guaranty Corporation to modernize and improve the Form 5500 Annual Return/Report of Employee Benefit Plan. Modernizing the financial and other annual reporting requirements on the Form 5500 and making the investment and other information on the Form 5500 more data mineable are part of that evaluation. The project is also focused on enhancing the agencies' ability to collect employee benefit plan data that best meets the needs of changing compliance projects, programs, and activities.

Priority: Other Significant
Mandatory: Undetermined
Unfunded Mandates: No

CFR Citation: 29 CFR 2520 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 29 USC 1021 to 1025; 29 USC 1027; 29 USC 1029 and 1030; 29 USC 1134 and 1135; 29 USC 1059; 29 USC 1204

Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: No

Federalism: No

Agency Contact: Mara S Blumenthal
Employee Benefits Law Specialist
Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., Room N5669, FP Building, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-8500

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Title: Notice of Proposed Rulemaking for Health Care Continuation Coverage

Abstract: The proposed amendment will eliminate the current version of the model general notice contained in the appendix of § 2590.606–1 and the model election notice contained in the appendix of § 2590.606–1 as these model notices are outdated. Additionally, these proposed regulations make technical changes to the instruction language pointing to the model notices in the appendices in paragraph (g) of § 2590.606 and paragraph (g) of § 2590.606–4. These changes will permit the Department to amend the model notices as necessary and provide the most current versions of the model notices on the Department's website. These changes will also eliminate confusion that may result from multiple versions of the model notices being available in different locations. Contemporaneous with issuance of these proposed regulations, the Department is also issuing updated model notices that reflect that coverage is now available in the Marketplace and provide information on special enrollment rights in the Marketplace, as well as guidance announcing the availability of such updated notices.

Priority: Other Significant
Mandatory: No
Unfunded Mandates: No

CFR Citation: Not Yet Determined (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: Not Yet Determined

Legal Deadline: None
# Coverage of Certain Preventive Services Under the Affordable Care Act

**Title:** Coverage of Certain Preventive Services Under the Affordable Care Act

**Abstract:** The Patient Protection and Affordable Care Act of 2010 (the Affordable Care Act) amended title I of ERISA, by adding a new section 715 which encompasses various health reform provisions of the Public Health Service (PHS) Act. Section 2713 of the PHS Act requires coverage without cost sharing of certain preventive health services by non-grandfathered group health plans and health insurance coverage.

**Priority:** Other Significant

**Agenda Stage of Rulemaking:** Proposed Rule

**Major:** No

**Unfunded Mandates:** No

**CFR Citation:** Not Yet Determined (To search for a specific CFR, visit the Code of Federal Regulations)

**Legal Authority:** Not Yet Determined

**Legal Deadline:** None

## Timetable

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**Regulatory Flexibility Analysis Required:** Undetermined

**Government Levels Affected:** Undetermined

**Federalism:** No

**Agency Contact:** Amy J. Turner
Senior Advisor
Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., FP Building, Room N-5653, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-8335
FAX: 202 219-1942

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**Department of Labor (DOL)**
**Employee Benefits Security Administration (EBSA)**

**RIN:** 1210-AB67

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**Department of Labor (DOL)**
**Employee Benefits Security Administration (EBSA)**

**RIN:** 1210-AB68

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**Additional Information:** 1545-BM39, 0938-AS50, 1545-BM38, and 0938-AR42

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Title: Fiduciary Requirements for Disclosure in Participant-Directed Individual Accounts Plans--Timing of Annual Disclosure

Abstract: This rulemaking would amend 29 CFR section 2550.404a-5 by making a technical adjustment to the timing requirement for annual disclosure. The amendment would provide plan administrators with flexibility as to when they must furnish annual disclosures to participants and beneficiaries.

Priority: Substantive, Nonsignificant
Major: No

Agenda Stage of Rulemaking: Proposed Rule
Unfunded Mandates: No

CFR Citation: 29 CFR 2550.404a-5 (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 29 USC 1104(a); 29 USC 1135; ERISA sec 505
Legal Deadline: None

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Regulatory Flexibility Analysis Required: No
Government Levels Affected: No
Federalism: No

Agency Contact: Jeffrey J. Turner
Deputy Director, Office of Regulations and Interpretations
Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., FP Building, Room N-5655, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-8500

Department of Labor (DOL)
Employee Benefits Security Administration (EBSA)

Title: Annual Funding Notice

Abstract: This rulemaking implements the requirement of section 501 of the Pension Protection Act of 2006 (PPA), which amended section 101(f) of the Employee Retirement Income Security Act (ERISA) to require the administrator of a defined benefit pension plan to provide participants, beneficiaries, and other parties with an annual funding notice, and also implements the requirements of section 503(c) of the PPA that amended section 104(b)(3) of ERISA regarding summary annual reports for defined benefit plans.

Priority: Other Significant
Major: No

Agenda Stage of Rulemaking: Final Rule
Unfunded Mandates: No

CFR Citation: 29 CFR 2520; 29 CFR 2520.104-46; 29 CFR 2520.104b-10 (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 29 USC 1021(f); ERISA sec 101(f); PL 109-280, sec 501, Pension Protection Act of 2006; 29 USC 1021(b); ERISA sec 104(b)(3); PL 109-280, sec 503, Pension Protection Act of 2006; 29 USC 1135; ERISA sec 505
Legal Deadline: 08/18/2007

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Regulatory Flexibility Analysis Required: Undetermined
Government Levels Affected: Undetermined
Federalism: No
Energy Affected: No

Agency Contact: Stephanie Ward-Cibinic
Senior Pension Law Specialist
Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., FP Building, Room N-5655, Washington, DC 20210
Washington, DC 20210
Title: Amendment of Abandoned Plan Program

Abstract: On April 21, 2006, the Department published a package of regulations, collectively titled Termination of Abandoned Individual Account Plans, which facilitate the termination of, and distribution of benefits from, individual account pension plans that have been abandoned by their sponsoring employers. See 71 FR 20820. This rulemaking will examine whether, and how, to amend those regulations by expanding the scope of individuals entitled to be a "qualified termination administrator" (QTA). Under the Termination of Abandoned Individual Account Plans regulations, only a QTA is authorized to determine whether an individual account plan is abandoned, and to carry out related activities necessary to the termination, and winding up of the plan's affairs.

Priority: Other Significant  
Major: Undetermined  
Unfunded Mandates: Undetermined

Legal Authority: 29 USC 1135; ERISA sec 505

Regulatory Flexibility Analysis Required: Undetermined

Agency Contact: Jeffrey J. Turner  
Deputy Director, Office of Regulations and Interpretations  
Department of Labor  
Employee Benefits Security Administration  
200 Constitution Avenue NW., FP Building, Room N-5655, Washington, DC 20210  
Washington, DC 20210  
Phone: 202 693-8500

---

Title: Guide or Similar Requirement for Section 408(b)(2) Disclosures

Abstract: Paragraph (c) of 29 CFR 2550.408b-2 requires covered service providers to make certain disclosures to responsible plan fiduciaries in order for contracts or arrangements between the parties to be considered reasonable under section 408(b)(2) of the Employee Retirement Income Security Act (ERISA). This rulemaking would amend the disclosure provisions in paragraph (c) so that covered service providers may be required to furnish a guide or similar tool along with such disclosures. A guide or similar requirement may assist fiduciaries, especially fiduciaries to small and medium-sized plans, in identifying and understanding the potentially complex disclosure documents that are provided to them, or if disclosures are located in multiple documents.

Priority: Other Significant  
Major: No  
Unfunded Mandates: No

Legal Authority: 29 USC 1108(b)(2); 29 USC 1135

Regulatory Flexibility Analysis Required: Undetermined

Agency Contact: Jeffrey J. Turner  
Deputy Director, Office of Regulations and Interpretations  
Department of Labor  
Employee Benefits Security Administration  
200 Constitution Avenue NW., FP Building, Room N-5655, Washington, DC 20210  
Washington, DC 20210  
Phone: 202 693-8500
Title: Adoption of Amended and Restated Voluntary Fiduciary Correction Program

Abstract: The Employee Benefits Security Administration (EBSA) is amending and restating its Voluntary Fiduciary Correction Program (VFCP) under the Employee Retirement Income Security Act of 1974 (ERISA), which originally was adopted in 2002 and revised in 2005 and 2006. The VFCP is designed to encourage the voluntary correction of fiduciary violations by permitting persons to avoid potential civil actions and civil penalties if they take steps to correct identified violations in a manner consistent with the VFCP. The amendments will expand the scope of some transactions currently eligible for correction and streamline correction procedures for certain others. EBSA will issue a restatement of the VFCP in its entirety and request public comments.

Timetable:

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Department of Labor (DOL)
Employee Benefits Security Administration (EBSA)

Title: Revisions to Form 5500 Annual Return/Report--Multiple Employer Plans

Abstract: This notice describes revisions to the Form 5500 Annual Return/Report to implement annual reporting changes for multiple employer plans required by the Cooperative and Small Employer Charity Pension Flexibility Act (CSEC Act) enacted on April 7, 2014. The Form 5500 Annual Return/Report is filed by employee benefit plans under the Employee Retirement Income Security Act of 1974 and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code. The CSEC Act established additional annual reporting requirements for multiple employer plans for plan years beginning after December 31, 2013. Specifically, the Form 5500 of a multiple employer plan must include a list of participating employers and a good faith estimate of the percentage of total contributions made by each participating employer during the plan year.

Priority: Substantive, Nonsignificant

Agency Contact: Jeffrey J. Turner
Deputy Director, Office of Regulations and Interpretations
Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., FP Building, Room N-5655, Washington, DC 20210
Phone: 202 693-8500
FAX: 202 219-7291

Department of Labor (DOL)
Employee Benefits Security Administration (EBSA)

Title: Adoption of Amended and Restated Voluntary Fiduciary Correction Program

Abstract: The Employee Benefits Security Administration (EBSA) is amending and restating its Voluntary Fiduciary Correction Program (VFCP) under the Employee Retirement Income Security Act of 1974 (ERISA), which originally was adopted in 2002 and revised in 2005 and 2006. The VFCP is designed to encourage the voluntary correction of fiduciary violations by permitting persons to avoid potential civil actions and civil penalties if they take steps to correct identified violations in a manner consistent with the VFCP. The amendments will expand the scope of some transactions currently eligible for correction and streamline correction procedures for certain others. EBSA will issue a restatement of the VFCP in its entirety and request public comments.

Priority: Other Significant

Agency Contact: Jeffrey J. Turner
Deputy Director, Office of Regulations and Interpretations
Department of Labor
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Phone: 202 693-8500
FAX: 202 219-7291
Regulatory Flexibility Analysis Required: No  Government Levels Affected: No
Small Entities Affected: Business; Organizations  Federalism: No
Agency Contact: Elizabeth A. Goodman
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Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., FP Building, Room N5655, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-8500
FAX: 202 219-7219

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Regulatory Flexibility Analysis Required: Undetermined  Government Levels Affected: No
Federalism: No
Agency Contact: Jeffrey J. Turner
Deputy Director, Office of Regulations and Interpretations
Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue NW., FP Building, Room N-5655, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-8500

Title: Target Date Disclosure
Abstract: This rulemaking will amend the Department's qualified default investment alternative regulation (29 CFR 2550.404c-5), which provides relief from certain fiduciary responsibilities for fiduciaries of participant-directed individual account plans who, in the absence of directions from a participant, invest the participant's account in a qualified default investment alternative. This amendment will provide more specificity to fiduciaries as to the investment information that must be disclosed in the required notice to participants and beneficiaries. This amendment also will enhance the information that must be disclosed concerning target date, or similar age-based, qualified default investment alternatives. The Department published in the Federal Register, at section 2550.404a-5 (75 FR 64910, Oct. 20, 2010), a final regulation that requires the disclosure of certain plan and investment-related information, including fee and expense information, to participants and beneficiaries in participant-directed individual account plans (the participant-level disclosure regulation). The proposed rulemaking also will amend the participant-level disclosure regulation to require the disclosure of the same information concerning target date, or similar investments, to all participants and beneficiaries in participant-directed individual account plans.

Priority: Other Significant

Agenda Stage of Rulemaking: Long-term Action

Major: No

Unfunded Mandates: No

CFR Citation: 29 CFR 2550.404c-5 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 1135; ERISA sec 505; 29 USC 1104

Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: No

Federalism: No

Agency Contact: Jeffrey J. Turner
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Department of Labor (DOL)
Employee Benefits Security Administration (EBSA)

RIN: 1210-AB39

Title: Amendment to Claims Procedure Regulation

Abstract: Section 503 of the Employee Retirement Income Security Act (ERISA), 29 U.S.C. section 1133, provides that, in accordance with regulations promulgated by the Secretary of Labor, each employee benefit plan must provide "adequate notice in writing to any participant or beneficiary whose claim for benefits under the plan has been denied." The notice must set forth the specific reasons for the denial and must be written in a manner calculated to be understood by the claimant. Each plan must also afford "a reasonable opportunity" for any participant or beneficiary whose claim has been denied to obtain "full and fair review" of the denial by the "appropriate named fiduciary of the plan." The Department has issued a regulation pursuant to the above authority that establishes the minimum requirements for benefit claims procedures of employee benefit plans covered by title 1 of ERISA. See 29 CFR section 2560.503-1. This rulemaking is intended to strengthen, improve, and update the current rules governing the internal claims and appeals process.

Priority: Other Significant

Agenda Stage of Rulemaking: Long-term Action

Major: Undetermined

Unfunded Mandates: Undetermined

CFR Citation: 29 CFR 2550.503-1 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 1135; ERISA sec 505; 29 USC 1133

Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: Undetermined  Government Levels Affected: Undetermined
Federalism: Undetermined
Agency Contact: Jeffrey J. Turner
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Department of Labor (DOL)
Employee Benefits Security Administration ( EBSA )  RIN: 1210-AB46

Title: Automatic Enrollment in Health Plans of Employees of Large Employers Under FLSA Section 18A
Abstract: This rulemaking implements section 1511 of the Patient Protection and Affordable Care Act of 2010, which added section 18A to the Fair Labor Standards Act to require employers who have more than 200 full-time employees and who offer enrollment in one or more health benefits plans to automatically enroll new full-time employees in one of the plans offered and to continue enrollment of current employees.
Priority: Other Significant  Agenda Stage of Rulemaking: Long-term Action
Major: Undetermined  Unfunded Mandates: Undetermined
CFR Citation: Not Yet Determined  (To search for a specific CFR, visit the Code of Federal Regulations )
Legal Authority: 29 USC 218A; FLSA sec 18A; PL 111-148, sec 1511, Patient Protection and Affordable Care Act of 2010
Legal Deadline: None
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Regulatory Flexibility Analysis Required: Undetermined  Government Levels Affected: Federal; Local; State
Federalism: Undetermined
Agency Contact: Janet Walters
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Department of Labor (DOL)
Employee Benefits Security Administration ( EBSA )  RIN: 1210-AB58

Title: Selection of Annuity Providers--Safe Harbor for Individual Account Plans
Abstract: The Department in 2008 issued a regulation pursuant to section 404 of the Employee Retirement Income Security Act that establishes a safe harbor for satisfaction of fiduciary responsibilities in selecting an annuity provider and contract for benefit distributions from an individual account retirement plan. See 29 CFR section 2550.404a-4. More recently, the Department and the Department of the Treasury published a Request for Information Regarding Lifetime Income Options for Participants and Beneficiaries in Retirement Plans (RFI), seeking comments on what measures the Departments could take to encourage such plans to offer annuities or other arrangements that provide a lifetime stream of income after retirement. See 75 FR 5253 (Feb. 2, 2010). Based on the RFI comments, the Department is developing proposed amendments to the annuity selection safe harbor primarily focused on the condition in the safe harbor relating to the ability of the annuity provider to make all future payments under the annuity contract.
Priority: Other Significant  Agenda Stage of Rulemaking: Long-term Action
Major: No  Unfunded Mandates: No
CFR Citation: Not Yet Determined  (To search for a specific CFR, visit the Code of Federal Regulations )
Legal Authority: 29 USC 1104; ERISA sec 404; 29 USC 1135; ERISA sec 505
Title: Amendments to Excepted Benefits

Abstract: This document contains proposed rules that would amend the regulations regarding excepted benefits under the Employee Retirement Income Security Act of 1974, the Internal Revenue Code, and the Public Health Service Act, as amended by the Health Insurance Portability and Accountability Act (HIPAA), and the Patient Protection and Affordable Care Act (Affordable Care Act).

Priority: Other Significant

Agenda Stage of Rulemaking: Completed Action

Major: No

Unfunded Mandates: No

CFR Citation: None (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: ERISA sections 732 to 734

Legal Deadline: None

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Local; State

Federalism: No

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Title: Ninety-Day Waiting Period Limitation Under the Affordable Care Act

Abstract: The Patient Protection and Affordable Care Act of 2010 (the Affordable Care Act) amended title I of the Employment Retirement Income Security Act (ERISA), by adding a new section 715 which encompasses various health reform provisions of the Public Health Service (PHS) Act. These regulations provide guidance on a discrete issue related to the 90-day waiting period limitation under section 2708 of the PHS Act.
Title: Bloodborne Pathogens

Abstract: OSHA will undertake a review of the Bloodborne Pathogen Standard (29 CFR 1910.1030) in accordance with the requirements of the Regulatory Flexibility Act and section 5 of Executive Order 12866. The review will consider the continued need for the rule; whether the rule overlaps, duplicates, or conflicts with other Federal, State or local regulations; and the degree to which technology, economic conditions, or other factors may have changed since the rule was evaluated.

Priority: Substantive, Nonsignificant
Major: No
Unfunded Mandates: No
CFR Citation: 29 CFR 1910.1030 (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 5 USC 533; 5 USC 610; 29 USC 655(b)
Legal Deadline: None

Regulatory Flexibility Analysis Required: No
Government Levels Affected: No
Federalism: No
Energy Affected: No
Agency Contact: Francis Yebesi
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FAX: 202 693-1641
E-Mail: yebesi.francis@dol.gov

Title: Bloodborne Pathogens

Abstract: OSHA will undertake a review of the Bloodborne Pathogen Standard (29 CFR 1910.1030) in accordance with the requirements of the Regulatory Flexibility Act and section 5 of Executive Order 12866. The review will consider the continued need for the rule; whether the rule overlaps, duplicates, or conflicts with other Federal, State or local regulations; and the degree to which technology, economic conditions, or other factors may have changed since the rule was evaluated.

Priority: Substantive, Nonsignificant
Major: No
Unfunded Mandates: No
CFR Citation: 29 CFR 1910.1030 (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 5 USC 533; 5 USC 610; 29 USC 655(b)
Legal Deadline: None

Regulatory Flexibility Analysis Required: No
Government Levels Affected: No
Federalism: No
Energy Affected: No
Agency Contact: Francis Yebesi
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Title: Infectious Diseases

Abstract: Employees in health care and other high-risk environments face long-standing infectious disease hazards such as tuberculosis (TB), varicella disease (chickenpox, shingles), and measles (rubeola), as well as new and emerging infectious disease threats, such as Severe Acute Respiratory Syndrome (SARS) and pandemic influenza. Health care workers and workers in related occupations, or who are exposed in other high-risk environments, are at increased risk of contracting TB, SARS, Methicillin-resistant Staphylococcus aureus (MRSA), and other infectious diseases that can be transmitted through a variety of exposure routes. OSHA is concerned about the ability of employees to continue to provide health care and other critical services without unreasonably jeopardizing their health. OSHA is considering the need for a standard to ensure that employers establish a comprehensive infection control program and control measures to protect employees from infectious disease exposures to pathogens that can cause significant disease. Workplaces where such control measures might be necessary include: health care, emergency response, correctional facilities, homeless shelters, drug treatment programs, and other occupational settings where employees can be at increased risk of exposure to potentially infectious people. A standard could also apply to laboratories, which handle materials that may be a source of pathogens, and to pathologists, coroners’ offices, medical examiners, and mortuaries.

Priority: Economically Significant

Agenda Stage of Rulemaking: PreRule

Major: Undetermined

Unfunded Mandates: No

CFR Citation: 29 CFR 1910 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 5 USC 533; 29 USC 657 and 658; 29 USC 660; 29 USC 666; 29 USC 669; 29 USC 673; ... .

Legal Deadline: None

Regulatory Plan:

Statement of Need: In 2007, the healthcare and social assistance sector as a whole had 16.5 million employees. Healthcare workplaces can range from small private practices of physicians to hospitals that employ thousands of workers. In addition, healthcare is increasingly being provided in other settings such as nursing homes, free-standing surgical and outpatient centers, emergency care clinics, patients’ homes, and prehospitalization emergency care settings. The Agency is particularly concerned by studies that indicate that transmission of infectious diseases to both patients and healthcare workers may be occurring as a result of incomplete adherence to recognized, but voluntary, infection control measures. Another concern is the movement of healthcare delivery from the traditional hospital setting, with its greater infrastructure and resources to effectively implement infection control measures, into more diverse and smaller workplace settings with less infrastructure and fewer resources, but with an expanding worker population.

Legal Basis: The Occupational Safety and Health Act of 1970 authorizes the Secretary of Labor to set mandatory occupational safety and health standards to assure safe and healthful working conditions for working men and women (29 U.S.C. 651).

Alternatives: The alternative to the proposed rulemaking would be to take no regulatory action.

Costs and Benefits: The estimates of the costs and benefits are still under development.

Risks: Analysis of risks is still under development.

Timetable:

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Regulatory Flexibility Analysis Required: Business; Governmental Jurisdictions

Federalism: Undetermined

Energy Affected: No

Agency Contact: William Perry
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Washington, DC 20210
Phone: 202 693-1950
FAX: 202 693-1678
Chemical Management and Permissible Exposure Limits (PELs)

Title: The majority of the Occupational Safety and Health Administration's (OSHA) Permissible Exposure Limits (PELs) were adopted in 1971, under section 6(a) of the OSH Act and only a few have been successfully updated since that time. There is widespread agreement among industry, labor, and professional occupational safety and health organizations that OSHA's PELs are outdated and need revising in order to take into account newer scientific data that indicates that significant occupational health risks exist at levels below OSHA's current PELs. In 1989, OSHA issued a final standard that lowered PELs for over 200 chemicals and added PELs for 164. However, the final rule was challenged and ultimately vacated by the 11th Circuit Court of Appeals in 1991 citing deficiencies in OSHA's analyses. Since that time OSHA has made attempts to examine its outdated PELs in light of the court's 1991 decisions. Most recently, OSHA sought input through a stakeholder meeting and web forum to discuss various approaches that might be used to address its outdated PELs. As part of the Department's Regulatory Review and Lookback Efforts, OSHA is developing a Request for Information (RFI) seeking input from the public to help the Agency identify effective ways to address occupational exposure to chemicals.

Priority: Other Significant
Major: No
Unfunded Mandates: No
CFR Citation: Not Yet Determined (To search for a specific CFR, visit the Code of Federal Regulations)
Legal Authority: 29 USC 655(b); 29 USC 657
Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: Undetermined
Federalism: No
Agency Contact: William Perry
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Department of Labor
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Washington, DC 20210
Phone: 202 693-1950
FAX: 202 693-1678
E-Mail: perry.bill@dol.gov
Title: Shipyard Fall Protection--Scaffolds, Ladders and Other Working Surfaces

Abstract: Existing 29 CFR Part 1915, subpart E; Scaffolds, Ladders and Other Working Surfaces includes scaffolds or staging, ladders, guarding of deck openings and edges, access to vessels, access to and guarding of dry docks and marine railways, access to cargo spaces and confined spaces, and working surfaces. These requirements are not comprehensive in their coverage of fall hazards in shipyards. In addition, provisions will be updated to reflect technological advances, while other provisions will be revised to be consistent with national consensus standards. Since this would result in a large, cumbersome subpart, Occupational Safety and Health Administration (OSHA) will request information in dividing this rulemaking into three subparts: subpart E. Stairways, Ladders and Other Access and Egress; subpart M, Fall Protection; and subpart N, Scaffolds. The estimated number of annualized fatalities associated with each subpart are: subpart E, Stairways, Ladders and Other Access and Egress - approximately 1 to 2 fatalities are occurring each year; subpart M, Fall Protection - approximately 3 to 4 fatalities in shipyards, associated with falls from elevations, are occurring each year; and subpart N, Scaffolds - approximately 1 fatality is occurring each year.

Priority: Substantive, Nonsignificant

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Department of Labor (DOL)
Occupational Safety and Health Administration ( OSHA )

RIN: 1218-AC85

Regulatory Flexibility Analysis Required: Undetermined

Federalism: Undetermined

Energy Affected: Undetermined

Agency Contact: William Perry
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Department of Labor (DOL)
Occupational Safety and Health Administration ( OSHA )

RIN: 1218-AC90
Title: Communication Towers

Abstract: During the 1990s, the growing demand for wireless and broadcast communications spurred a dramatic increase in the number of communication towers being erected, maintained and serviced. While the number of employees engaged in the communication tower industry remains small, the fatality rate is very high. Over the past 20 years, this industry has experienced an average fatality rate that greatly exceeds that of the construction industry, for example. In 2013, the industry experienced a dramatic increase in the number of fatalities occurring during communication tower work, with 13 total fatalities. Falls are the leading cause of death in tower work. Fall protection is used either improperly or inconsistently. Several communication towers have recently collapsed while employees worked on structural modifications. Employees are often hoisted to working levels on small base-mounted drum hoists that have been mounted to a truck chassis, and these may not be rated to hoist personnel. Communication tower construction and maintenance activities are not adequately covered by current OSHA fall protection and personnel hoisting standards.

Priority: Other Significant
Major: No

Regulatory Flexibility Analysis Required: Undetermined
Government Levels Affected: No
Small Entities Affected: Business
Federalism: No

Agency Contact: Jim Maddux
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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA)

Title: Emergency Response and Preparedness

Abstract: Occupational Health and Safety Administration (OSHA) published a Request for Information (RFI) on Emergency Response and Preparedness (72 FR 51735) on September 11, 2007. The RFI addressed the way that OSHA currently regulates aspects of emergency response and preparedness under its existing standards, and that some of these standards were promulgated decades ago, and none were designed as comprehensive emergency response standards. Consequently, they do not address the full range of hazards or concerns currently facing emergency responders, nor do they reflect major changes in performance specifications for protective clothing and equipment. The Agency acknowledged that current OSHA standards also do not reflect all the major developments in safety and health practices that have already been accepted by the emergency response community and incorporated into industry consensus standards. The Agency received 84 comments, many favorable on the need for further action to protect emergency responders. In the tragedy that struck West, Texas, in April 2013, it was reaffirmed that emergency responder health and safety continues to be an area of ongoing need. President Obama issued Executive Order 13650 Improving Chemical Facility Safety and Security (EO) on August 1, 2013, to improve chemical facility safety and security, including emergency responder safety. The Agency hosted a stakeholder meeting in July 2014 to address the lessons learned from West, Texas as well as how to integrate this information with the existing docket of information, as well as any other developments since closing the RFI docket.

Priority: Other Significant
Major: Undetermined

CFR Citation: 29 CFR 1910 (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 29 USC 655(b); 29 USC 657
Legal Deadline: None

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: Undetermined

Federalism: Undetermined

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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA) RIN: 1218-AB70

Title: Occupational Exposure to Crystalline Silica

Abstract: Crystalline silica is a significant component of the earth’s crust, and many workers in a wide range of industries are exposed to it, usually in the form of respirable quartz or, less frequently, cristobalite. Chronic silicosis is a uniquely occupational disease resulting from exposure of employees over long periods of time (10 years or more). Exposure to high levels of respirable crystalline silica causes acute or accelerated forms of silicosis that are ultimately fatal. The current OSHA permissible exposure limit (PEL) for general industry is based on a formula proposed by the American Conference of Governmental Industrial Hygienists (ACGIH) in 1968 (PEL=10mg/cubic meter/(% silica + 2), as respirable dust). The current PEL for construction and shipyards (derived from ACGIH’s 1970 Threshold Limit Value) is based on particle counting technology, which is considered obsolete. NIOSH and ACGIH recommend 50µg/m³ and 25µg/m³ exposure limits, respectively, for respirable crystalline silica. Both industry and worker groups have recognized that a comprehensive standard for crystalline silica is needed to provide for exposure monitoring, medical surveillance, and worker training. ASTM International has published recommended standards for addressing the hazards of crystalline silica. The Building Construction Trades Department of the AFL-CIO has also developed a recommended comprehensive program standard. These standards include provisions for methods of compliance, exposure monitoring, training, and medical surveillance. The NPRM was published on September 12, 2013. OSHA received over 1,700 comments from the public on the proposed rule, and over 200 stakeholders provided testimony during public hearings on the proposal. In the coming months, the agency will review and consider the evidence in the rulemaking record. Based upon this review, OSHA will determine an appropriate course of action with regard to workplace exposure to respirable crystalline silica.

Priority: Economically Significant

Agenda Stage of Rulemaking: Proposed Rule

Major: Yes

Unfunded Mandates: Private Sector

CFR Citation: 29 CFR 1910; 29 CFR 1915; 29 CFR 1917; 29 CFR 1918; 29 CFR 1926 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 655(b); 29 USC 657

Legal Deadline: None

Regulatory Plan:

Statement of Need: Workers are exposed to crystalline silica dust in general industry, construction, and maritime industries. Industries that could be particularly affected by a standard for crystalline silica include: foundries, industries that have abrasive blasting operations, paint manufacture, glass and concrete product manufacture, brick making, china and pottery manufacture, manufacture of plumbing fixtures, and many construction activities including highway repair, masonry, concrete work, rock drilling, and tuckpointing. The seriousness of the health hazards associated with silica exposure is demonstrated by the fatalities and disabling illnesses that continue to occur. From 2006 to 2010 silicosis was identified on 617 death certificates as an underlying or contributing cause of death. It is likely that many more cases have occurred where silicosis went undetected. In addition, the International Agency for Research on Cancer has designated crystalline silica as carcinogenic to humans, and the National Toxicology Program has concluded that respirable crystalline silica is a known human carcinogen. Exposure to crystalline silica has also been associated with an increased risk of developing tuberculosis and other nonmalignant respiratory diseases, as well as renal and autoimmune diseases. Exposure studies and OSHA enforcement data indicate that some workers continue to be exposed to levels of crystalline silica far in excess of current exposure limits. Congress has included compensation of silicosis victims on Federal nuclear testing sites in the Energy Employees’ Occupational Illness Compensation Program Act of 2000. There is a particular need for the Agency to modernize its exposure limits for construction and shipyard...
workers.

Legal Basis: The legal basis for the proposed rule is a preliminary determination that workers are exposed to a significant risk of silicosis and other serious disease, and that rulemaking is needed to substantially reduce the risk. In addition, the proposed rule will recognize that the PELs for construction and maritime are outdated, and need to be revised to reflect current sampling and analytical technologies.

Alternatives: Over the past several years, the Agency has attempted to address this problem through a variety of non-regulatory approaches, including initiation of a Special Emphasis Program on silica in October 1997, sponsorship with NIOSH and MSHA of the National Conference to Eliminate Silicosis, and dissemination of guidance information on its website.

Costs and Benefits: The scope of the proposed rulemaking and estimates of the costs and benefits are still under development.

Risks: A detailed risk analysis is under way.

Timetable:

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<td>09/12/2013</td>
<td>78 FR 56274</td>
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<td>10/31/2013</td>
<td>78 FR 65242</td>
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<td>01/29/2014</td>
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Regulatory Flexibility Analysis Required: Business

Government Levels Affected: Federal; Local; State; Tribal

Federalism: Yes

Energy Affected: No

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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA)
RIN: 1218-AB76

Title: Occupational Exposure to Beryllium

Abstract: In 1999 and 2001, OSHA was petitioned to issue an emergency temporary standard for permissible exposure limit (PEL) to beryllium by the United Steel Workers (formerly the Paper Allied-Industrial, Chemical, and Energy Workers Union), Public Citizen Health Research Group, and others. The Agency denied the petitions but stated its intent to begin data gathering to collect needed information on beryllium's toxicity, risks, and patterns of usage. On November 26, 2002, OSHA published a Request for Information (RFI) (67 FR 70707) to solicit information pertinent to occupational exposure to beryllium, including: current exposures to beryllium; the relationship between exposure to beryllium and the development of adverse health effects; exposure assessment and monitoring methods; exposure control methods; and medical surveillance. In addition, the Agency conducted field surveys of selected workplaces to assess current exposures and control methods being used to reduce employee exposures to beryllium. OSHA convened a Small Business Advocacy Review Panel under the Small Business Regulatory Enforcement Fairness Act (SBREFA) and completed the SBREFA Report in January 2008. OSHA also completed a scientific peer review of its draft risk assessment.

Priority: Economically Significant

Agenda Stage of Rulemaking: Proposed Rule

Major: Yes

Unfunded Mandates: No

CFR Citation: 29 CFR 1910 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 29 USC 655(b); 29 USC 657

Legal Deadline: None
Regulatory Flexibility Analysis Required: Business  Government Levels Affected: No

Federalism: No

Energy Affected: No

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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA)  RIN: 1218-AC67

Title: Standards Improvement Project IV

Abstract: OSHA's Standards Improvement Projects (SIPs) are intended to remove or revise duplicative, unnecessary, and inconsistent safety and health standards. The Agency has published three earlier final standards to remove unnecessary provisions, thus reducing costs or paperwork burden on affected employers. Standards Improvement Project Phase I was published in the Federal Register on June 18, 1998 (63 FR 33450); SIPs Phase 2 was published on January 5, 2005 (70 FR 1111); and SIPs Phase III was published June 8, 2011 (76 FR 33590). The Agency believes that these standards have reduced the compliance costs and eliminated or reduced the paperwork burden for a number of its standards. The Agency only considers such changes to its standards so long as they do not diminish employee protections. The Agency is initiating a fourth rulemaking effort to identify unnecessary or duplicative provisions or paperwork requirements that are focused primarily on its construction standards in 29 CFR 1926.

Priority: Other Significant  
Agenda Stage of Rulemaking: Proposed Rule
Major: No  
Unfunded Mandates: No

CFR Citation: 29 CFR 1926 (To search for a specific CFR, visit the Code of Federal Regulations)
Legal Authority: 29 USC 655(b)
Legal Deadline: None

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Regulatory Flexibility Analysis Required: No  
Government Levels Affected: Undetermined

Small Entities Affected: No  
Federalism: No

Energy Affected: No

Agency Contact: Jim Maddux
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Title: Amendments to the Cranes and Derricks in Construction Standard

Abstract: Occupational Safety and Health Administration (OSHA) is proposing corrections and amendments to the final standard for cranes and derricks published in August 2010. The standard has a large number of provisions designed to improve crane safety and reduce worker injury and fatality. The proposed amendments: correct references to power line voltage for direct current (DC) voltages as well as alternating current (AC) voltages; broadens the exclusion for forklifts carrying loads under the forks from "winch or hook" to with a "winch and boom"; clarifies an exclusion for work activities by articulating cranes; provides four definitions inadvertently omitted in the final standard; replaces "minimum approach distance" with "minimum clearance distance" throughout to remove ambiguity; clarifies the use of demarcated boundaries for work near power lines; corrects an error permitting body belts to be used as a personal fall arrest system rather than a personal fall restraint system; replaces the verb "must" with "may" used in error in several provisions; corrects an error in a caption on standard hand signals; and resolves an issue of "NRTL-approved" safety equipment (e.g., proximity alarms and insulating devices) that is required by the final standard, but is not yet available.

Priority: Other Significant
Agenda Stage of Rulemaking: Proposed Rule
Major: No
Unfunded Mandates: No

CFR Citation: 29 CFR 1926 (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 29 USC 655(b)

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Regulatory Flexibility Analysis Required: No
Government Levels Affected: No
Small Entities Affected: Business
Federalism: No
Energy Affected: No

Agency Contact: Jim Maddux
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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA)

Title: Clarification of Employer's Continuing Obligation to Make and Maintain Accurate Records of Each Recordable Injury and Illness

Abstract: OSHA is proposing to amend its recordkeeping regulations to clarify that the duty to make and maintain accurate records of work-related injuries and illnesses is an ongoing obligation. The duty to make and maintain an accurate record of an injury or illness continues for as long as the employer must keep and make available records for the year in which the injury or illness occurred. The duty does not expire if the employer fails to create the necessary records when first required to do so.

Priority: Substantive, Nonsignificant
Agenda Stage of Rulemaking: Proposed Rule
Major: Undetermined
Unfunded Mandates: No

CFR Citation: 29 CFR 1904.0; 29 CFR 1904.4; 29 CFR 1904.29; 29 CFR 1904.32; 29 CFR 1904.33; 29 CFR 1904.35; 29 CFR 1904.40; ... (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 29 USC 857(c), (g); 29 USC 673(a), (e); 29 USC 651(b)(12)

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Title: Updating OSHA Standards Based on National Consensus Standards Eye and Face Protection

Abstract: Under section 6(a) of the OSH Act, during the first two years of the Act, the Agency was directed to adopt national consensus standards as Occupational Safety and Health Administration (OSHA) standards. Some of these standards were adopted as regulatory text, while others were incorporated by reference. In the more than 40 years since these standards were adopted by OSHA, the organizations responsible for these consensus standards have issued updated versions of these standards. However, in most cases, OSHA has not revised its regulations to reflect later editions of the consensus standards. OSHA standards also continue to incorporate by reference various consensus standards that are now outdated and, in some cases, out of print. The Agency is undertaking a multi-year project to update these standards. A notice describing the project was published in November 2004 (69 FR 68283). The Personal Protective Equipment (PPE) Final Rule, published September 2009, amended the general industry PPE standard and incorporated by reference a number of updated consensus standards governing the design and testing of certain types of PPE. The Final Rule did not update PPE standards for the construction industry; these standards currently refer to outdated consensus rules. In addition, while the Final Rule was undergoing final OMB review, ANSI published a 2010 edition of the Eye and Face Protection (ANSI Z-87.1) consensus standard. OSHA intends to publish a Notice of Proposed Rulemaking to incorporate the 2010 edition of the American National Standard, Z87.1 Eye and Face Protection for general industry, shipyard employment, long shoring, marine terminals, and construction industries.

Priority: Substantive, Nonsignificant

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Title: Arizona State Plan for Occupational Safety and Health

Abstract: Arizona administers an occupational safety and health State Plan approved by Federal OSHA. In May 2012, Arizona passed Arizona Senate Bill (SB) 1441, since codified as Arizona Revised Statute, Title 23, Ch. 2, Art. 13 (A.R.S. 23-492) and later modified with the passage of SB 1307. A.R.S. 23-492 sets forth fall protection requirements for residential construction work in the state. OSHA has reviewed the Arizona statute (both the original and as modified) and determined that it is not at least as effective as Federal OSHA's standard for fall protection in residential construction. As such, OSHA is proposing to reject the Arizona statute and reconsider final approval of the State Plan. OSHA has solicited and received written comments to ensure that all relevant information, views and data are available to the Assistant Secretary. OSHA is in the process of analyzing the record.

Priority: Substantive, Nonsignificant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: 29 CFR 1952 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 667

Legal Deadline: None

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: Federal; State

Federalism: No

Energy Affected: No

Agency Contact: Douglas J. Kalinowski
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Title: Quantitative Fit Testing Protocol: Amendment to the Final Rule on Respiratory Protection

Abstract: In January 1998, OSHA published the final Respiratory Protection standard (29 CFR 1910.134). In the final revised respirator standard, OSHA set up a mechanism for OSHA's acceptance of new fit test protocols under Mandatory Appendix A. Any person may submit to OSHA an application for approval of a new fit test protocol, and if the application meets certain criteria, OSHA will initiate a rulemaking proceeding under 6(b)(7) of the OSH Act to determine whether to list the new protocol as an approved fit test protocol in Appendix A. OSHA has received a submission to consider three new quantitative fit test protocols that reduce the time required to complete the fit test while maintaining acceptable test sensitivity, specificity, and predictive value. Employers, employees, and safety and health professionals use fit testing to select respirators. Currently OSHA relies on fit testing methods specified in Appendix A of the final revised Respiratory Protection standard. When OSHA published the final Respiratory Protection standard in 1998, it allowed for later rulemaking on new fit test protocols. This rulemaking action will allow for the incorporation of new fit test protocols into 1910.134.

Priority: Substantive, Nonsignificant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: 29 CFR 1910.134 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 655(b); 29 USC 657

Legal Deadline: None

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: Undetermined

Small Entities Affected: Business

Federalism: No
Energy Affected: No

Agency Contact: William Perry
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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA)

Title: Crane Operator Qualification in Construction

Abstract: The rulemaking will identify criteria for employers to follow to ensure their crane operators are completely qualified to operate cranes safely on construction work sites. In the 2010 final cranes standard, the Agency established crane operator certifications as the sole criterion for operator safety. Certification is virtually always provided by third party testing entities. Following publication of the final crane standard, stakeholders informed the Agency that certification did not by itself establish a safe enough level of experience and competence—employers must be responsible to ensure that crane operators are qualified. The Agency responded by publishing a final rule postponing the deadline for operator certification and extending the employer duty to permit the Agency to conduct rulemaking, if necessary, on operatory qualification. This rulemaking will also clarify issues surrounding operatory certification, including the “type and capacity” requirement from the 2010 final construction cranes standard. Establishing clear benchmarks for employers to follow to ensure crane operator competence is essential for construction work site safety.

Priority: Other Significant

Agenda Stage of Rulemaking: Proposed Rule

Unfunded Mandates: No

CFR Citation: 29 CFR 1926 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 29 USC 655(b)

Legal Deadline: None

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Regulatory Flexibility Analysis Required: No

Government Levels Affected: No

Energy Affected: No

Agency Contact: Jim Maddux
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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA)

Title: Confined Spaces in Construction

Abstract: In 1993, OSHA issued a rule to protect employees who enter confined spaces while engaged in general industry work (29 CFR 1910.146). This standard has not been extended to cover employees entering confined spaces while engaged in construction work because of unique characteristics of construction work sites. Pursuant to discussions with the United Steel Workers of America that led to a settlement agreement regarding the general industry standard, OSHA agreed to issue a proposed rule to protect construction workers in confined spaces.
Title: Walking Working Surfaces and Personal Fall Protection Systems (Slips, Trips, and Fall Prevention)

Abstract: In 1990, OSHA published a proposed rule (55 FR 13360) addressing slip, trip, and fall hazards and establishing requirements for personal fall protection systems. Slips, trips, and falls are among the leading causes of work-related injuries and fatalities. Since that time, new technologies and procedures have become available to protect employees from these hazards. The Agency has been working to update these rules to reflect current technology. As a result of issues raised in comments to the 1990 NPRM, OSHA published a notice to reopen the rulemaking for comment on May 2, 2003. Based on comments received on the 2003 notice, OSHA determined that the rule proposed in 1990 was out-of-date and did not reflect current industry practice or technology. The Agency published a second proposed rule on May 24, 2010, which reflected current information and increased consistency with other OSHA standards. Hearings were held on January 18 through 21, 2011.

Priority: Economically Significant

Major: Yes

Unfunded Mandates: No

CFR Citation: 29 CFR 1910, subparts D and I (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 655(b)

Legal Deadline: None

Regulatory Flexibility Analysis Required: Business

Government Levels Affected: Undetermined

Federalism: No

Energy Affected: No

Agency Contact: Jim Maddux
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Title: Procedures for Handling Employee Retaliation Complaints Under the National Transit Systems Security Act; Surface Transportation Assistance Act; and Federal Railroad Safety Act

Abstract: OSHA is publishing final procedures for the handling and investigation of retaliation complaints pursuant to section 1521 of the Implementing Recommendations of the 9/11 Commission Act of 2007. This Act amended the Federal Railroad Safety Act (FRSA), to give OSHA responsibility for administering the whistleblower protection provision of FRSA, which provides protections from retaliation to employees working for railroad carriers and their contractors and subcontractors who report potential violations or engage in certain activities related to safety and security. OSHA is publishing final procedures for the handling and investigation of retaliation complaints pursuant to section 1413 of the Implementing Recommendations of the 9/11 Commission Act of 2007. Section 1413, known as the National Transit Systems Security Act (NTSSA), includes a whistleblower protection provision that is administered by OSHA that provides protection from retaliation to employees of public transportation agencies and their contractors and subcontractors who report potential violations or engage in certain activities related to safety and security. OSHA amended 29 CFR 1978, the procedures applicable to the handling and investigation of whistleblower complaints under the Surface Transportation Assistance Act (STAA), 49 U.S.C. 31105, to implement statutory changes enacted by Congress under section 1536 of the Implementing Recommendations of the 9/11 Commission Act of 2007, and to provide other procedural updates as needed. The statute provides retaliation protection to employees working for commercial motor carriers who report potential violations or engage in certain activities related to safety and security. The final rule under STAA was published on September 27, 2012. Pursuant to these statutes, the rules set forth the procedures for handling and investigating retaliation complaints, including a statutory "kick-out" provision allowing the complainant to file the complaint in district court if the Secretary of Labor has not issued a final decision within 210 days of the filing of the complaint. Immediate implementation of these regulations is necessitated to govern whistleblower investigations conducted under the new and revised statutes.

Priority: Other Significant

Agenda Stage of Rulemaking: Final Rule

Unfunded Mandates: No


Regulatory Flexibility Analysis Required: No

Government Levels Affected: Local; State

Small Entities Affected: No

Federalism: No

Energy Affected: No
Title: Improve Tracking of Workplace Injuries and Illnesses

Abstract: Occupational Safety and Health Administration (OSHA) is making changes to its reporting system for occupational injuries and illnesses. An updated and modernized reporting system would enable a more efficient and timely collection of data, and would improve the accuracy and availability of the relevant records and statistics. This rulemaking involves modification to 29 CFR part 1904.41 to expand OSHA's legal authority to collect and make available injury and illness information required under part 1904.

Priority: Other Significant

Agenda Stage of Rulemaking: Final Rule

Major: No

Unfunded Mandates: No

CFR Citation: 29 CFR 1904 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 29 USC 657

Legal Deadline: None

Regulatory Plan:

Statement of Need: The collection of establishment specific injury and illness data in electronic format on a timely basis is needed to help OSHA, employers, employees, researchers, and the public more effectively prevent workplace injuries and illnesses, as well as support President Obama's Open Government Initiative to increase the ability of the public to easily find, download, and use the resulting dataset generated and held by the Federal Government.

Legal Basis: The Occupational Safety and Health Act of 1970 authorizes the Secretary of Labor to develop and maintain an effective program of collection, compilation, and analysis of occupational safety and health statistics (29 U.S.C. 673).

Alternatives: The alternative to the proposed rulemaking would be to take no regulatory action.

Costs and Benefits: The estimates of the costs and benefits are still under development.

Risks: Analysis of risks is still under development.

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Regulatory Flexibility Analysis Required: No

Government Levels Affected: No

Federalism: No

Agency Contact: Francis Yebesi

Acting Director, Directorate of Evaluation and Analysis

Department of Labor

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Department of Labor (DOL)

Occupational Safety and Health Administration (OSHA)

RIN: 1218-AC53
Title: Procedures for the Handling of Retaliation Complaints Under Section 806 of the Corporate and Criminal Fraud Accountability Act of 2002, as Amended

Abstract: OSHA is amending 29 CFR 1980, the procedures for handling whistleblower complaints under the Corporate and Criminal Fraud Accountability Act, title VIII of the Sarbanes-Oxley Act, 18 U.S.C. 1514A (SOX), to implement statutory changes enacted by Congress under sections 922 and 929A of the Dodd-Frank Wall Street Reform and Consumer Protection Act (DFA) of 2010, and to provide other procedural updates as needed. SOX provides protection for employees who report alleged violations of the Federal mail, wire, bank, or securities fraud statutes, or the Securities Exchange Act, or any other Federal law relating to fraud against shareholders. Under the DFA, the amendments to SOX extend the statutory filing period from 90 to 180 days, provide parties with a right to a jury trial, extend coverage to nationally recognized statistical rating organizations, and clarify coverage of corporate subsidiaries. Promulgation of these changes to the regulation is necessary to govern whistleblower investigations conducted under SOX.

Priority: Other Significant

Agenda Stage of Rulemaking: Final Rule

Major: No

Unfunded Mandates: No

CFR Citation: 29 CFR 1980 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 18 USC 1514A; PL 111-203, secs 922 and 929A, the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010

Legal Deadline: None

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Regulatory Flexibility Analysis Required: No

Government Levels Affected: No

Small Entities Affected: No

Federalism: No

Energy Affected: No

Agency Contact: Anthony Rosa
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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA)

RIN: 1218-AC58

Title: Procedures for the Handling of Retaliation Complaints Under the Consumer Financial Protection Act; the Seaman's Protection Act; and the FDA Food Safety Modernization Act

Abstract: OSHA is promulgating procedures for the handling and investigation of retaliation complaints pursuant to whistleblower protection provisions of three statutes: (1) the Consumer Financial Protection Act (CFPA), section 1057 of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 (DFA); (2) the Seaman's Protection Act, 46 U.S.C. section 2114 (SPA); and (3) Section 402 of the FDA Food Safety Modernization Act (FSMA). Promulgation of these regulations is necessary to govern whistleblower investigations conducted under the new statutes. CFPA, section 1057 of the DFA, provides protection from retaliation to employees in the consumer financial product and service industries who report alleged violations of title X of the DFA or any other provision of law that is subject to the jurisdiction of the Bureau of Consumer Financial Protection, an independent bureau within the Federal Reserve System. Pursuant to the statute, the procedures will include remedies and legal burdens of proof provisions, and a "kick-out" provision allowing the complainant to file a complaint in district court within 90 days after receiving a written determination from OSHA, or if the Secretary has not issued a final determination within 210 days after the filing of the complaint. SPA, as amended by section 611 of the Coast Guard Authorization Act of 2010, transfers to OSHA the administration of the whistleblower protections previously enforced solely via a private right of action. It provides protection from retaliation to seamen who engage in protected activities under SPA. Pursuant to the statute, the procedures will follow those enacted under the Surface Transportation Assistance Act, 49 U.S.C. 31105, including procedures, requirements, and rights. The SPA interim final rule was published February 6, 2013 (78 FR 8390). Section 402 of FSMA provides protection from retaliation to employees of entities engaged in manufacturing, processing, packing, transporting, distribution, reception, holding, or importation of food who engage in protected activities under FSMA. Pursuant to the statute, the procedures will include remedies and legal burdens of proof provisions, and a "kick-out" provision allowing the complainant to file a complaint in District Court within 90 days after receiving a written determination from OSHA, or if the Secretary has not issued a final determination within 210 days after the filing of the complaint. The FSMA interim final
rule was published February 13, 2014 (79 FR 8619).

**Priority:** Other Significant  
**Agenda Stage of Rulemaking:** Final Rule  
**Major:** No  
**Unfunded Mandates:** No  

**Legal Authority:** PL 111-203, sec 1057, the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010; PL 111-281, sec 611 of the Coast Guard Authorization Act of 2010, amending the Seaman's Protection Act, 46 USC 2114; 21 USC 399d, PL 111-353, sec 402 of the FDA Food Safety Modernization Act

**Legal Deadline:** None

**Timetable:**

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**Regulatory Flexibility Analysis Required:** No  
**Government Levels Affected:** No  
**Small Entities Affected:** No  
**Federalism:** No

**Energy Affected:** No

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**Department of Labor (DOL)**  
**Occupational Safety and Health Administration (OSHA)**  
**RIN:** 1218-AC76  
[View Related Documents](https://www.regulations.gov)

**Title:** Approved State Plans for Occupational Safety and Health

**Abstract:** Occupational Safety and Health Administration (OSHA) will propose a revision to each State's subpart under 29 CFR 1952 and 29 CFR 1956 to scale back the detailed descriptions of OSHA-approved State plans, including the jurisdictional explanation, purely historical data, and other unnecessary information that may be subject to change. The revised 29 CFR 1952 will retain a brief description of each State plan and a more detailed explanation will be provided on OSHA's website. The general provisions of 29 CFR 1952 will be moved into 29 CFR 1902. Accordingly, outdated references to 29 CFR 1952 will be cleaned up throughout various OSHA regulations. The purpose of the revision to 29 CFR 1952 is to eliminate the requirement to engage in the arduous rulemaking process in order to make changes to a State plan's coverage or other descriptive language. OSHA will publish an Interim Final Rule (IFR) which will be effective immediately, but OSHA will accept public comments for 60 days and publish a finale rule after review of the comments.

**Priority:** Substantive, Nonsignificant  
**Agenda Stage of Rulemaking:** Final Rule  
**Major:** No  
**Unfunded Mandates:** Undetermined  
**CFR Citation:** 29 CFR 1902 to 1904; 29 CFR 1952 to 1954; 29 CFR 1956 (To search for a specific CFR, visit the [Code of Federal Regulations](https://www.federalregister.gov/code-federal-regulations).)

**Legal Authority:** 29 USC 667

**Legal Deadline:** None

**Timetable:**

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Title: Procedures for the Handling of Retaliation Complaints Under Section 1558 of the Affordable Care Act of 2010

Abstract: OSHA is promulgating procedures for the handling and investigation of retaliation complaints pursuant to section 1558 of the Patient Protection and Affordable Care Act of 2010 (the Affordable Care Act or ACA). This section established a new whistleblower protection statute to be administered by OSHA that provides protection from retaliation to employees in the health care industry who engage in protected activities under the ACA. Pursuant to the statute, the procedures follow those enacted under the Consumer Product Safety Improvement Act, 15 U.S.C. 2087(b), including remedies and legal burdens of proof provisions. Promulgation of a regulation is necessary to govern whistleblower investigations conducted under the new statute. The ACA interim final rule was published February 27, 2013.

Priority: Other Significant

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Regulatory Flexibility Analysis Required: No
Small Entities Affected: No
Energy Affected: No

Related RINs: Duplicate of 1218-AC55; Split From 1218-AC58

Title: Procedures for the Handling of Retaliation Complaints Under the Employee Protection Provision of the Moving Ahead for Progress in the 21st Century Act

Abstract: Occupational Safety and Health Administration (OSHA) is promulgating procedures for the handling and investigation of retaliation complaints pursuant to section 31307 of the Moving Ahead for Progress in the 21st Century Act (MAP-21). This section protects employees from retaliation by motor vehicle manufacturers, part suppliers, and dealerships for providing...
information to the employer of the U.S. Department of Transportation about motor vehicle defects, noncompliance, or violations of the notification or reporting requirements enforced by the National Highway Traffic Safety Administration or for engaging in related protected activities as set forth in the provision. Pursuant to this statute, the rules set forth the procedures for handling and investigating retaliation complaints, including a statutory 'kick-out' provision allowing the complainant to file the complaint in District Court if the Secretary of Labor has not issued a final decision within 210 days of the filing of the complaint. Promulgation of a regulation is necessary to govern whistleblower investigations conducted under this new statute.

Priority: Other
Significant
Agenda Stage of Rulemaking: Final Rule
Major: No
Unfunded Mandates: No
CFR Citation: 29 CFR 1988 (To search for a specific CFR, visit the Code of Federal Regulations)
Legal Authority: 49 USC 30170 (PL 112-141)
Legal Deadline: None

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Regulatory Flexibility Analysis Required: No
Government Levels Affected: No
Small Entities Affected: No
Federalism: No

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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA)

Title: Rules of Agency Practice and Procedure Concerning OSHA Access to Employee Medical Records
Abstract: OSHA's regulation at 29 CFR 1913.10 includes internal procedures to be followed by OSHA personnel when obtaining and using personally-identifiable employee medical information. After careful review, OSHA has identified several provisions in need of revision. The Agency plans to amend the regulation to improve its efficiency in implementing these internal procedures.

Priority: Info./Admin./Other
Significant
Agenda Stage of Rulemaking: Final Rule
Major: Undetermined
Unfunded Mandates: Undetermined
CFR Citation: 29 CFR 1913.10 (To search for a specific CFR, visit the Code of Federal Regulations)
Legal Authority: 29 USC 657, sec 8, Occupational Safety and Health Act of 1970
Legal Deadline: None

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Regulatory Flexibility Analysis Required: No
Government Levels Affected: No
Small Entities Affected: No
Federalism: No

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Department of Labor (DOL)
Title: Combustible Dust

Abstract: Occupational Safety and Health Administration (OSHA) has commenced rulemaking to develop a combustible dust standard for general industry. The U.S. Chemical Safety Board (CSB) completed a study of combustible dust hazards in late 2006, which identified 281 combustible dust incidents between 1980 and 2005 that killed 119 workers and injured another 718. Based on these findings, the CSB recommended the Agency pursue a rulemaking on this issue. OSHA has previously addressed aspects of this risk. For example, on July 31, 2005, OSHA published the Safety and Health Information Bulletin, "Combustible Dust in Industry: Preventing and Mitigating the Effects of Fire and Explosions." Additionally, OSHA implemented a Combustible Dust National Emphasis Program (NEP) on March 11, 2008, launched a new webpage, and issued several other guidance documents. However, the Agency does not have a comprehensive standard that addresses combustible dust hazards. OSHA will use the information gathered from the NEP to assist in the development of this rule. OSHA published an ANPRM October 21, 2009. Additionally, stakeholder meetings were held in Washington, DC, on December 14, 2009, in Atlanta, GA, on February 17, 2010, and in Chicago, IL, on April 21, 2010. A webchat for combustible dust was also held on June 28, 2010, and an expert forum was convened on May 13, 2011.

Priority: Economically Significant

Agenda Stage of Rulemaking: Long-term Action

Major: Yes

Unfunded Mandates: No

CFR Citation: 29 CFR 1910, subpart H (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 655(b); 29 USC 657

Legal Deadline: None

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Regulatory Flexibility Analysis Required: Business

Government Levels Affected: Undetermined

Federalism: No

Energy Affected: No

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Department of Labor (DOL)
Occupational Safety and Health Administration (OSHA) RIN: 1218-AC45

Title: Occupational Injury and Illness Recording and Reporting Requirements--Musculoskeletal Disorders (MSD) Column

Abstract: The Occupational Safety and Health Administration (OSHA) proposed to restore a column to the OSHA 300 Log that employers must check if a case they are already required to record under OSHA's existing recordkeeping rule (29 CFR 1904) is a "musculoskeletal disorder" (MSD). This rulemaking does not change the existing requirements about when and under what circumstances employers must record work-related injuries and illnesses. The Agency believes that having aggregate data on MSDs may help employers and workers track these injuries at individual workplaces. MSD information will also improve the utility, accuracy, and completeness of the national occupational injury and illness statistics, and may assist the Agency in its day-to-day activities and overall safety and health policy making. This rulemaking was temporarily withdrawn from OMB on January 26, 2011, so that the Agency could gather more information from stakeholders in the small business community.

Priority: Other Significant

Agenda Stage of Rulemaking: Long-term Action

Major: No

Unfunded Mandates: No

CFR Citation: 29 CFR 1904 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 5 USC 533; 29 USC 657 and 658; 29 USC 660; 29 USC 666; 29 USC 669
Legal Deadline: None

Title: Injury and Illness Prevention Program

Abstract: OSHA is developing a rule requiring employers to implement an Injury and Illness Prevention Program. It involves planning, implementing, evaluating, and improving processes and activities that protect employee safety and health. OSHA has substantial data on reductions in injuries and illnesses from employers who have implemented similar effective processes. The Agency currently has voluntary Safety and Health Program Management Guidelines (54 FR 3904 to 3916), published in 1989. An injury and illness prevention program rule would build on these guidelines as well as lessons learned from successful approaches and best practices under OSHA’s Voluntary Protection Program, Safety and Health Achievement Recognition Program, and similar industry and international initiatives such as American National Standards Institute/American Industrial Hygiene Association Z10, and Occupational Health and Safety Assessment Series 18001.

Priority: Economically Significant

Government Levels Affected: State

Federalism: No

Energy Affected: No

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Regulatory Flexibility Analysis Required: No

Small Entities Affected: Business

Government Levels Affected: State

Federalism: No

Energy Affected: No

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Regulatory Flexibility Analysis Required: No

Small Entities Affected: Business

Government Levels Affected: State

Federalism: No

Energy Affected: No

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Phone: 202 693-1950
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Title: Preventing Backover Injuries and Fatalities

Abstract: OSHA published an RFI (77 FR 18973; March 29, 2012) that sought information on two subjects: 1) preventing backover injuries; and 2) the hazards and risks of reinforcing concrete operations in construction, including post-tensioning. Backing vehicles and equipment are common causes of struck-by injuries and can also cause caught-between injuries when backing vehicles and equipment pin a worker against an object. Struck-by injuries and caught-between injuries are two of the four leading causes of workplace fatalities. The Bureau of Labor Statistics reports that in 2011, 75 workers were fatally backed over while working. While many backing incidents can prove to be fatal, workers can suffer severe, non-fatal injuries as well. A review of OSHA's Integrated Management Information System (IMIS) database found that backing incidents can result in serious injury to the back and pelvis, fractured bones, concussions, amputations, and other injuries. Emerging technologies in the field of backing operations may prevent incidents. The technologies include cameras and proximity detection systems. The use of spotters and internal traffic control plans can also make backing operations safer. The Agency has held stakeholder meetings on backovers, and is conducting site visits to employers.

Priority: Economically Significant  
Agenda Stage of Rulemaking: Long-term Action  
Major: Undetermined  
Unfunded Mandates: No  
CFR Citation: Not Yet Determined  
(To search for a specific CFR, visit the Code of Federal Regulations)  
Legal Authority: 29 USC 655(b)  
Legal Deadline: None  

Regulatory Flexibility Analysis Required: Business  
Federalism: No  
Energy Affected: No  

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Title: Update to the Hazard Communication Standard

Abstract: OSHA and other U.S. agencies have been involved in a long-term project to negotiate a globally harmonized approach to defining hazards, and providing labels and safety data sheets for hazardous chemicals. The result is the Globally Harmonized System of Classification and Labeling of Chemicals (GHS). The GHS was adopted by the United Nations, with an international goal of as many countries as possible adopting it by the year 2008. OSHA incorporated the GHS into the Hazard Communication Standard (HCS) in March 2012. The result would be more specific requirements for hazard classification, as well as standardized label components and a standard approach to conveying information on safety data sheets. The adoption has the potential to address some issues regarding accuracy and comprehensibility in the U.S., which will improve employee protection and facilitate international trade. However, the GHS is a living document and has been updated several times since OSHA's rulemaking. OSHA's rulemaking was based on the 3rd edition of the GHS and the UN is currently working on the 6th. So far the changes have had some minimal effect on OSHA's rulemaking but in the upcoming edition there are some additional hazard categories that OSHA should consider picking up (desensitized explosives and pyrophoric gases). In order to maintain alignment with the GHS and other countries who have adopted the GHS, OSHA is considering rulemaking to align with the most recent version of the GHS.
Priority: Economically Significant  
Agenda Stage of Rulemaking: Long-term Action

Major: Undetermined  
Unfunded Mandates: No

CFR Citation: 29 CFR 1910.1200 (To search for a specific CFR, visit the Code of Federal Regulations )

Legal Authority: 29 USC 655(b); 29 USC 657

Legal Deadline: None

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Regulatory Flexibility Analysis Required: Undetermined  
Government Levels Affected: Undetermined

Federalism: Undetermined

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Department of Labor (DOL)  
Occupational Safety and Health Administration ( OSHA )  
RIN: 1218-AC50

Title: Occupational Injury and Illness Recording and Reporting Requirements--NAICS Update and Reporting Revisions

Abstract: This rulemaking involves changes to two aspects of the OSHA recordkeeping and reporting requirements. First, OSHA is updating appendix A to subpart B of part 1904. This appendix contains a list of industries that are partially exempt from the requirements to maintain a log of occupational injuries and illnesses, generally due to their relatively low rates of occupational injury and illness. The current list of industries is based on the Standard Industrial Classification (SIC) system. In 1997, a newer system, the North American Industry Classification System (NAICS), was introduced to classify establishments by industry. The rulemaking would update appendix A by replacing it with a list of industries based on the NAICS, and based on more recent occupational injury and illness rates. Second, this rulemaking would revise the reporting requirements regarding the obligations of employers to report to OSHA the occurrence of fatalities and certain injuries. The existing regulations require employers to report to OSHA within 8 hours any work-related incident resulting in the death of an employee or the inpatient hospitalization of 3 or more employees.

Priority: Other Significant  
Agenda Stage of Rulemaking: Completed Action

Major: No  
Unfunded Mandates: No

CFR Citation: 29 CFR 1904 (To search for a specific CFR, visit the Code of Federal Regulations )

Legal Authority: 29 USC 657

Legal Deadline: None

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Regulatory Flexibility Analysis Required: No  
Government Levels Affected: No

Federalism: No

Agency Contact: Francis Yebesi  
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Title: Cranes and Derricks in Construction: Operator Certification

Abstract: On August 9, 2010, Occupational Safety and Health Administration (OSHA) issued a final standard establishing requirements for cranes and derricks used in construction work. The standard requires employers to ensure that crane operators on construction sites are certified by November 10, 2014; until that date, employers must ensure that operators are competent to safely operate a crane. After the standard was issued, a number of parties informed OSHA of serious problems and limitations associated with the crane operator certification that it would not guarantee sufficient safety on construction sites. OSHA has decided to address these problems through (separate) possible rulemaking on operator qualification. To ensure safe operation of cranes beyond November 2014, the Agency is extending the existing employer responsibility to ensure crane operator competency by 3 years to November 2017. The enforcement date for operators to be certified will also extended by 3 years in the proposal.

Priority: Other Significant

Agency Stage of Rulemaking: Completed Action

Major: No

Unfunded Mandates: No

CFR Citation: 29 CFR 1926.1427; 29 CFR 1911 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 29 USC 653; 29 USC 655; 29 USC 657; 40 USC 3701 et seq; 5 USC 553; Secretary of Labor's Order No. 1-2012 (77 FR 3912, Jan 25, 2012); ...

Legal Deadline: None

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Regulatory Flexibility Analysis Required: No

Government Levels Affected: No

Federalism: No

Energy Affected: No

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Department of Labor

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---

Title: Refuge Alternatives for Underground Coal Mines

Abstract: On December 31, 2008, Mine Safety and Health Administration (MSHA) issued a final rule establishing requirements for refuge alternatives for underground coal mines. MSHA is requesting data, comments, and information, based on industry experience, on issues relevant to miners’ escape and refuge during an emergency. Continuous development of refuge equipment and technology is crucial to enhancing the effectiveness of escape and refuge.

Priority: Other Significant

Agenda Stage of Rulemaking: PreRule

Major: No

Unfunded Mandates: No

CFR Citation: 30 CFR 7; 30 CFR 75 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 30 USC 957; 30 USC 811
Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: State

Federalism: No

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Department of Labor (DOL)
Mine Safety and Health Administration (MSHA)

RIN: 1219-AB84

Title: Refuge Alternatives for Underground Coal Mines: Limited Reopening of the Record

Abstract: The U.S. Court of Appeals for the District of Columbia Circuit remanded a training provision in the Refuge Alternatives Final Rule, directing Mine Safety and Health Administration (MSHA) to explain the basis for requiring motor task (hands-on), decision-making, and expectations training annually rather than quarterly, or to reopen the record, and allow public comment. MSHA is reopening the rulemaking record for its Refuge Alternatives Final Rule for the limited purpose of obtaining comments on the frequency for motor task (also known as "hands-on" training), decision-making, and expectations training for miners to deploy and use refuge alternatives in underground coal mines. MSHA will review the comments to determine an appropriate course of action for the Agency in response to comments. MSHA will publish its response in the Federal Register addressing the public comments and either explaining the reason that it is leaving the final rule unchanged or modifying the final rule as the result of the public comment process.

Priority: Other Significant

Agenda Stage of Rulemaking: PreRule

Major: Undetermined

Unfunded Mandates: Undetermined

CFR Citation: 30 CFR 75 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 30 USC 957; 30 USC 811

Legal Deadline: None

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: State

Federalism: Undetermined

Energy Affected: No

Related RINs: Related to 1219-AB58

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Department of Labor (DOL)
Mine Safety and Health Administration (MSHA)
RIN: 1219-AB85

Title: Regulatory Actions in Response to Recommendations Resulting From Investigation of the Upper Big Branch Explosion

Abstract: In response to recommendations resulting from Mine Safety and Health Administration’s (MSHA) investigation of the Upper Big Branch (UBB) mine explosion and MSHA’s internal review of its actions at UBB, MSHA is initiating a new regulatory action that would address issues related to the explosion. The request for information will request data, comments, and information on issues related to rock dusting, ventilation, mine examinations, certified persons, and MSHA-approved instructors.

Priority: Other Significant
Major: Undetermined
Agenda Stage of Rulemaking: PreRule
Unfunded Mandates: Undetermined

CFR Citation: 30 CFR 75 (To search for a specific CFR, visit the Code of Federal Regulations)
Legal Authority: 30 USC 811
Legal Deadline: None

Timetable:

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<td>01/00/2015</td>
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Regulatory Flexibility Analysis Required: Undetermined
Government Levels Affected: Undetermined
Federalism: Undetermined
Energy Affected: Undetermined
Agency Contact: Sheila McConnell
Acting Director, Office of Standards, Regulations, and Variances
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Department of Labor (DOL)
Mine Safety and Health Administration (MSHA)
RIN: 1219-AB86

Title: Exposure of Underground Miners to Diesel Exhaust

Abstract: Epidemiological studies have found that diesel exhaust presents health risks to workers. These possible health effects range from headaches and nausea to respiratory disease and cancer. MSHAs’ existing regulations address the health hazards to underground metal and nonmetal miners (66 FR 5706) and coal miners (66 FR 5526) from exposure to diesel particulate matter (DPM). DPM is a component of diesel exhaust. MSHA also has limits for miners’ occupational exposure to selected components of the gaseous fraction of diesel exhaust. In June 2012, the International Agency for Research on Cancer classified diesel exhaust as a known human carcinogen. The National Institute for Occupational Safety and Health and the National Cancer Institute also have stated that diesel exhaust exposure has important public health implications, including increasing the risk of death from lung cancer. Because of the carcinogenic health risk to miners from exposure to diesel exhaust, MSHA is requesting information on approaches that would improve control of DPM and diesel exhaust.

Priority: Other Significant
Major: Undetermined
Agenda Stage of Rulemaking: PreRule
Unfunded Mandates: Undetermined

CFR Citation: 30 CFR 57.5060 to 57.5075; 30 CFR 70.1900; 30 CFR 72.500 to 75.520; 30 CFR 75.1900 to 75.1916 (To search for a specific CFR, visit the Code of Federal Regulations)
Legal Authority: 30 USC 811; 30 USC 813
Legal Deadline: None
Title: Examination of Working Places in Metal and Nonmetal Mines

Abstract: MSHA intends to issue a request for information on the examination of working places in metal and nonmetal mines to determine the adequacy of the Agency's existing standards. Recent fatalities in metal and nonmetal mines raised concerns that persons who examine workplaces do not always identify conditions that may adversely affect safety or health or that operators do not correct such identified conditions in a timely manner. MSHA is seeking information, data, and comment on whether the existing standards should be revised. The Agency would seek information relative to: (1) persons conducting the examination, (2) the quality of the examination, and (3) the recordkeeping provision, among other provisions. MSHA also is considering whether issuing guidance or disseminating best practices regarding the existing standards would effectively accomplish the Agency's goal of providing miners a safe working place.

Priority: Other Significant

Agency Stage of Rulemaking: PreRule

Major: Undetermined

Unfunded Mandates: Undetermined

CFR Citation: 30 CFR 56/57.18002  (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 30 USC 811

Legal Deadline: None
miners developing silicosis, an irreversible but preventable lung disease, which ultimately may be fatal. The formula is designed to limit exposures to 0.1 mg/m³ (100 µg/m³) of silica. The National Institute for Occupational Safety and Health (NIOSH) recommends a 50 µg/m³ exposure limit for respirable crystalline silica. MSHA will publish a proposed rule to address miners' exposure to respirable crystalline silica.

Priority: Other Significant  
Agenda Stage of Rulemaking: Proposed Rule
Major: No  
Unfunded Mandates: No
CFR Citation: 30 CFR 58  (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 30 USC 811
Legal Deadline: None

Regulatory Plan:
Statement of Need: MSHA standards are outdated; current regulations may not protect workers from developing silicosis. Evidence indicates that miners continue to develop silicosis. MSHA's proposed regulatory action exemplifies the Agency's commitment to protecting the most vulnerable populations while assuring broad-based compliance. MSHA will regulate based on sound science to eliminate or reduce the hazards with the broadest and most serious consequences. MSHA intends to use OSHA's work on the health effects and risk assessment, adapting it as necessary for the mining industry.

Legal Basis: Promulgation of this standard is authorized by section 101 of the Federal Mine Safety and Health Act of 1977.

Alternatives: This rulemaking would improve health protection from that afforded by the existing standards. MSHA will consider alternative methods of addressing miners' exposures based on the capabilities of the sampling and analytical methods.

Costs and Benefits: MSHA will prepare estimates of the anticipated costs and benefits associated with the proposed rule.

Risks: For over 70 years, toxicology information and epidemiological studies have shown that exposure to respirable crystalline silica presents potential health risks to miners. These potential adverse health effects include simple silicosis and progressive massive fibrosis (lung scarring). Evidence indicates that exposure to silica may cause cancer. MSHA believes that the health evidence forms a reasonable basis for reducing miners' exposures to respirable crystalline silica.

Timetable:

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<th>Regulatory Flexibility Analysis Required</th>
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Small Entities Affected: Business; Governmental Jurisdictions
Energy Affected: Undetermined

RN Information URL: www.msha.gov REGSinfo.htm
Public Comment URL: www.regulations.gov

Agency Contact: Sheila McConnell  
Acting Director, Office of Standards, Regulations, and Variances  
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Regulatory Plan:

Statement of Need: Section 110(a) of the Federal Mine Safety and Health Act of 1977 (Mine Act) requires MSHA to assess a civil penalty for a violation of a mandatory health or safety standard or violation of any provision of the Mine Act. The mine operator has 30 days from receipt of the proposed assessment to contest it before the Federal Mine Safety and Health Review Commission (Commission), an independent adjudicatory agency established under the Mine Act. A proposed assessment that is not contested within 30 days becomes a final order of the Commission. A proposed assessment that is contested within 30 days proceeds to the Commission for adjudication. The proposed rule would promote consistency, objectivity, and efficiency in the proposed assessment of civil penalties. When issuing citations or orders, inspectors are required to evaluate safety and health conditions, and make decisions about the statutory criteria related to assessing penalties. The proposed changes in the measures of the evaluation criteria would result in fewer areas of disagreement and earlier resolution of enforcement issues. The proposal would require conforming changes to the Mine Citation/Order form (MSHA Form 7000-3).

Legal Basis: Section 104 of the Mine Act requires MSHA to issue citations or orders to mine operators for any violations of a mandatory health or safety standard, rule, order, or regulation promulgated under the Mine Act. Sections 105 and 110 of the Mine Act provide for assessment of these penalties.

Alternatives: The proposal would include several alternatives in the preamble and requests comments on them.

Costs and Benefits: MSHA's proposed rule includes an estimate of the anticipated costs and benefits.

Risks: MSHA's existing procedures for assessing civil penalties can be revised to improve the efficiency of the Agency's efforts and to facilitate the resolution of enforcement issues. In the overwhelming majority of contested cases before the Commission, the issue is not whether a violation occurred. Rather, the parties disagree on the gravity of the violation, the degree of mine operator negligence, and other criterion. The proposed changes should result in fewer areas of disagreement and earlier resolution of enforcement issues, which should result in fewer contests of violations or proposed assessments.

Timetable:

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<td>79 FR 66345</td>
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Regulatory Flexibility Analysis Required: Undetermined

Small Entities Affected: Business

Energy Affected: No

RIN Information URL: www.msha.gov/regsinfo.htm

Agency Contact: Sheila McConnell

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Title: Proximity Detection Systems for Mobile Machines in Underground Mines

Abstract: Mine Safety and Health Administration (MSHA) will develop a proposed rule to address the hazards that miners face when working near mobile equipment in underground mines. MSHA has concluded, from investigations of accidents involving mobile equipment and other reports, that action is needed to protect miner safety. Mobile equipment can pin, crush, or strike a miner working near the equipment. Proximity detection technology can prevent these types of accidents. The proposed rule would strengthen the protection for underground miners by reducing the potential of pinning, crushing, or striking hazards associated with working close to mobile equipment.

Priority: Other Significant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: Not Yet Determined (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 30 USC 811
Regulatory Plan:

Statement of Need: Mining is one of the most hazardous industries in this country. Miners continue to be injured or killed resulting from pinning, crushing, or striking accidents involving mobile equipment. Equipment is available to help prevent accidents that cause debilitating injuries and accidental death.

Legal Basis: Promulgation of this standard is authorized by section 101(a) of the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006.

Alternatives: No reasonable alternatives to this regulation would be as comprehensive or as effective in eliminating hazards and preventing injuries.

Costs and Benefits: MSHA will develop a preliminary regulatory economic analysis to accompany the proposed rule.

Risks: The lack of proximity detection systems on mobile equipment in underground mines contributes to a higher incidence of debilitating injuries and accidental deaths.

Timetable:

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Related RINs: Related to 1219-AB65

Agency Contact: Sheila McConnell
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Mine Safety and Health Administration
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Title: Fees for Testing, Evaluation and Approval of Mining Products

Abstract: Mine Safety and Health Administration’s (MSHA) Approval and Certification Center was established for the purpose of testing and evaluating mine equipment and mine products to assure compliance with the applicable parts of 30 CFR. However, with advances in technology and computerization, the approval process has become significantly more complex, resulting in more agency resources associated with approvals. MSHA will propose changes to these regulations to reflect changes in the cost of testing and evaluating mine equipment.

Priority: Other Significant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: 30 CFR 5 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 30 USC 957

Legal Deadline: None

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: Undetermined

Small Entities Affected: Business

Federalism: No

Energy Affected: Undetermined
Title: Proximity Detection Systems for Continuous Mining Machines in Underground Coal Mines

Abstract: This final rule addresses hazards that miners face when working near continuous mining machines in underground coal mines. Mine Safety and Health Administration (MSHA) has concluded, from investigations of accidents involving continuous mining machines and other reports, that action is necessary to protect miners. Continuous mining machines can pin, crush, or strike a miner working near the equipment. Proximity detection technology can prevent these types of accidents. The final rule would strengthen the protection for underground coal miners by reducing the potential of pinning, crushing, or striking hazards associated with working close to continuous mining machines.

Priority: Other Significant

Agenda Stage of Rulemaking: Final Rule

Unfunded Mandates: No

CFR Citation: 30 CFR 75.1732 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 30 USC 811

Legal Deadline: None

Regulatory Plan:

Statement of Need: Mining is one of the most hazardous industries in this country. Miners continue to be injured or killed resulting from pinning, crushing, or striking accidents involving mobile equipment. Equipment is available to help prevent accidents that cause debilitating injuries and accidental death.

Legal Basis: Promulgation of this standard is authorized by section 101(a) of the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006.

Alternatives: No reasonable alternatives to this regulation would be as comprehensive or as effective in eliminating hazards and preventing injuries.

Costs and Benefits: MSHA will develop a regulatory economic analysis to accompany the final rule.

Risks: The lack of proximity detection systems on continuous mining machines in underground coal mines contributes to a higher incidence of debilitating injuries and accidental deaths.

Timetable:

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<td>08/31/2011</td>
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<td>10/12/2011</td>
<td>76 FR 63238</td>
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Regulatory Flexibility Analysis Required: No

Small Entities Affected: Business

RIN Information URL: www.msha.gov/reginfo.htm

Related RINs: Related to 1219-AB78

Agency Contact: Sheila McConnell

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Government Levels Affected: No

Federalism: No

Public Comment URL: www.regulations.gov
Title: Defining and Delimiting the Exemptions for Executive, Administrative, Professional, Outside Sales, and Computer Employees

Abstract: The Fair Labor Standards Act (FLSA) section 13(a)(1) provides a minimum wage and overtime exemption for any employee employed in a bona fide executive, administrative, professional capacity, or in the capacity of an outside salesperson. President Barack Obama issued a memorandum to the Secretary of Labor on March 13, 2014, directing the Secretary to modernize and streamline the existing overtime regulations for executive, administrative, and professional employees. The Department of Labor last updated these regulations in 2004.

Priority: Economically Significant
Major: Yes
Unfunded Mandates: Undetermined

CFR Citation: 29 CFR 541 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 213(a)(1) (Fair Labor Standards Act)

Agenda Stage of Rulemaking: Proposed Rule

Timetable:

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Regulatory Flexibility Analysis Required: Business; Governmental Jurisdictions; Organizations

Government Levels Affected: Federal; Local; State; Tribal

Federalism: No

Energy Affected: No

Agency Contact: Mary Ziegler
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---

Title: Family and Medical Leave Act of 1993, as Amended

Abstract: The Family Medical Leave Act (FMLA) entitles eligible employees of covered employers to take unpaid, job-protected leave for specified family and medical reasons with continuation of group health insurance coverage under the same terms and conditions as if the employee had taken leave. Eligible employees may take FMLA leave, among other reasons, to care for the employee's spouse who has a serious health condition. The Department proposes to revise the definition of “spouse” in light of the United States Supreme Court's decision in United States v. Windsor.

Priority: Other Significant
Major: No
Unfunded Mandates: No

CFR Citation: 29 CFR 825 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 29 USC 2654

Legal Deadline: None

Agenda Stage of Rulemaking: Final Rule

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<td>03/00/2015</td>
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Title: Establishing a Minimum Wage for Contractors, Executive Order 13658
Abstract: Executive Order 13658 increases the minimum wage that must be paid to workers working on certain new Federal contracts to $10.10 per hour and indexes the wage rate to inflation thereafter. Consistent with the Executive Order, the Department of Labor will issue implementing regulations.

Priority: Economically Significant

Agenda Stage of Rulemaking: Final Rule

Major: Yes

Unfunded Mandates: Private Sector

CFR Citation: Not Yet Determined (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: EO 13658

Legal Deadline: None

Timetable:

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<td>12/08/2014</td>
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Title: Right to Know Under the Fair Labor Standards Act
Abstract: The Department of Labor proposes to update the recordkeeping regulations under the Fair Labor Standards Act in order to enhance the transparency and disclosure to workers of their status as the employer's employee or some other status, such as an independent contractor, and if an employee, how their pay is computed. The Department also proposes to clarify that the mandatory manual preparation of "homeworker" handbooks applies only to employers of employees performing homework in the restricted industries. The title of this proposed rule has changed to better reflect the purpose of this action.

Priority: Other Significant

Agenda Stage of Rulemaking: Long-term Action

Major: Undetermined

Unfunded Mandates: No

CFR Citation: 29 CFR 516 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 29 USC 211(c)
Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: Undetermined  Government Levels Affected: Local; State; Tribal
Federalism: Undetermined
Energy Affected: No

Related RINs: Previously Reported as 1215-AB78

Agency Contact: Mary Ziegler
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Department of Labor (DOL)
Wage and Hour Division (WHD)

Title: Fair Labor Standards Act, Child Labor Hazardous Occupations Order, No. 7

Abstract: The child labor provisions of the Fair Labor Standards Act (FLSA) were enacted to ensure that when children work, the work is safe and does not jeopardize their health, well-being, or education. To protect children from hazardous employment, the FLSA provides for a minimum age of 18 years in occupations found and declared by the Secretary of Labor to be particularly hazardous or detrimental to the health or well-being of children 16 and 17 years of age. Hazardous Occupations Orders (HOs) are the means by which the Secretary declares certain occupations to be particularly hazardous for children. Child Labor Hazardous Occupations Order No. 7 (occupations involved in the operation of power-driven hoisting apparatus) (HO7) has for many years prohibited children under 18 years of age from operating or assisting in the operation of several types of hoisting apparatus. The Department seeks information to ensure that its current nonenforcement position regarding the application of HO7 to the operation of patient/resident lifts provides adequate protections to working youth while not unduly denying them job opportunities they can safely perform.

Priority: Substantive, Nonsignificant  Agenda Stage of Rulemaking: Long-term Action
Major: Undetermined  Unfunded Mandates: No
CFR Citation: 29 CFR 570  (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 29 USC 201 et seq
Legal Deadline: None

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Regulatory Flexibility Analysis Required: No  Government Levels Affected: No
Small Entities Affected: No  Federalism: No
Energy Affected: No

Agency Contact: Mary Ziegler
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Department of Labor (DOL)
Office of Workers Compensation (OWCP)

RIN: 1240-AA08

View Related Documents
Title: Claims for Compensation Under the Energy Employees Occupational Illness Compensation Program Act of 2000, as Amended

Abstract: The regulations govern how the Office of Workers' Compensation Programs (OWCP) administers the Energy Employees Occupational Illness Compensation Program Act of 2000, as amended (EEOICPA), 42 U.S.C. 7384 et seq. Since July 31, 2001, OWCP has administered the provisions of Part B of EEOICPA that were not assigned to the Secretary of Health and Human Services, to the Secretary of Energy, or to the Attorney General by E.O. 13179. Part B of EEOICPA provides for the payment of lump-sum compensation and medical benefits to Department of Energy (DOE) employees and certain of its contractors and subcontractors (or their survivors) who sustained an occupational illness due to exposure to radiation, beryllium or silica. Part B also provides for medical benefits and a supplemental lump-sum payment to awardees under section 5 of the Radiation Exposure Compensation Act (RECA), 42 U.S.C. 2210 (note). Since October 28, 2004, OWCP has also administered Part E of EEOICPA, which provides for the payment of additional monetary compensation (based on permanent impairment and/or wage loss) and medical benefits for DOE contractor employees (or their survivors) and uranium miners, millers and ore transporters covered by section 5 of the RECA (or their survivors) who sustained a covered illness due to exposure to a toxic substance while working at a DOE facility, or a uranium mine or mill covered under section 5 of RECA.

Priority: Substantive, Nonsignificant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: 20 CFR 30 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 42 USC 7384d(a); 42 USC 7385s-10(e); EO 13179

Legal Deadline: None

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Regulatory Flexibility Analysis Required: No

Government Levels Affected: No

Federalism: No

Energy Affected: No

Agency Contact: Rachel P. Leiton
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Department of Labor (DOL)
Office of Workers Compensation (OWCP)
RIN: 1240-AA09

Title: Longshore and Harbor Workers' Compensation Act: Transmission of Documents and Information

Abstract: The current regulations implementing the Longshore and Harbor Workers' Compensation Act and its extensions unnecessarily restrict the methods by which injured workers, their survivors, employers, insurance carriers, and the Office of Workers' Compensation Programs may communicate. They also do not address electronic communication methods (e.g., facsimile, e-mail, etc.). Establishing parameters for electronic communications has become increasingly important as more private individuals and businesses have adopted electronic means as their preferred method of communication. The proposed rule will add electronic communication options that are consistent with the statute and compatible with the Department's electronic infrastructure, and broaden the acceptable methods of non-electronic communications.

Priority: Substantive, Nonsignificant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: 20 CFR 702 to 704 (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: 33 USC 939

Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: Undetermined

Federalism: No
Title: Black Lung Benefits Act: Medical Evidence and Benefit Payments

Abstract: OWCP is engaging in this rulemaking to address a variety of programmatic issues that have arisen in administering the Black Lung Benefits Act. Among them, the current rules do not address whether the parties must disclose medical evidence they develop in connection with a claim for benefits and generally provide that payment for medical treatment and services is capped at the rate prevailing in the community where the service provider is located but provide no method for determining that rate. To ensure that coal miners have full access to information about their health and to enhance the accuracy of entitlement determinations, this rule would address disclosure of medical evidence. It would also amend rules governing payment of medical treatment and services to incorporate the fee schedule used in other OWCP-administered programs, and address a liable party's responsibility to pay benefits under an effective award while pursuing modification.

Priority: Substantive, Nonsignificant

Agenda Stage of Rulemaking: Proposed Rule

Unfunded Mandates: No

CFR Citation: 20 CFR 725 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 30 USC 923(b); 30 USC 932(a); 30 USC 936

Legal Deadline: None

Regulatory Flexibility Analysis Required: Undetermined

Federalism: No

Energy Affected: No

Agency Contact: Michael Chance
Director, Division of Coal Mine Workers' Compensation
Department of Labor
Office of Workers Compensation
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Washington, DC 20210
Phone: 202 343-5904
FAX: 202 693-1380
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Title: Longshore and Harbor Workers' Compensation Act: Maximum Compensation Rate Determinations

Abstract: Under the Longshore and Harbor Workers' Compensation Act and its extensions, disabled workers are paid compensation based on their average weekly wage at the time of their disabling injury. Section 6 of the Act, 33 U.S.C. 906 caps this compensation at a maximum of twice the "applicable" fiscal year's national average weekly wage. The Secretary of Labor determines the national average wage for each fiscal year, and that determination applies to employees or survivors "currently receiving" permanent disability compensation or death benefits as well as those "newly awarded" compensation. Litigation over which year's national average wage applies in various situations led to a recent Supreme Court decision construing the "newly awarded" phrase. The proposed rule will implement the Supreme Court's decision and clarify how the maximum compensation rate provision applies, including the "currently receiving" phrase and other portions the Court did not address.
**Title:** Persuader Agreements: Consultant Form LM-21 Receipts and Disbursements Report

**Abstract:** The Department of Labor intends to publish a notice and comment rulemaking seeking consideration of the Form LM-21, Receipts and Disbursements Report, which is required pursuant to section 203(b) of the Labor-Management Reporting and Disclosure Act (LMRDA). The rulemaking will propose mandatory electronic filing for Form LM-21 filers, and it will review the layout of the Form LM-21 and its instructions, including the detail required to be reported.

---

**Title:** Mandatory Electronic Filing for Filers of the Form LM-3 and LM-4 Labor Organization Annual Reports
Abstract: Labor organizations covered by the Labor-Management Reporting and Disclosure Act (LMRDA), and similar statutes, must file annual financial disclosure reports with the Department's Office of Labor-Management Standards (OLMS). Currently, the largest labor organizations, those with $250,000 or more in total annual receipts, file the Form LM-2, which requires filers to submit the report electronically. This proposed rule would require smaller labor organizations having fewer receipts, which file either the Form LM-3 and LM-4 Labor Organization Annual Reports, to also submit their reports electronically. Filers would use the OLMS Electronic Forms System (EFS), which is a web-based system that is free of charge. As with the Form LM-2 filers, Form LM-3 and LM-4 filers would be able to apply to OLMS for a temporary or permanent hardship exemption.

Priority: Other Significant
Major: No
Unfunded Mandates: No

CFR Citation: 29 CFR 403 (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 29 USC 431; 29 USC 438
Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: No
Government Levels Affected: No

Federalism: No
Energy Affected: No

Agency Contact: Andrew R. Davis
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Title: Persuader Agreements: Employer and Labor Relations Consultant Reporting Under the LMRDA

Abstract: The Department of Labor intends to publish a final rule revising its interpretation of section 203(c) of the Labor-Management Reporting and Disclosure Act (LMRDA). That statutory provision creates an "advice" exemption from reporting requirements that apply to employers and other persons in connection with persuading employees about the right to organize and bargain collectively. The revised interpretation would narrow the scope of the advice exemption.

Priority: Other Significant
Major: No
Unfunded Mandates: No

CFR Citation: 29 CFR 405; 29 CFR 406 (To search for a specific CFR, visit the Code of Federal Regulations.)
Legal Authority: 29 USC 433; 29 USC 438
Legal Deadline: None

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Regulatory Flexibility Analysis Required: No
Government Levels Affected: No

Federalism: No
Energy Affected: No

RIN Information URL: www.olms.dol.gov
Public Comment URL: www.regulations.gov
Related RINs: Previously Reported as 1215-AB79
Agency Contact: Andrew R. Davis
Chief, Division of Interpretations and Standards, Office of Labor-Management Standards
Department of Labor
Office of Federal Contract Compliance Programs ( OFCCP )

RIN: 1250-AA01

Title: Construction Contractors’ Affirmative Action Requirements

Abstract: The regulations implementing the affirmative action obligations of construction contractors under Executive Order 11246, as amended, were last revised in 1980. Recent data show that disparities in the representation of women and racial minorities continue to exist in on-site construction occupations in the construction industry. This Notice of Proposed Rulemaking (NPRM) would revise 41 CFR part 60-1 and 60-4 by removing outdated regulatory provisions, proposing a new method for establishing affirmative action goals, and proposing other revisions to the affirmative action requirements that reflect the realities of the labor market and employment practices in the construction industry today.

Priority: Other Significant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: 41 CFR 60-1; 41 CFR 60-4 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: sec 201, 202, 205, 211, 301, 302, and 303 of EO 11246, as amended; 30 FR 12319; 32 FR 14303, as amended by EO 12086

Legal Deadline: None

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Regulatory Flexibility Analysis Required: Undetermined

Government Levels Affected: No

Federalism: Undetermined

Related RINs: Previously Reported as 1215-AB81

Agency Contact: Debra A. Carr
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Department of Labor (DOL)
Office of Federal Contract Compliance Programs ( OFCCP )

RIN: 1250-AA03

Title: Requirement to Report Summary Data on Employee Compensation (Compensation Data Collection)

Abstract: The Office of Federal Contract Compliance Programs (OFCCP) is responsible for enforcing Executive Order 11246, as amended, (EO 11246) which prohibits Federal Government contractors and subcontractors from discriminating against individuals in employment based on race, color, sex, religion or national origin. The EO 11246 also requires these employers to take affirmative action to provide equal employment opportunity. On April 8, 2014, President Barack Obama issued a memorandum to the Secretary of Labor, directing him to propose, within 120 days of the date of the memorandum, a rule that would require Federal contractors and subcontractors to submit to the Department of Labor summary data on the compensation paid to their employees, including data by sex and race. While working women have made extraordinary progress over the past five decades since enactment of the Equal Pay Act of 1963, they still earn only 77 cents for every dollar that a man earns. For African-American women and Latinas, the pay gap is even greater. This pay differential shortchanges women and their families by thousands of dollars a year, and potentially hundreds of thousands of dollars over a lifetime. Federal law, including the Equal Pay Act of 1963, Title VII of the Civil Rights Act of 1964, and Executive Order 11246 of September 24, 1965 (Equal Employment Opportunity), specifically prohibits compensating men and women differently for the same work. OFCCP regulations at 41 CFR

62
Part 60-1 implementing Executive Order 11246, as amended, sets forth obligations of covered contractors and subcontractors.

**Priority:** Other Significant  
**Agenda Stage of Rulemaking:** Proposed Rule  
**Major:** No  
**Unfunded Mandates:** No

**CFR Citation:** 41 CFR 60-1  
(To search for a specific CFR, visit the Code of Federal Regulations.)

**Legal Authority:** Presidential Memorandum, Advancing Pay Equality Through Compensation Data Collection (issued April 8, 2014); Executive Order 11256, September 24, 1965, 30 FR 12319, as amended

**Legal Deadline:** None

**Timetable:**

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**Regulatory Flexibility Analysis Required:** Undetermined  
**Government Levels Affected:** No

**Federalism:** No  
**Energy Affected:** No

**Related RINs:** Previously Reported as 1215-AB80

**Agency Contact:** Debra A. Carr  
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E-Mail: ofccp-public@dol.gov

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**Department of Labor (DOL)**  
**Office of Federal Contract Compliance Programs (OFCCP)**  
**RIN:** 1250-AA05

**Title:** Sex Discrimination Guidelines

**Abstract:** The Office of Federal Contract Compliance Programs (OFCCP) is charged with enforcing Executive Order 11246, as amended, which prohibits Federal Government contractors and subcontractors from discriminating against individuals in employment on the basis of race, color, sex, religion, or national origin, and requires them to take affirmative action. OFCCP regulations at 41 CFR part 60-20 set forth the interpretations and guidelines for implementing Executive Order 11246, as amended, in regard to promoting and ensuring equal opportunities for all persons employed or seeking employment with Government contractors and subcontractors without regard to sex. This nondiscrimination requirement also applies to contractors and subcontractors performing under federally assisted construction contracts. The guidance in part 60-20 is more than 30 years old, and warrants a regulatory lookback. OFCCP will issue a Notice of Proposed Rulemaking to create sex discrimination regulations that reflect the current state of the law in this area.

**Priority:** Other Significant  
**Agenda Stage of Rulemaking:** Proposed Rule  
**Major:** No  
**Unfunded Mandates:** Undetermined

**CFR Citation:** 41 CFR 60  
(To search for a specific CFR, visit the Code of Federal Regulations.)

**Legal Authority:** sec 201, EO 11246, 30 FR 12319 and EO 11375, 32 FR 14303, as amended by EO 12086

**Legal Deadline:** None

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**Regulatory Flexibility Analysis Required:** Undetermined  
**Government Levels Affected:** No

**Federalism:** No

**Energy Affected:** Undetermined  
**Agency Contact:** Debra A. Carr
Title: Prohibitions Against Pay Secrecy Policies and Actions

Abstract: The Office of Federal Contract Compliance Programs (OFCCP) is publishing revisions to the regulation implementing EO 11246, as amended, in accordance with EO 13665, which was signed by President Barack Obama on April 8, 2014. EO 13665 amends EO 11246, which currently prohibits discrimination and requires affirmative action by Federal contractors and subcontractors to ensure equal employment opportunity on the bases of race, color, religion, sex, and national origin, by adding that discrimination based on the inquiry, discussion, or disclosure of pay is also prohibited.

Priority: Other Significant
Agenda Stage of Rulemaking: Proposed Rule
Major: No
Unfunded Mandates: No
CFR Citation: 41 CFR 60-1

Legal Authority: Executive Order titled Non-Retaliation for Disclosure of Compensation Information (issued April 8, 2014); EO 11256 (Sept 24, 1965) 30 FR 12319, as amended; 40 USC 101 et seq, Federal and Property Administrative Services Act,

Legal Deadline: None

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Regulatory Flexibility Analysis Required: Undetermined
Government Levels Affected: No
Fiscal Impact: No

Agency Contact: Debra A. Carr
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FAX: 202 693-1304
E-Mail: ofccp-public@dol.gov

Title: Implementation of Executive Order 13672 Prohibiting Discrimination Based on Sexual Orientation and Gender Identity by Contractors and Subcontractors

Abstract: The Office of Federal Contract Compliance Programs (OFCCP) is publishing revisions to the regulations implementing Executive Order (EO) 11246, as amended, in accordance with Executive Order (EO) 13672, which was signed by President Barack Obama on July 21, 2014. EO 13672 amends EO 11246, which currently prohibits discrimination and requires affirmative action by Federal contractors and subcontractors to ensure equal employment opportunity on the bases of race, color, religion, sex, and national origin, by adding the bases of "sexual orientation" and "gender identity."

Priority: Other Significant
Agenda Stage of Rulemaking: Final Rule
Title: Administrative Review Board Rules of Practice and Procedure

Abstract: The proposed regulations establish procedures for appeals before the Administrative Review Board. The Board has jurisdiction, pursuant to a delegation of authority by the Secretary of Labor, over appeals of decisions and orders issued by Department of Labor Administrative Law Judges and the Administrator of the Wage and Hour Division arising under those laws and implementing regulations identified in Secretary's Order 02-2012, 75 Fed. Reg. 69378 (Nov. 16, 2012). The Board currently has agency appellate review authority over more than fifty worker protections laws. Since the Board's formation in 1996, it has operated without prescribed rules of practice and procedure. The proposed regulations incorporate and codify current Board operating procedures to provide more thorough and accurate rules, and guidance to parties who come before the Board. The regulations establish rules of practice and procedure for the Board that would apply where a governing statute, regulation, or executive order does not establish contrary rules of practice or procedure and where rules of practice and procedure currently do not exist. They are intended to govern all appeals and proceedings before the Board where not in conflict with a governing statute, regulation or executive order.

Priority: Substantive, Nonsignificant

Agenda Stage of Rulemaking: Proposed Rule

Major: No

Unfunded Mandates: No

CFR Citation: Not Yet Determined (To search for a specific CFR, visit the Code of Federal Regulations.)

Legal Authority: Secretary of Labor Order 02-2012, 75 FR 69378 (November 16, 2012)

Legal Deadline: None

Department of Labor (DOL)
Office of the Secretary (OS)

RIN: 1290-AA28

View Related Documents

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Undetermined

Federalism: No

Agency Contact: Edward Cooper Brown
Deputy Chief Administrative Appeals Judge
Department of Labor
Office of the Secretary
200 Constitution Avenue, NW, FPB, Rm, Washington, DC 20210
Washington, DC 20210
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E-Mail: brown.e.cooper@dol.gov
### Title:
Equal Treatment in Department of Labor Programs for Faith-Based and Neighborhood Partnerships; Protection of Religious Liberty of Department of Labor Social Service Providers and Beneficiaries

### Abstract:
This rule will implement Executive Order (EO) 13559, which amended EO 13279. EO 13279 clarified the fundamental principles that guide agencies’ work with faith-based and community organizations. EO 13559, among other things, requires that agencies provide beneficiaries of social service programs supported by Federal financial assistance certain protections when they receive services from a faith-based organization. Current regulations implement EO 13279. We propose amending these regulations to establish new requirements and modify existing requirements consistent with EO 13559.

### Priority:
Other Significant

### Agenda Stage of Rulemaking:
Proposed Rule

### CFR Citation:
29 CFR 2 (To search for a specific CFR, visit the Code of Federal Regulations)

### Legal Authority:
EO 13559

### Legal Deadline:
None

### Timetable:

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### Regulatory Flexibility Analysis Required:
No

### Government Levels Affected:
No

### Federalism:
No

### Energy Affected:
No

### Agency Contact:
Phi Tom
Director
Department of Labor
Office of the Secretary
200 Constitution Avenue, NW, Room C-2318, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-6030

---

### Title:
Department of Labor Freedom of Information Act Regulations

### Abstract:
This proposed rule would implement the provisions of the Freedom of Information Act, 5 USC 552, as amended. The rule would supersede the Department’s current FOIA regulations, located at 29 CFR Part 70, by incorporating substantive and administrative changes mandated by the Openness Promotes Effectiveness in our National Government Act of 2007 (OPEN Government Act), reflect changes in DOL’s administrative structure, and organize the regulations to more closely match those of other executive branch agencies for ease of reference. The rule will also reflect the disclosure principles established by the President and Attorney General in their FOIA Policy Memoranda issued on January 12, 2009, and March 19, 2009, respectively.

### Priority:
Other Significant

### Agenda Stage of Rulemaking:
Proposed Rule

### CFR Citation:
29 CFR 70 (To search for a specific CFR, visit the Code of Federal Regulations)

### Legal Authority:
5 USC 552 (as amended)

### Legal Deadline:
None

### Timetable:

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### Regulatory Flexibility Analysis Required:
No

### Government Levels Affected:
No

### Federalism:
No

### Agency Contact:
Ramona Oliver
Department of Labor
Office of the Secretary
200 Constitution Avenue, NW, Washington, DC 20210
Washington, DC 20210
Phone: 202 693-5391
Title: Rules of Practice and Procedure for Administrative Hearings Before the Office of Administrative Law Judges

Abstract: The Rules of Practice and Procedure for Administrative Hearings Before the Office of Administrative Law Judges (OALJ) govern practice and procedure in proceedings before United States Department of Labor administrative law judges. The regulations were first published as a final rule in 1983 and were modeled on the Federal Rules of Civil Procedure (FRCP). A Notice of Proposed Rulemaking was published in the Federal Register on December 4, 2012, requesting public comment on proposed revisions to and reorganization of these regulations. The revisions make the regulations more accessible and useful to parties. The revisions also harmonize administrative hearing procedures with the current FRCP and with the types of claims now heard by OALJ, which increasingly involve whistleblower and other workplace retaliation claims, in addition to a longstanding caseload of occupational disease and injury claims.

Priority: Info./Admin./Other

Agenda Stage of Rulemaking: Final Rule

Major: Undetermined

Unfunded Mandates: No

CFR Citation: 29 CFR 18A (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 5 USC 301; 5 USC 551 to 557; 5 USC 571 et seq; EO 12778; 57 FR 7292

Legal Deadline: None

Timetable:

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Regulatory Flexibility Analysis Required: No

Government Levels Affected: No

Small Entities Affected: No

Federalism: No

Energy Affected: No

Agency Contact: Todd Smyth
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Title: Department of Labor Administrative Wage Garnishment

Abstract: The regulation provides procedures Department of Labor (DOL), in conjunction with Treasury, uses to collect money by means of administrative wage garnishment from debtors to satisfy delinquent nontax debts owed to DOL. In accordance with procedures set forth in 31 CFR 285.11, DOL, through the Department of Treasury, may request that a non-Federal employer garnish the disposable pay of an individual. It outlines a notice and hearing process for debtors to challenge garnishment orders. The Treasury Department, in collaboration with the Office of Management and Budget, has been looking for ways to improve debt collection across the Federal government. Twenty-nine other agencies have already implemented the wage garnishment tool, and OCFO believes it would be useful for DOL to follow their example.

Priority: Info./Admin./Other

Agenda Stage of Rulemaking: Final Rule

Major: No

Unfunded Mandates: No

CFR Citation: 31 CFR 285.11 (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: 31 USC 3720D; The Debt Collection Improvement Act of 1996

Legal Deadline: None

Timetable:
Regulatory Flexibility Analysis Required: No  Government Levels Affected: Local; State

Federalism: Undetermined

Energy Affected: No

Agency Contact: Sheila Alexander
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Department of Labor (DOL)
Office of the Assistant Secretary for Veterans’ Employment and Training (ASVET)  RIN: 1293-AA19

Title: Compliance With the VOW to Hire Heroes Act on the Requirements of DVOPs and LVERs

Abstract: Section 241 of the Veterans Opportunity to Work (VOW) to Hire Heroes Act of 2011 ("VOW Act," title II of Pub. L. 112-56) requires the Secretary to conduct audits to ensure compliance with the mandated duties of Disabled Veterans Outreach Program and Local Veterans Employment Representatives. Further, the Act allows the Secretary to reduce funding to a State based on audit findings of non-compliance. In order to fully implement the VOW Act, Veterans Employment and Training Services will undertake a Notice of Proposed Rulemaking (NPRM) to promulgate the standards that will be used in making compliance determinations. The NPRM will establish clear, enforceable standards for making determinations on funding reductions.

Priority: Other Significant

Agency Stage of Rulemaking: Long-term Action

Unfunded Mandates: No

CFR Citation: 20 CFR 1001  (To search for a specific CFR, visit the Code of Federal Regulations)

Legal Authority: PL 112-56, sec 241

Legal Deadline: None

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Regulatory Flexibility Analysis Required: No  Government Levels Affected: State

Federalism: No

Energy Affected: No

Agency Contact: Joel Delofsky
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Office of the Assistant Secretary for Veterans’ Employment and Training
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Department of Labor (DOL)
Office of the Assistant Secretary for Veterans’ Employment and Training (ASVET)  RIN: 1293-AA20

Title: Annual Report from Federal Contractors

Abstract: The final rule will rescind the part 61-250 regulations which establish the VETS-100 reporting obligation. In addition, the final rule will revise the part 61-300 regulations, which establish the VETS-100A reporting obligation, to require contractors to report the number of employees and new hires that are covered veterans. The final rule will rename the annual report prescribed by the part 61-300 regulations the Federal Contractor Veterans’ Employment Report VETS-4212 ("VETS-4212 Report").
**Priority:** Substantive, Nonsignificant  
**Agenda Stage of Rulemaking:** Completed Action  
**Major:** No  
**Unfunded Mandates:** No  
**CFR Citation:** 41 CFR 61-250 and 61-300 (To search for a specific CFR, visit the [Code of Federal Regulations](https://www.cfr.gov/))  
**Legal Authority:** 29 USC 4211 and 4212  
**Legal Deadline:** None

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**Regulatory Flexibility Analysis Required:** No  
**Government Levels Affected:** No  
**Small Entities Affected:** Business  
**Federalism:** No  
**Energy Affected:** No

**Agency Contact:** William Kenan Torrans  
Deputy Director for Compliance and Investigations  
Department of Labor  
Office of the Assistant Secretary for Veterans' Employment and Training  
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FAX: 202 693-4755  
E-Mail: torrans.william@dol.gov