

I.A.: OVERVIEW BY10

Descriptive Information BY10

Template Name	BY2010
Investment Name	OASAM - HSPD-12
Investment Revision Number	10
Is this investment a consolidated business case?	No
Point of Contact	Lewis, Richard
Revision Comment	
Date of Submission	4/10/2009
Agency	Department of Labor
Bureau	DM - OASAM
Name of this Capital Asset	OASAM - HSPD-12
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Safe and Secure Workplaces
What kind of investment will this be in this Budget Year?	Mixed Life Cycle
OMB Short Description	HSPD-12 establishes a Policy for a Common Identification Standard for Federal Employees and Contractors and supporting derivative documents, including OMB M-05-24 and FIPS 201, Personal Identity Verification (PIV) of Federal Employees and Contractors.

Screening Questions BY10

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

DOL's Personal Identity Verification II (PIV-II) investment is a result of Homeland Security Presidential Directive 12 (HSPD-12), along w/ other documents, which established a policy for a common identification standard for the Federal workforce, including DOL's 15,000 employees and 2,500 contractors. This policy enhances security of DOL physical and virtual facilities, reduces the risk of identity fraud by Government employees and contractors, and protects personal privacy by establishing a mandatory, Government-wide identification standard. HSPD-12 closes performance gap associated with security weaknesses by providing:

- 1) Consistently high level of registration, identity proofing and background checking for all DOL federal/contract employees prior to issuance of a federal ID
- 2) Improved physical access control to DOL's HQ, 10 Regional Offices, and over 500 facilities throughout the United States
- 3) Improved logical access control to DOL information and systems
- 4) High degree of interoperability between DOL access control systems and federally-issued IDs from other departments/agencies
- 5) Secure, reliable, and cost-effective e-Government
- 6) Consolidated identity and badge management functions across DOL to a single, integrated provider
- 7) Consolidated and improved card and identity management services across DOL.

DOL's HSPD-12 solution supports federal goals for securing the workforce by facilitating higher customer service from three distinct centers within the DOL - Human Resources Center, Security Center, and Information Technology Center by streamlining the process of bring an employee or contractor aboard DOL.

The DOL PIV-II solution maps to the Federal Enterprise Architecture (FEA) Business Reference Model (BRM) Administrative Management and Information & Technology Management lines of business.

Additionally, the solution aligns with OASAM specific objectives and goals to:

- 1) ensure the Department's information security management processes are integrated into its strategic and operational planning processes
- 2) ensure the adequacy and effectiveness of information security policies, procedures, and practices are addressed in plans and reports relating to the Department's annual budget and program performance under the Government Performance Results Act.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/6/2007
Did the Project Manager review this Exhibit?	Yes

Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project. No

Will this investment include electronic assets (including computers)? Yes

Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No

If "yes," is an ESPC or UESC being used to help fund this investment?

If "yes," will this investment meet sustainable design principles?

If "yes," is it designed to be 30% more energy efficient than relevant code?

Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all of the PMA initiatives that apply: Expanded E-Government

Is this investment for information technology? Yes

Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner? This initiative implements an automated government wide standard for the credentialing of federal and contractor employees. Automating and standardizing this process enhances security, expedites the credentialing process and reduces redundancies throughout DOL and the federal government.

IT Screening Questions BY10

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

In addition to the Project/Program Manager FAC-P/PM or DAWIA certification level, what project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? No

I.B.: SUMMARY OF SPENDING BY10

Summary of Spending BY10

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 DME/Mixed BY10: OASAM - HSPD-12 (Revision 10)

SUMMARY OF SPENDING FOR PROJECT STAGES * Costs in thousands

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Planning									
Budgetary Resources	0	0	0	1195	1350	846	197	256	0
Acquisition									
Budgetary Resources	0	0	0	0	1600	2828	547	786	5331
Subtotal Planning & Acquisition									
Budgetary Resources	0	0	0	1195	2950	3674	744	1042	5331
Operations & Maintenance									
Budgetary Resources	0	0	0	0	145	145	835	980	516
TOTAL									
Budgetary Resources	0	0	0	1195	3095	3819	1579	2022	5847
Government FTE Costs									
Budgetary Resources	0	0	0	58	58	58	58	58	61
Planning									
Budgetary Resources	0	0	0	58	58	58	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	0	0	0	0	0	0	58	58	61

Full time Equivalent BY10

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

FTE Table

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Security	0	0	0	0	0	0	0	0	0
IT	0	0	0	0	0	0	0	0	0
Financial Management	0	0	0	0	0	0	0	0	0
Program Management	0	0	0	0.5	0.5	0.5	0.5	0.5	0.5
Other	0	0	0	0	0	0	0	0	0
Total*	0	0	0	0.5	0.5	0.5	0.5	0.5	0.5

I.C.: ACQUISITION/CONTRACT STRATEGY BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.D.: PERFORMANCE INFORMATION BY10

Sensitive Data

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I.E.: SECURITY AND PRIVACY BY10

Sensitive Data

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I.F.: ENTERPRISE ARCHITECTURE (EA) BY10

General EA Questions BY10

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

The initiative will leverage the Federal Bridge for path validation/discovery. A certified FICC PKI Shared Service Provider will be used to establish PKI authentication capabilities for the DOL. Product components used in this initiative will be NIST FIPS-201 PIV-II and GSA interoperability tested and certified.

II.A.: ALTERNATIVES ANALYSIS BY10

Analysis Background BY10

Part II is completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in response to Part I above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this investment? Yes

II.B.: RISK MANAGEMENT BY10

Risk Management Plan BY10

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	Yes
What is the date of the risk management plan?	3/31/2008

II.C.: COST AND SCHEDULE PERFORMANCE BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *